

# Dissipationless Nernst effects

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D. Bergman and V. O., PRL 104, 066601 (2010)

V. O., S.Sondhi, D.Huse, PRB, 73, 094503 (2006)

V. O. and I. Ussishkin, PRB 70, 054503 (2004)

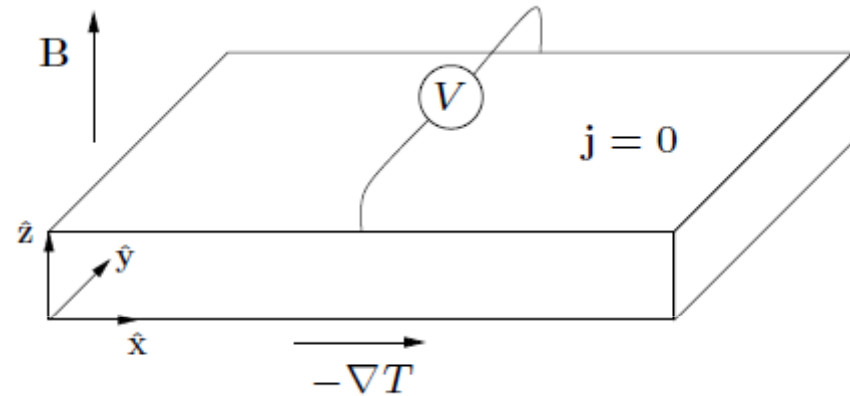
# outline

- What is Nernst effect and why study it – experiments
  - “Standard” theories:  
linear response from Drude-Boltzmann, classical and quantum critical kinetics and hydrodynamics;
- 
- New results from Landau levels:  
edge theory, disorder in 2D, irrelevant but important interactions; 3D
  - Open questions and afterthoughts

# Nernst thermopower

Gradients and currents:

$$\mathbf{J} = \sigma \cdot \mathbf{E} - \alpha \cdot \nabla T,$$
$$\mathbf{J}^Q = T\alpha \cdot \mathbf{E} - \kappa \cdot \nabla T,$$



Thermopower tensor:

$$J = 0 \rightarrow S = \sigma^{-1} \cdot \alpha$$

Nernst thermopower and coefficient,  $\nu$ :

$$S_{xy} = \rho_{xx}\alpha_{xy} + \rho_{xy}\alpha_{yy} \equiv B\nu$$

**Why study Nernst effect?**

# Why study Nernst?

Voltage without current? Must be vortices!

keywords:

entropic force,  
vortex drift,  
vortex entropy

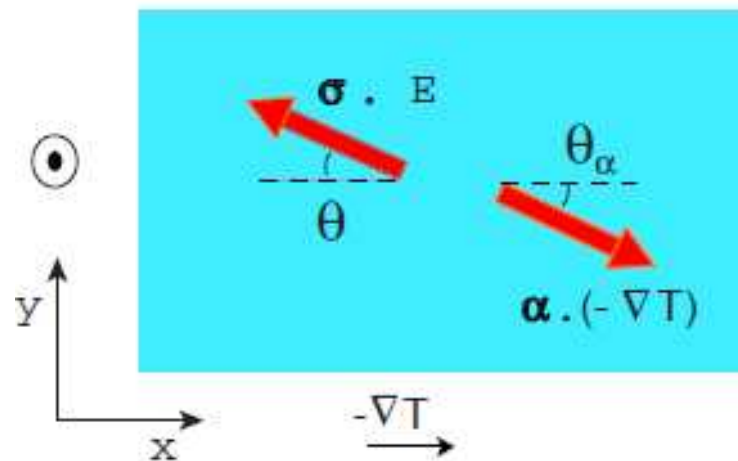
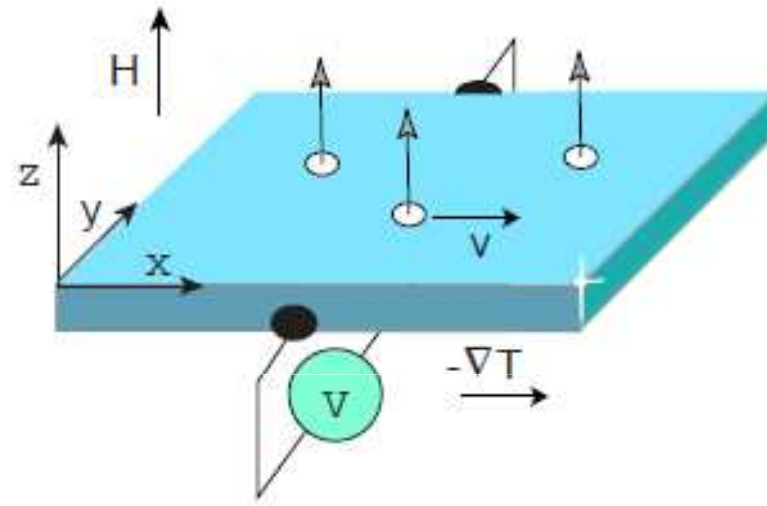
key person:

N.P.Ong

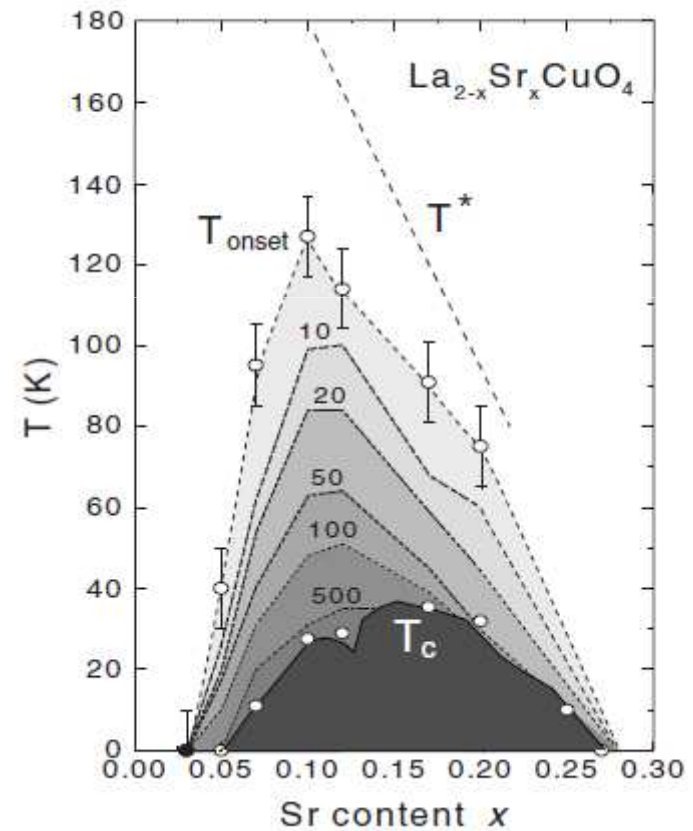
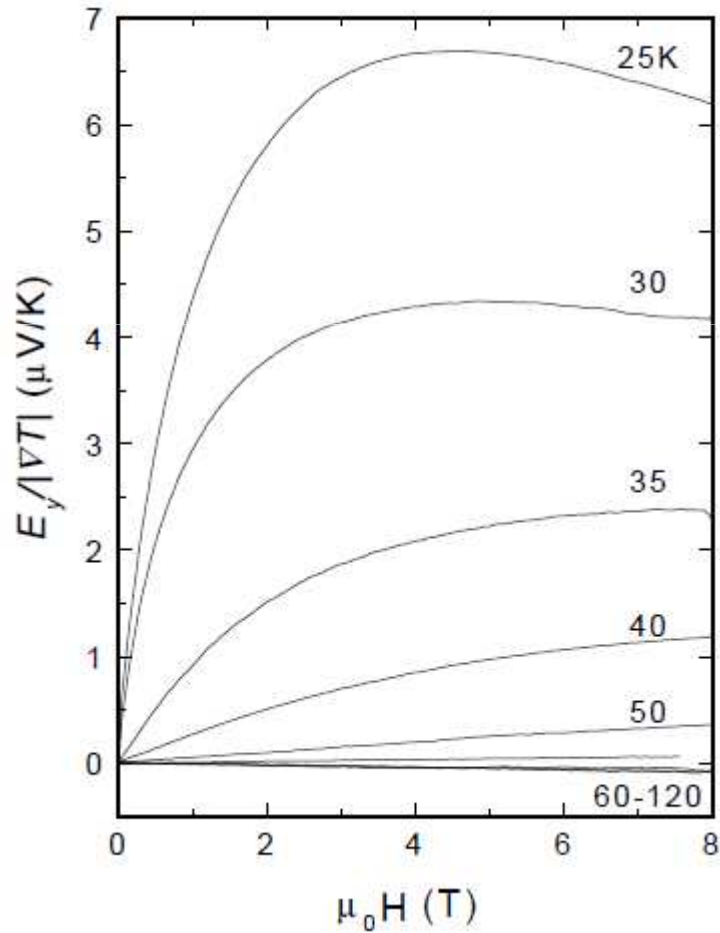
No established key theory  
based on vortices

key question:

Do vortices exist  
above  $T_c$  in cuprates?  
i.e. does superconductivity  
survive in some form outside the  
superconducting state?



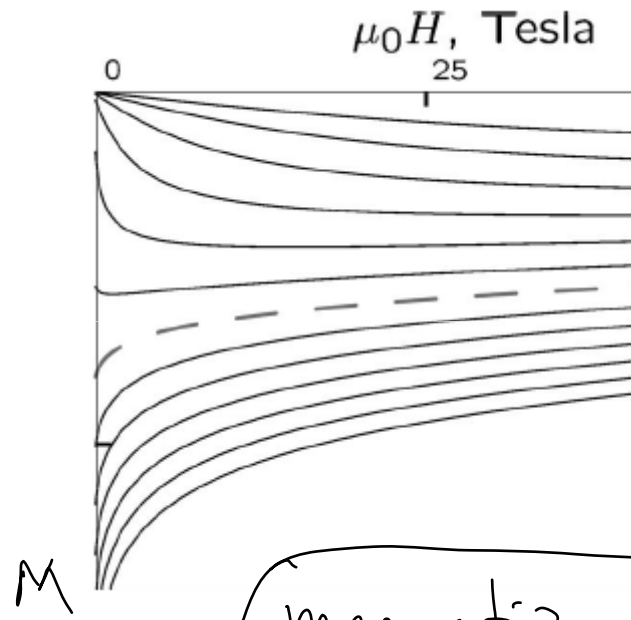
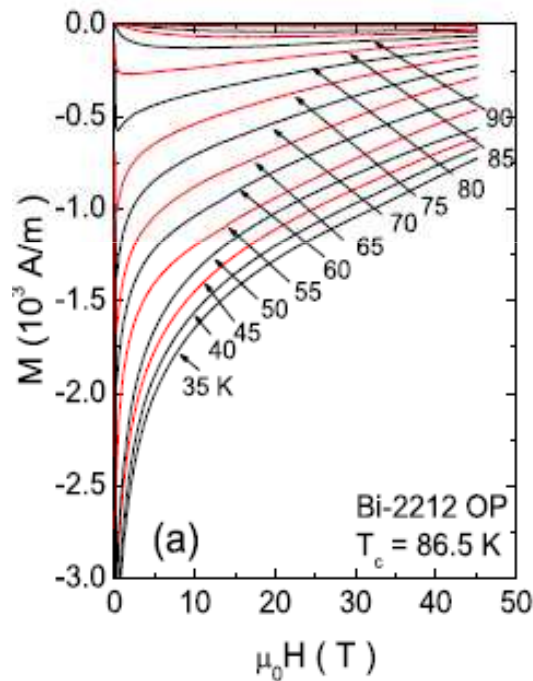
Clear and unambiguous Nernst signal inside the “pseudogap” regime, smoothly connecting to the vortex signal below  $T_c$



Ong, Wang, Li, et al, 1999 onwards

# Are these strong superconducting fluctuations?

- No clear fluctuation conductivity is observed in cuprates.
- Relatively recently strong fluctuation diamagnetism was observed using



Theory based on vortices:  
Kosterlitz-Thouless + B  
(V.O. et al 2006)

Magnetization

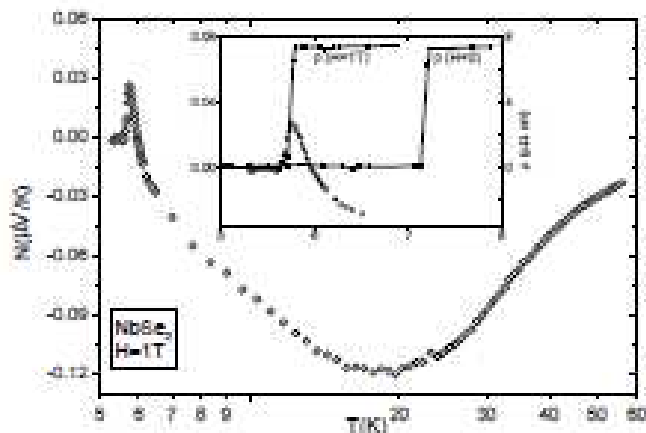
$$M = - \frac{\partial F}{\partial B}$$

- Nernst effect has also been seen in the fluctuation regime in dirty low  $T_c$  SC film superconductors (exp. - Behnia et al; theory Ussishkin et al, Galitski et al, Michaeli/Finkelstein)

# Other reasons to measure Nernst

- $\alpha_{xy}$  is traditionally difficult to measure well because it is small and not strongly varying, e.g. with temperature or field.
- Other than in superconductors, why would anyone study it? What qualitative physics is there to learn?
- Experimentalists took different, hands-on approach: measure first, question later

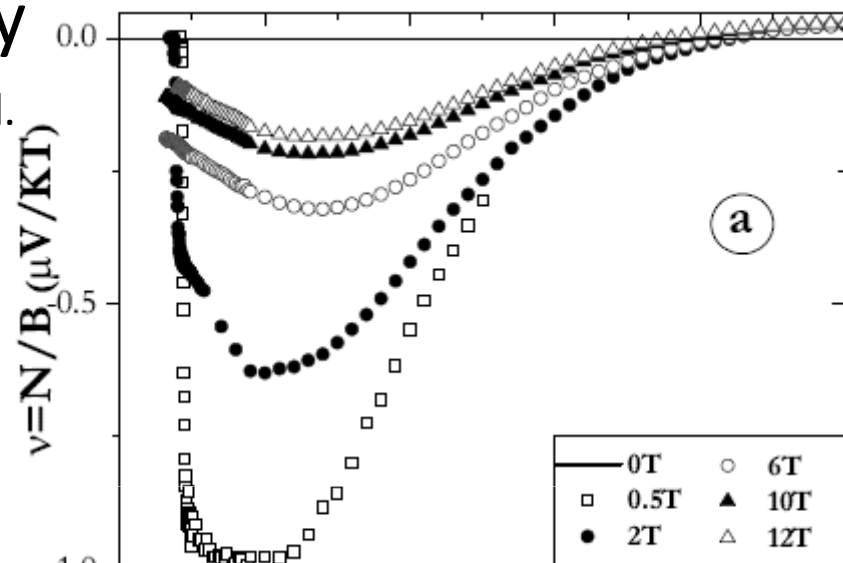
Nernst effect often shows strong field and temperature dependences in correlated electron materials, stronger than conventional conductivity



CDW metal -- NbSe<sub>2</sub>

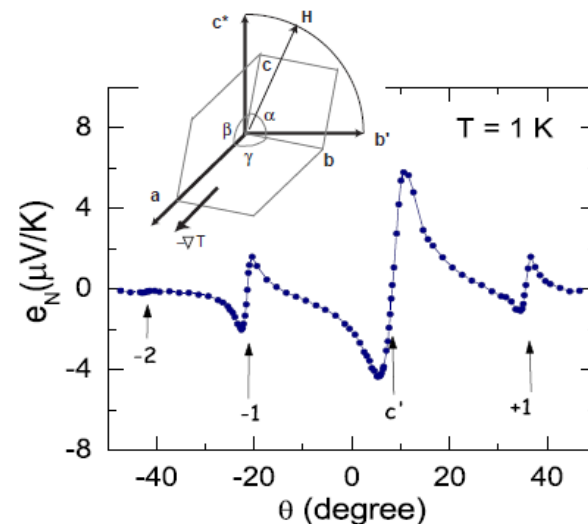
Motivation is not always clear but results are compelling...what do they mean?

Behnia et. al.



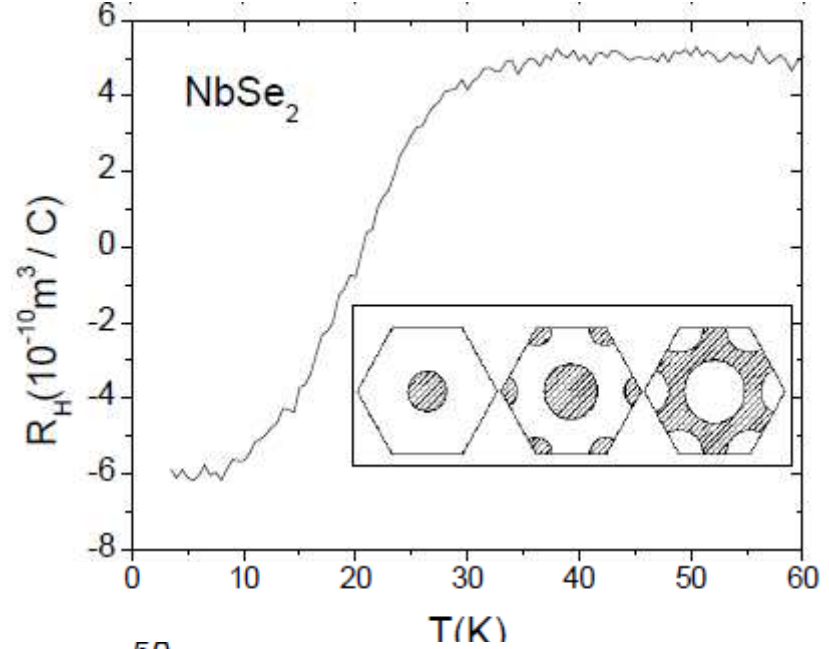
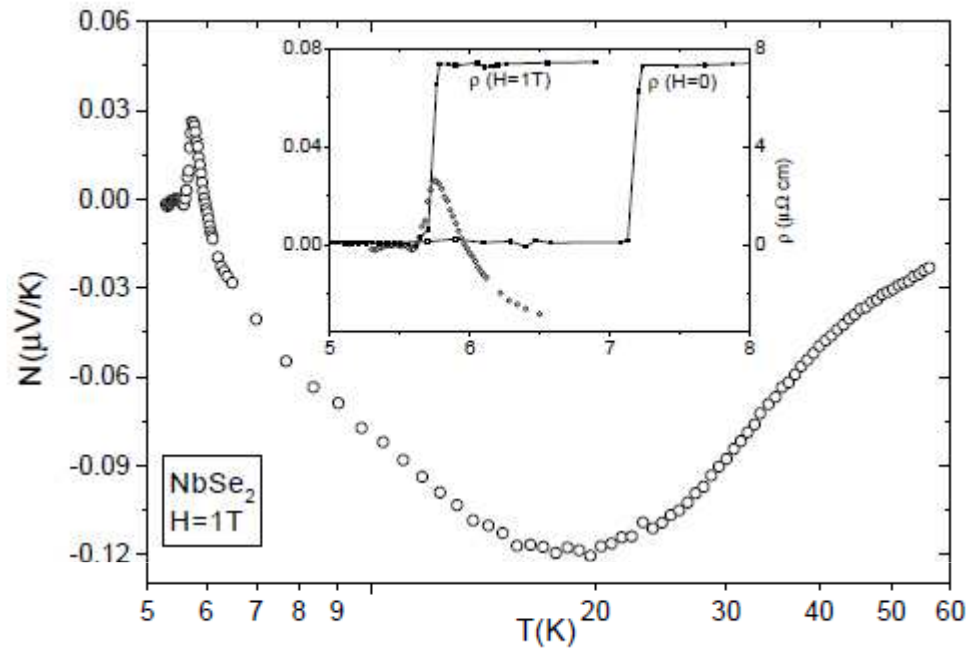
CeCoIn<sub>5</sub> -- near critical Kondo lattice

Quasi 1D organics (Chaikin et al)

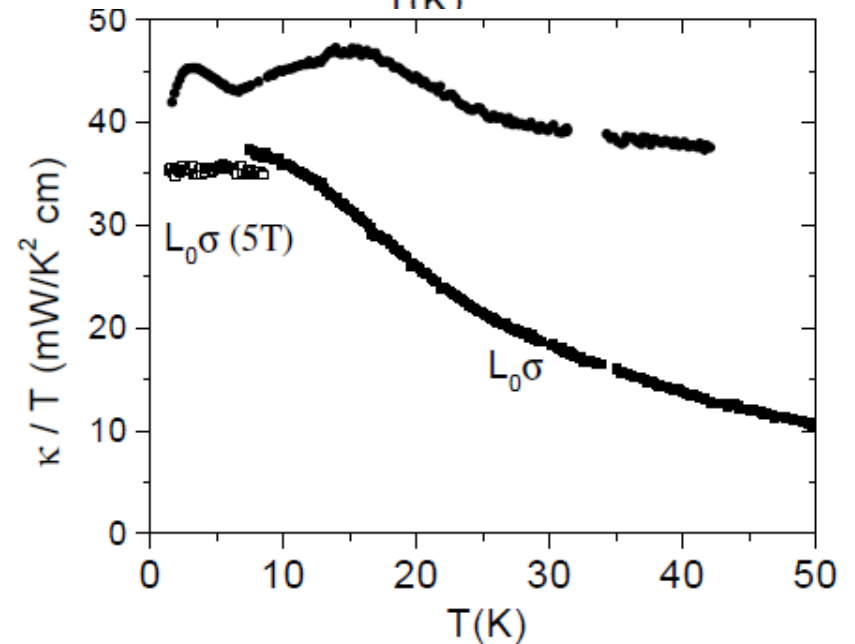




# NbSe<sub>2</sub>



Nernst effect shows an apparently broad “fluctuation” regime well above  $T_{\text{cdw}}=34\text{K}$  ( $T_{\text{sc}}=4\text{K}$ )



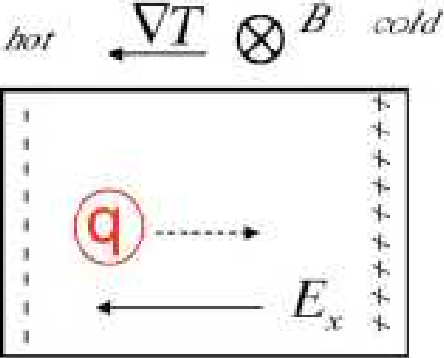
# Nernst from drude theory

(c)

$$P = nk_B T$$

$$-F = \frac{\nabla P}{n} = k_B \nabla T$$

$$v_x = 0$$

$$E_x = \frac{\nabla P}{nq} = \frac{k_B}{q} \nabla T$$


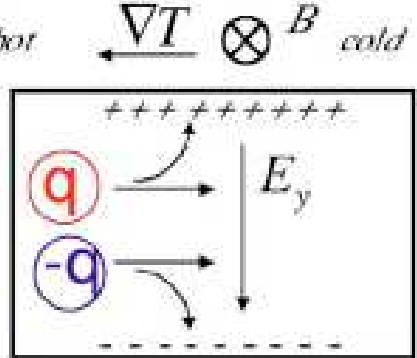
$$S_{xx} = S_I = k_B / q \quad S_{xy} = 0$$

(d)

$$v_x = \frac{\nabla P \tau}{n m} = \frac{k_B \nabla T \tau}{m}$$

$$E_y = v_x B_z = \frac{k_B \tau B_z}{m} \nabla T$$

$$E_x = 0$$

$$\frac{k_B \tau B_z}{m} = \frac{k_B}{q} \frac{q \tau B_z}{m}$$


$$S_{xx} = 0, \quad S_{xy} = S \rho \omega_c \tau$$

Nernst thermopower vanishes in a simple kinetic theory of one type of carriers, need two (Wu, Chaikin, 2005)

This is an artefact –  
an exact cancellation in:

$$S_{xy} = \rho_{xx} \alpha_{xy} + \rho_{xy} \alpha_{yy}$$

Oftentimes (in experiments) only first  $\rho_{xx} \alpha_{xy}$  , term is appreciable

# Nernst from Boltzmann equation – band curvature can be quantitatively significant!

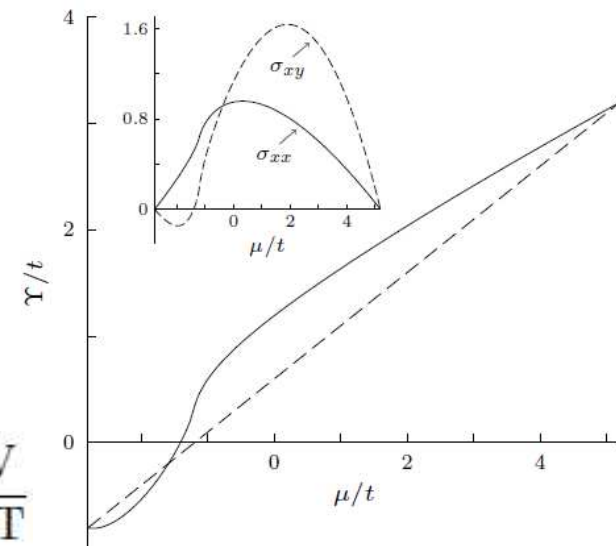
Relaxation time approx, steady state distribution, the cross-term:

- $\delta n_k = \tau^2 e \frac{\partial n}{\partial \epsilon_k} \vec{E}_k \cdot \frac{1}{m} \cdot \vec{v}_k \times \vec{B} \rightarrow \langle \vec{j} \rangle = \int dk e \vec{v}_k \delta n_k$
- $\vec{v}_k = \partial \epsilon_k / \partial \vec{k}$
- $\vec{E}_k = e \vec{E} - \vec{\nabla} \mu - \frac{\epsilon_k - \mu}{k_B T} \vec{\nabla} T$
- Simple low T expansion, the Mott formula:  $\alpha = -\frac{\pi^2}{3} \frac{k_B^2 T}{e} \frac{\partial \sigma}{\partial \mu}$
- From  $\nu = -\frac{\pi^2 k_B^2 T}{3eB} \frac{\partial \Theta_H}{\partial \mu}$  we can do dimensional analysis/phenomenology
- $B\nu = -\frac{\pi^2}{3} \frac{k_B}{e} \frac{a^2}{\ell_B^2} \frac{k_B T \tau}{\hbar} \frac{\partial \Upsilon}{\partial \mu}$
- $k_B/e \approx 86 \mu V/K$  is the Planck constant; everything else is dimensionless,

On average  $\langle \frac{\delta \Upsilon}{\delta \mu} \rangle = \frac{1}{W} \frac{\hbar^2}{a^2} \left( \frac{1}{m_e} + \frac{1}{m_h} \right) \approx \frac{1}{2}$

**Simple dimensional analysis does NOT preclude large Nernst effect:**

$$\nu \approx -100 \frac{k_B T \tau}{\hbar} \frac{nV}{KT}$$



# Strong correlations and Nernst effect: critical hydrodynamics

- General intuition: “entropy currents”, “vortex entropy”
- Classical critical dynamics, TDGL: Ussishkin (Gaussian fluct.)
- TDGL and vortex liquid numerics: Mukerjee et. al., Podolsky et.al.
  
- Incomplete intuition: modifying models to increase entropy should suppress diamagnetism (and it does in the simulation) but it should produce more Nernst (which is also suppressed in the simulation)
  
- Quantum criticality - quantum critical kinetics (Bhaseen etal), quantum critical hydrodynamics and black holes etc. (Mueller/Sachdev/McGreevy et. al.)

# Summary of review:

- Strange looking thermoelectric probe,  $\alpha_{xy}$ , appears to show enhanced sensitivity to interesting electronic behavior, e.g. fluctuation superconductivity (and, perhaps, CDW formation)
- Theory tends to be “difficult” and not transparent intuitively. What does a Nernst measurement tell us, qualitatively?
- Next – some new theory (and experiments) in high magnetic fields

# High magnetic field – Landau levels (in the Landau gauge)

$$H = -\frac{\hbar^2}{2m} [(\partial_y - x/\ell^2)^2 + (\partial_x)^2]$$

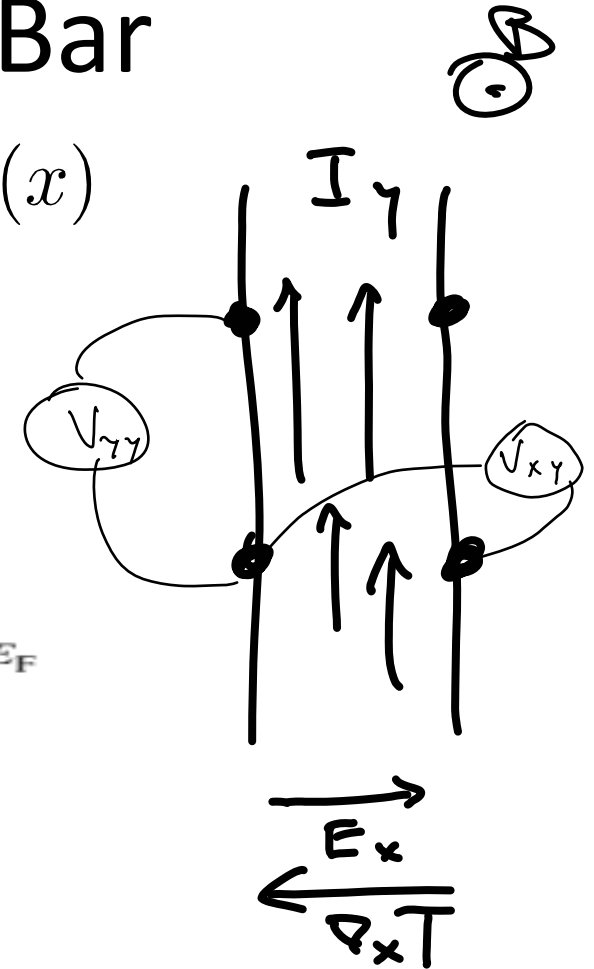
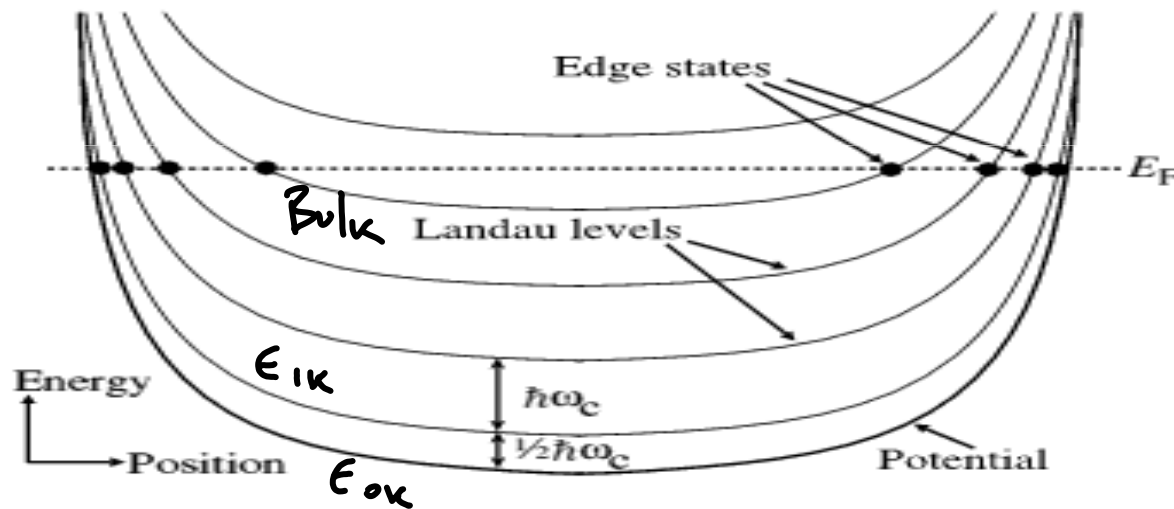
$$\psi_n(k_y, r) \sim \phi_n^{SHO}(x - \ell^2 k_y) e^{ik_y y}$$

Landau level states are waves with a definite momentum along y-direction but localized in the x-direction on scale set by the magnetic length,  $\ell = \sqrt{\hbar/(eB)}$

# Landau levels in a Hall Bar

$$H = -\frac{\hbar^2}{2m} [(\partial_y - x/\ell_B^2)^2 + (\partial_x)^2] + V(x)$$

$$\psi_n(k_y, r) \sim \phi_n^{SHO}(x - \ell^2 k_y) e^{ik_y y}$$



$$\langle I_y \rangle = \frac{L_y}{2\pi} \int dk \sum_n \frac{-e}{\hbar L_y} \frac{\partial \epsilon_{n,k}}{\partial k} f(\epsilon_{n,k})$$

$$f(\epsilon) = 1/(1 + e^{\beta(\epsilon - \mu)})$$

Simple linear response theory – purely off-diagonal transport

Halperin '81, Girvin/Jonson '82  
Bergman/V.O. '10

# Linear response via edge theory

$$\sigma_{xy} = -\frac{e^2}{h} C_0; \alpha_{xy} = \frac{k_B e}{h} C_1; \kappa_{xy} = -\frac{k_B^2 T}{h} C_2;$$

$$C_q = -\sum_n \int_{\hbar\omega_n}^{\infty} d\epsilon \left( \frac{\epsilon - \mu}{k_B T} \right)^q \frac{\partial f(\epsilon)}{\partial \epsilon}$$

Note: celebrated “universality” of the edge theory comes from absorbing the details of the confining potential into the integration variable, i.e. by switching from  $k$  to energy integration (Halperin '81)

BUT, we can finish these integrals quite generally in a closed form by a further variable change:

$$C_q = -\sum_n \int_{f_n}^0 df \log(1/f - 1)^q$$

$$C_0 = \sum_n f_n$$

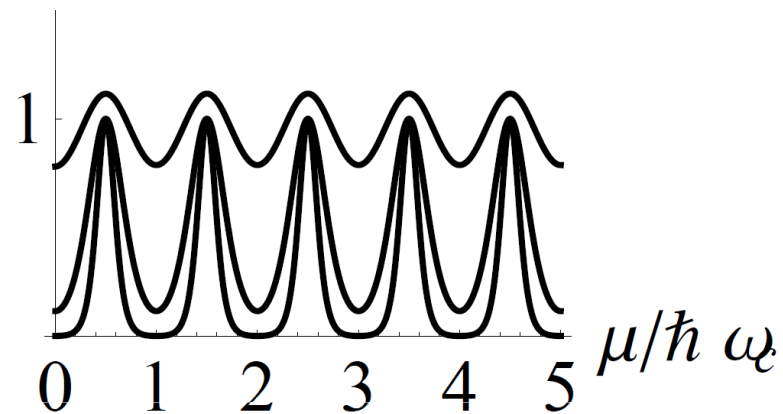
$$C_1 = \sum_n [f_n \log f_n + (1 - f_n) \log(1 - f_n)]$$

$$C_2 = \sum_n \left[ \frac{\pi^2}{3} + f_n \log^2(1/f_n - 1) - \log^2(1 - f_n) 2Li_2(1 - f_n) \right]$$



# Off-diagonal thermoelectric conductivity:

$$-(k_B e/h) \log 2$$



- $(e/h)$  times entropy per carrier:  $\alpha_{xy} = \frac{k_B e}{h} \sum_n [f_n \log f_n + (1 - f_n) \log(1 - f_n)]$
- at plateau transitions: temperature independent value of  $-(ek_B/h) \log 2$ ;
- on plateaux activated but with a large  $\sim 1/T$  prefactor;
- no usual (derivative) Mott relation
- **Is this universal?** E.g. disorder, interactions, experiments (contacts, phonons, etc)?

# Disorder – yes, it is universal!

Proof:

- 1) Generalized Mott formula (exact in infinite samples)  
(Obraztsov '65, Smrcka/Streda '77, Jonson/Girvin '84)

$$C_1(T, \mu) = - \int_{-\infty}^{\infty} d\epsilon \frac{\partial f}{\partial \epsilon} \frac{\epsilon - \mu}{k_B T} C_0(T = 0, \epsilon)$$

- 2) Universal quantization of Hall conductivity,  
except at mobility edges,  $\mu_{Cn}$

$$\sigma_{xy}(T = 0, \mu) = \frac{e^2}{h} \sum_n \Theta(\mu - \mu_{Cn})$$

- 3) Plug (2) into (1) get

$$\alpha_{xy} = \frac{k_B e}{h} \sum_n \left[ \tilde{f}_n \log \tilde{f}_n + (1 - \tilde{f}_n) \log(1 - \tilde{f}_n) \right]$$

**Tildes are important:**

with disorder there are no longer Landau levels but mobility edges,  
i.e. only extended states' entropy is counted

# Further 2D comments:

- Dirac fermions – no problem
- Universality requires localization, i.e. “all orders” treatment of disorder (SCBA based works of Jonson/Girvin '84, Hatano '05, etc. are wrong)
- Response is activated vs. distance (B or  $\mu$ ) to mobility edges – only entropy of extended states counts

$$\alpha_{xy} = \frac{k_B e}{h} \sum_n \left[ \tilde{f}_n \log \tilde{f}_n + (1 - \tilde{f}_n) \log(1 - \tilde{f}_n) \right]$$

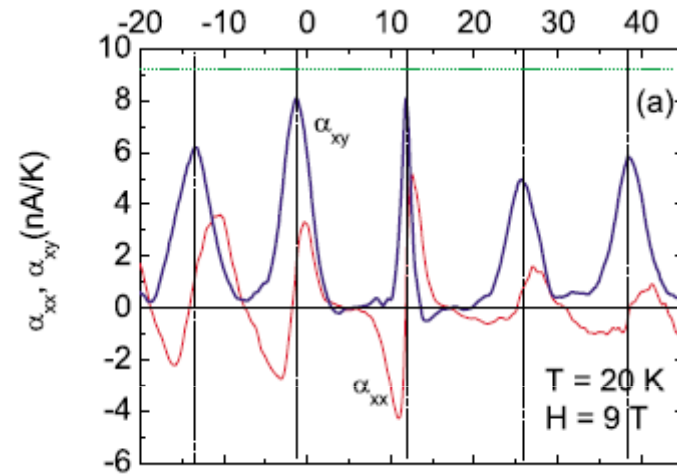
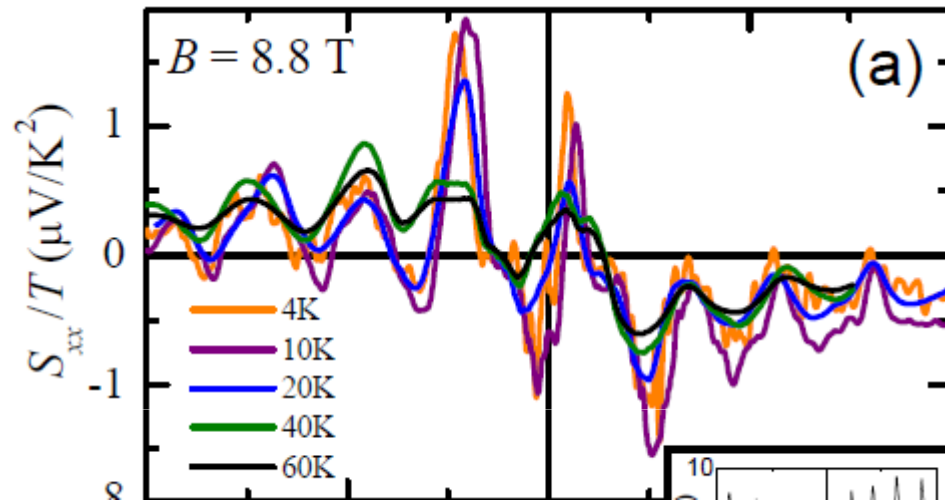
... but that is the only true (dynamical?) entropy there is ... the localized states are “stuck” and should be omitted when computing entropy.

- What if (or rather when) experiments do not see this?  
the experiment is “wrong”

OR

we are seeing finite size, interaction and/or phonon effects outside of simple integer Hall physics– thermoelectric response may be better suited to study these than conductivity.

# Experiments on graphene



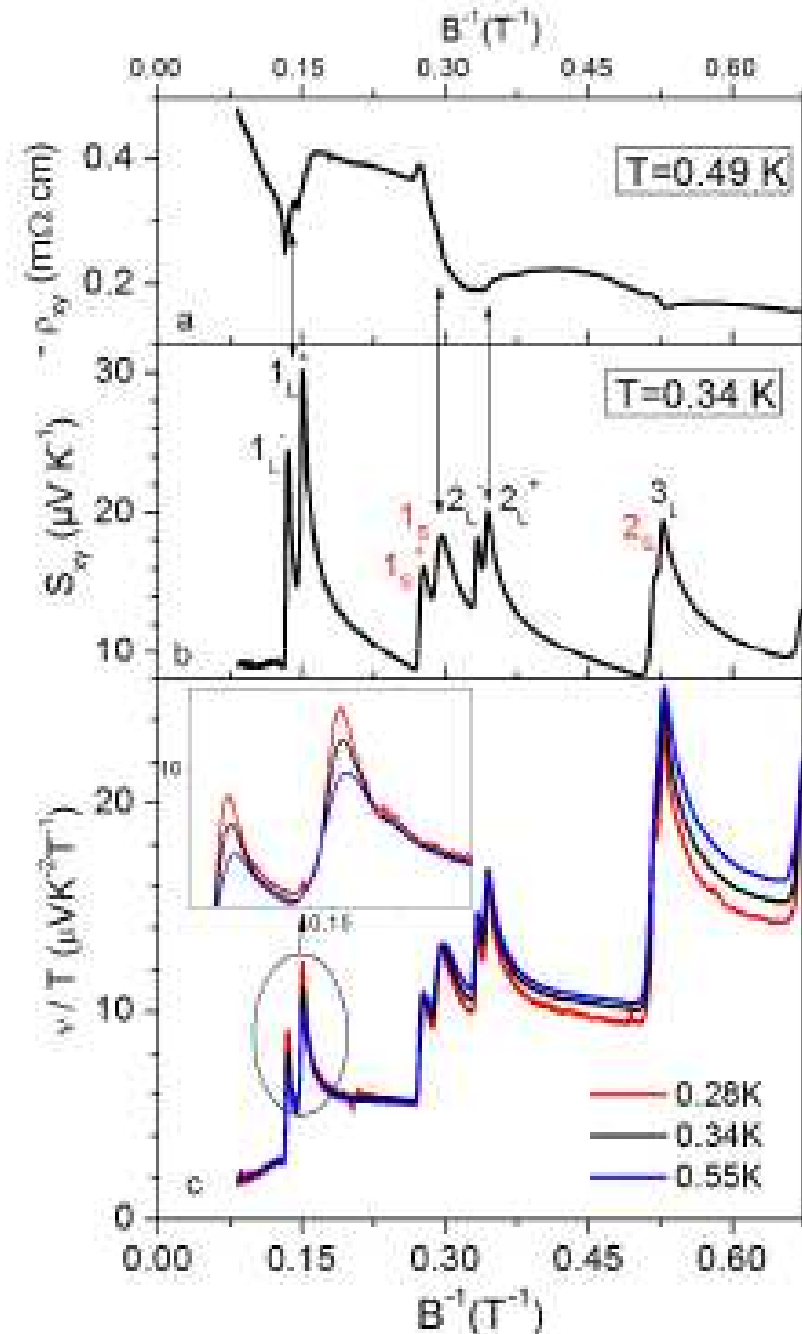
- $\alpha_{xy}$  appears universal – also, appears to be a “cleaner” probe than  $S$  (at least at  $T=20\text{K}$ )
- More questions:
  - T dependence – non-interacting theory predicts none at the peak!
  - Also finite  $\alpha_{xx}$ ? Are these (irrelevant) interaction effects? slope vs. T;
  - wiggles – UCF?

# What about 3D?

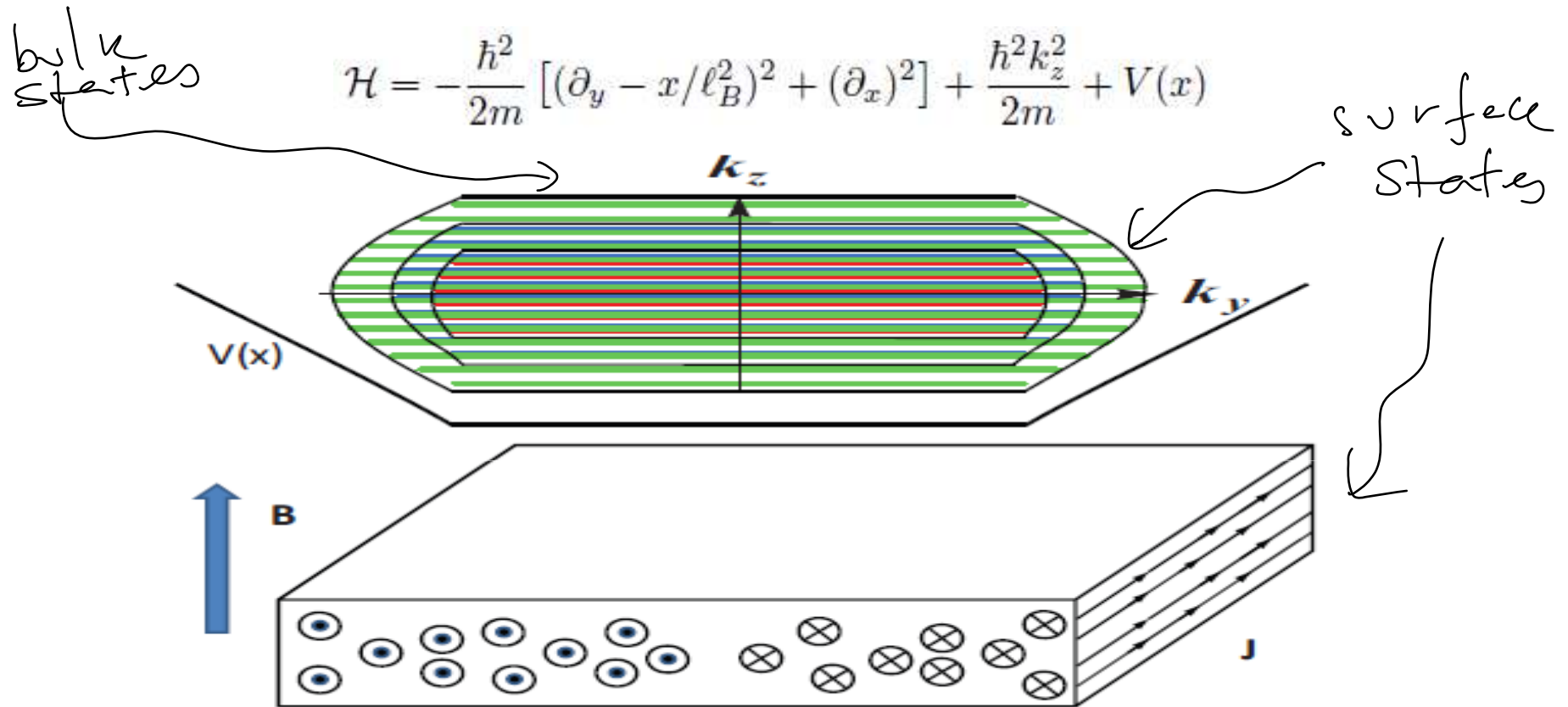
Experiments on  
graphite (Behnia 2009):

pronounced singularity  
structure inherited from  
Landau levels in 3D;

strong residual temperature  
dependence despite dirty  
materials



# Landau levels of the Hall “brick”



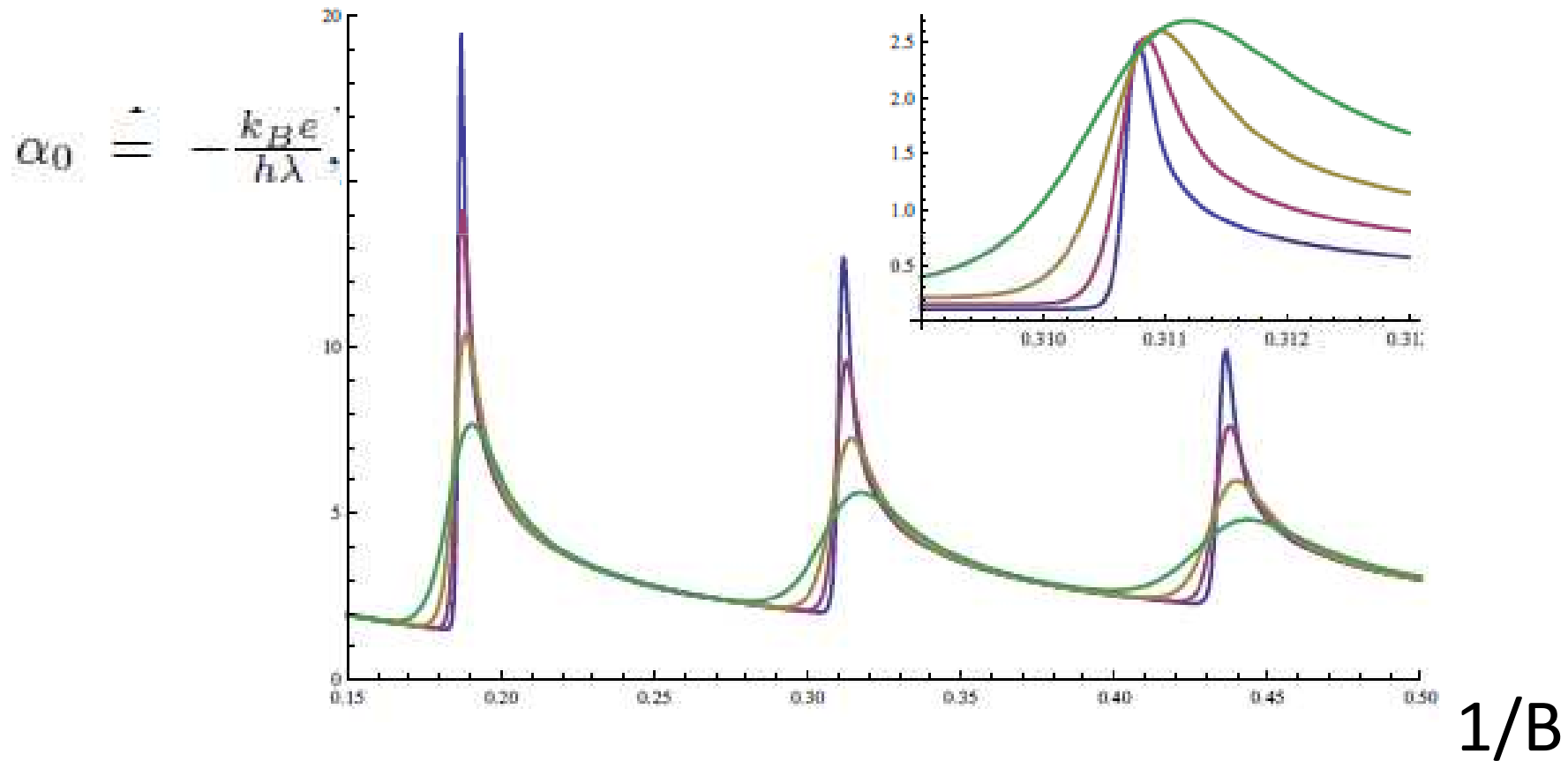
$$\alpha_{xy} = \frac{k_B e}{h L_z} \sum_n \sum_{k_z} [f_n \log f_n + (1 - f_n) \log(1 - f_n)]$$

$$\alpha_{xy} = -2\pi \ell_B^2 \frac{e}{h} s = -\frac{s}{B}$$

Results (no disorder or interactions):  
two types of behavior: linear T and sq. root T

$$B\alpha / (T\alpha_0)$$

$$\alpha_{xy} \approx -2(e/h)(k_B/\lambda_{Tz})$$



# Analytic results – LL “quantum criticality”:

General scaling formalism:

$$\alpha_{xy} = -\frac{ek_B}{h\lambda_{Tz}} \sum_n F(b_n),$$

$$F(b) \equiv -\int_{-\infty}^{\infty} dz f_z \log f_z + (1 - f_z) \log(1 - f_z)$$

$$F(b) = \begin{cases} \sim 1/\sqrt{b} \text{ for } b \rightarrow \infty \\ \sim e^{-b} \text{ for } b \rightarrow -\infty \\ \approx 2 \text{ at } b = 0 \\ \approx 2.4 \text{ for } b = b_{min} \approx 1.3 \end{cases}$$

Linear T Regime:

$$\begin{aligned} \alpha_{xy} &= -\frac{ek_B}{h} \frac{\pi^2}{3} \frac{\ell_B}{\lambda_{Tz} \lambda_T} \sum_{n=0}^{n_{max}} \frac{1}{\sqrt{\nu - n}} \\ &= i \frac{ek_B}{h} \frac{\ell_B}{\lambda_{Tz} \lambda_T} [\xi(1/2, -\nu) - \xi(1/2, 1 + n_{max} - \nu)] \end{aligned}$$

“Quantum critical” regime:

$$\alpha_{xy} \approx -2(e/h)(k_B/\lambda_{Tz})$$



# Summary + outlook

So, why should we study Nernst effect?

Appears to be a sensitive probe of...

...carrier entropy, EVEN with disorder. Immobile excitations are ignored

How general is this result outside “dissipationless” regime? In 3D with disorder or interactions? Mesoscopic effects?

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# Low field

$$\alpha_{xy} = -s/B$$

HgSe:Fe

