Tata Institute of Fundamental Research

Homi Bhabha Road, Navy Nagar, Colaba, Mumbai, INDIA

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New Radio Networks and Emerging Trends: SDR, 5G and IoT

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WIRELESS INNOVATION FOR UM
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WInnComm
2017

Conference: 15-16 November Technical Exchange Meetings: 13-17 November Qualcomm Institute, UCSD, San Diego, California

The Wireless Innovation Forum Conference on Wireless Communications Technologies: Connecting technical, business and regulatory leaders \sim Defining the future of radio communications

Wireless Innovation Forum Announces 2017 Wireless Innovation Achievement Award **Winners**

November 16, 2017 02:51 PM Eastern Standard Time

SAN DIEGO-(BUSINESS WIRE)--The Wireless Innovation Forum, a non-profit international industry association dedicated to driving the future of radio communications and systems worldwide, today announced the winners of their annual Achievement Awards. Technology of the Year was given to the SVFuA, the Leadership Award (formerly the International Achievement award) to Prof. Dr.-Ing. habil. Dr. h.c. mult. Ulrich L. Rohde, partner of Rohde & Schwarz GmbH & Co., KG, Munich, and chairman of Synergy Microwave

Received Award at Winn Comm 2017 for pioneer work in the field of SDR & Modern Communication systems

Outline

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- **Calline
• SDR (Software Defined Radio) & IoT
• Analog Frond End: Pros & Cons
• High Dynmaic Range Microwave Monitoring Receivers Cutline
• SDR (Software Defined Radio) & IoT
• Analog Frond End: Pros & Cons
• High Dynmaic Range Microwave Monitoring Rece
• Image Rejection Mixer: Eliminate triple conversion Cutline
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• High Dynmaic Range Microwave Monitoring Receivers
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• Carrier recovery of Data Communicati
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- Image Rejection Mixer: Eliminate triple conversion
• Important Characteristics of A/D converters
• Important Characteristics of Down Converters
• Characteristics of AGC
• Carrier recovery of Data Communication
• Spectrum

Radio Communication Standard

Problem !

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-
- **Problem !
• Myriad of standards exist for terrestrial communications**
• Cell phone communication standards change every few years
• Satellite ground station would like to listen to multiple spacecraft, some launch
1970s • Radio Communication Standard
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1 Radio Communication

Wriad of standards exist for terrestrial communication

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Strum space is a precious resource
 Radio Communication Standard

Shem!

Myriad of standards exist for terrestrial communications

Cell phone communication standards change every few years

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Spect Frequence communication standards change every few years

Satellite ground station would like to listen to multiple spacecraft, some launche

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Spectrum space is a precious resource

- Each frequency is "owned"

- How Frequency is "owned"

Statllite ground station would like to listen to multiple spacecraft, some launched in

1970s

Spectrum space is a precious resource

- Each frequency is "owned"

- How do we deal with new technologie
- -
	-

Solution: SDR (Software Defined Radio) SDR

-
-
- at different times

Emerging Trend: Cognitive Radio Solution

SDR & IoT

SDR & IoT fundamentally changed how people live, work and stay connected

Rohde & Schwarz: Software Defined Radios

Software Defined Radio

Rohde & Schwarz software defined radios (SDR) provide reliable and secure communications.

The Rohde & Schwarz Radiocommunications Systems Division is one of the leading global suppliers of software defined radios (SDR) and systems for use in fixed and mobile ground stations, on board ships and in aircraft.

R&S[®]M3SR Series4100 Software Defined Radios

HF radio family for stationary and shipborne communications

Overview Models **System Components** Options

Courtesy: Qualcomm Technologie

Radio Follow Moore's Law

Why SDR ?

Why SDR ?

First-Responder Communications Failures

Why SDR?

Deep Space Communications

10

Why SDR?

Spectrum space as a scarce resource

■ SDR will enable spectrum reuse

SDR will Dynamically Access Available Spectrum

All Spectrum May Be Assigned, But…

…Most Spectrum Is Unused!

Next Generation Radios: Enable Technology and System Concepts for Dynamically Access Available Spectrum

Goal: 10 Folds increase in spectrum access

SDR (Software Defined Radio)

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Finition:

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Fortware Defined Radio (SDR) is a communicaton system, where the major part of

Final processing components, typically realized in hardware are instead replaced by

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 SDR (Software I
• Definition:
A Software Defined Radio (SDR) is a commun signal processing components, typically realized alimitate alimitation in a oftware (FDGA) A Software Defined Radio (SDR) is a communicaton system, where the major part of signal processing components, typically realized in hardware are instead replaced by digital algorithms, written in software (FPGA).

SDR

- - What Parameters?
		- RX/TX Frequency
		- Bandwidth
		- Impedance Match
-

• Want to make all parameters digitally tunable
• What Parameters?
• RX/TX Frequency
• Bandwidth
• Impedance Match
• First Reported Publication (February 26-28, 1985):
» Ulrich L. Rohde, Digital HF Radio: "A Sampling of Te Ulrich L. Rohde, Digital HF Radio: "A Sampling of Techniques, presented at the Third International Conference on HF Communication Systems and Techniques", London, England, February 26-28, 1985, Classified Session (U.S Secret). http://en.wikipedia.org/wiki/Software-defined radio

Benefits of Software Defined Radio **Benefits of Software**
• Ease of design
• Reduces design-cycle time, quick
• Ease of manufacture **Benefits of Software Defined Radio**
Ease of design
- Reduces design-cycle time, quicker iterations
Ease of manufacture
- Digital hardware reduces costs associated with manufacturing

- -
-
- **Benefits of Software De**
• Ease of design
- Reduces design-cycle time, quicker itera
• Ease of manufacture
- Digital hardware reduces costs associate
testing radios Benefits of Software Defined Radio

Ease of design

– Reduces design-cycle time, quicker iterations

Ease of manufacture

– Digital hardware reduces costs associated with manufacturing and

testing radios

Aultimode operat testing radios **Benefits of Software De**
• Ease of design
- Reduces design-cycle time, quicker itera
• Ease of manufacture
- Digital hardware reduces costs associate
testing radios
• Multimode operation
- SR can change modes by loading a **Benefits of Software Defined Radio**

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— Reduces design-cycle time, quicker iterations

Ease of manufacture

— Digital hardware reduces costs associated with manufacturing and

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Aultimode operation

- SR can change modes by loadin
- -
- - processing techniques
-
- Ease of manufacture

 Digital hardware reduces costs associated with

testing radios

 Multimode operation

 SR can change modes by loading appropriate :

 Use of advanced signal processing technic

 Allows implemen – Digital hardware reduces costs associated with manufacturing and
testing radios
Aultimode operation
– SR can change modes by loading appropriate software into memory
Jse of advanced signal processing techniques
– Allows demodulation, error correction, decryption, etc. • Multimode operation

– SR can change modes by loading appropriate software into memory

• Use of advanced signal processing techniques

– Allows implementation of new receiver structures and signal

• Fewer discrete comp – SR can change modes by loading appropriate software into me

Jse of advanced signal processing techniques

– Allows implementation of new receiver structures and signal

processing techniques

Fewer discrete components

- -

Benefits of SDR, Cont'd. **Benefits of SDR, Co**
• Flexible/reconfigurable
• Reprogrammable units and infrastructure
• Reduced obsolescence – Benefits of SDR, Cont'd.
Flexible/reconfigurable
– Reprogrammable units and infrastructure
Reduced obsolescence
– Multiband/multimode **Benefits of SDR**
• Flexible/reconfigurable
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• Ubiquitous connectivity **Benefits of SDR, C**

Flexible/reconfigurable

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Reduced obsolescence

– Multiband/multimode

Jbiquitous connectivity

– Different standards can co-exist **Benefits of SDR, Connection**
• Flexible/reconfigurable
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• Different standards can co-exist
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- Benefits of SDR, Correlation
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- Multiband/multimode

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Enhances/facilitates experimentat

Brings analog and digital worlds to

- Full convergence of digital networks a

- Networkable

- Simultaneo
	- Reprogrammable units and infrastructure

	Reduced obsolescence

	 Multiband/multimode

	Jbiquitous connectivity

	 Different standards can co-exist

	Enhances/facilitates experimentation

	Brings analog and digital worlds to
	-
	-

Technologies that enable SDR Technologies that enable SDR

Intennas

– Receive antennas are easier to achieve wide-band performance than transmit ones

– New fractal & plasma antennas expected in smaller size and wideband capability

– Management and Technologies that enable SDR

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Intennas

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Vaveforms

- Management and selection Technologies that enable

Intennas

- Receive antennas are easier to achieve wide-band performance

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Vaveforms

- Management and selection of multiple wavefor Technologies that end

Internas

- Receive antennas are easier to achieve wide-band

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Vaveforms

- Management and selection of multiple waveforms

- Cancellation carriers Technologies that enable SDF

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• Antennas

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 Management and selection of multiple waveforms

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Vaveforms

– Management and selection of multiple waveforms

– Cancellation carriers and pulse shaping techniques

malog-to-digital converte

• Waveforms

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-

• Analog-to-digital converters

-
-
- Digital signal processing/FPGAs
	-
	-
- Batteries
- Vaveforms

 Management and selection of multiple waveforms

 Management and selection of multiple waveforms

 Cancellation carriers and pulse shaping techniques

nalog-to-digital converters

 High ADC sampling speed

-
	-
- Terrain databases
	-
- Cognitive science
- Cancellation carriers and pulse shaping techniques

 Cancellation carriers and pulse shaping techniques

 High ADC sampling speed

 ADC bandwidth could be digitized instantaneously
 bigital signal processing/FPGAs
 – High ADC sampling speed

– High ADC sampling speed

– ADC bandwidth could be digitized instantaneously
 Digital signal processing/FPGAs

– Number of transistors doubles every 18 months

– More specific purpose DSPs and

Block Diagram: Software Defined Radio

Oscillator Phase Noise - 1

Sured SSB phase noise with internal reference oscillator (standard instrument).

Oscillator Phase Noise - 2

Oscillator Phase Noise – 3

IC -PLL

Frequency Generation

Vector Signal Generator

Frequency Generation, cont'd.

RF Block Diagram Overview (12.75/20GHz, IQ)

NCO (Numerically Controlled Oscillator)

Modern ARB

- A modern ARB substantially consists of
	- ◆ Output memory
	- ♦ Interpolation filter
	- ◆ D/A-converter
	- ◆ Analog low pass filter

NCO Block Diagram

48 Bits Resolution

24

Block Diagram: Software Defined Radio

25

5G: Emerging Cellular Networks

5G drivers

Mobile operators have just commercialized LTE and few of the features that make LTE a true 4G technology have made it into live networks. So why is industry already discussing 5G? **Cellular Networks**

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• Constant user d **Cellular Networks**

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is industry already discussing 5G?

• Constant user d

- and faster connections require a lot more wireless network capacity, especially in dense areas.
- higher peak data rate per user and 1000x more **CHIUIAT INCLWOTKS**
bile operators have just commercialized LTE and
of the features that make LTE a true 4G
hnology have made it into live networks. **So why
ndustry already discussing 5G?**
Constant user demands for higher as targets for the 5th generation of mobile networks (5G). Free the state of the Hindistand of the Hindistand Circle at the 4G
 Exchanged Scheme and Scheme and Scheme Alternation
 • Constant user demands for higher data rates

and faster connections require a lot more

wireles
- to be addressed. It is anticipated that millions of devices will "talk" to each other, including machine to machine (M2M), vehicle-to-vehicle (V2V) or more general x-2-y use cases.

Beyond doubt there is a need to improve the understanding of potential new air interfaces at frequencies technologies such as massive MIMO and beam forming, very long battery lifetimes (years instead of days) and very low response times (latency) call for another "G" in the future !!!

Present Technology and Planned 5G Spectrum

Radios: What is Desired? Capacity or Cost

What is better? In terms of capacity and cost

5G Business Case

Drive profit by reducing expenses (energy efficiency)

Why 5G? Power Consumption

Energy Efficiency: Centralized Baseband Processing

Spectral Efficiency

Energy Efficiency: Why Massive?

Improve energy efficiency: beamforming

How to Beam form?

Beamforming Architectures

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Massive MIMO = Complex Base Stations

Which is the Optimal Network?

5G Devices: New Measurement Paradigms

Basic Over-The-Air (OTA) Test Setup

5G & SDR Inspired Monitoring Receiver

R&S ESMD Wide Band Monitoring Receiver

High Dynamic Range !!!

Monitoring Receivers

**Monitoring Receivers

• High Dynmaic Range Microwave Monitoring Receivers

• Searching for faults in professional radio networks

• Comprehensive spectrum analysis

• Monitoring of user-specific radio services** Monitoring Receivers

High Dynmaic Range Microwave Monitoring Recerting for faults in professional radio networks

• Comprehensive spectrum analysis

• Monitoring of user-specific radio services

• Monitoring on behalf of **Monitoring Receivers**

• High Dynmaic Range Microwave Monitoring Receive

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• Handoff r High Dynmaic Range Microwave Monitoring Receivers

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- **IVIONITOFING RECEIVETS**

 High Dynmaic Range Microwave Monitoring Receivers

 Searching for faults in professional radio networks

 Comprehensive spectrum analysis

 Monitoring of user-specific radio services

 Monit Figh Dynmaic Range Microwave Monitoring Receivers
• Searching for faults in professional radio networks
• Comprehensive spectrum analysis
• Monitoring of user-specific radio services
• Monitoring on behalf of regulating au signals and simultaneous broadband spectrum scanning=High Dynamic Range • Searching for faults in professional radio networks
• Comprehensive spectrum analysis
• Monitoring of user-specific radio services
• Monitoring on behalf of regulating authorities
• Handoff receivers, i.e. **parallel demo**
- dynamic range

Typical Microwave Receiver

Principal Arrangment for Typical Microwave Receivers

The analog front end is downconverting the RF signals into an IF range <200MHz

Microwave Receiver, Cont'd.

Principal Arrangment for Typical Microwave Receivers

The digital front end consists of an Analog to Digital converter and a digital the application. Sampling rate of AD converters are rising up to 250Msps with resolutions of 14 or 16 bits.

Microwave Receiver, Cont'd.

Principal Arrangment for Typical Microwave Receivers

The baseband processing takes over the base band filtering, AGC, demodulation, and the signal regeneration..

Typical Analog Front End

Possible Drawbacks on the Analog Front End

- Wide band microwave receivers need tripple conversion to prevent image reception
-
- Intermodulation and Oscillator Phase Noise are the main issues
- Low noise and high dynamic range are contradictionary

Image Rejection Mixer

Solution to eliminate tripple Conversion

- An analog Image Rejection Mixer is capable to attenuate the Image by 30...40dB
- Criterions for the image attenuation are amplitude and phase errors in both branches
-
- The SDR technology allows to move the phase shifter from analog into the digital part, where it can be realized nearly ideal by means of a Hilbert Transformer

Image Rejection Mixer

Solution with a distributed Image Rejection Mixer

- The preselector filters may be wider, as they are no longer used for image rejection
- The digital parts, following the AD converter, can be realized in a FPGA
- In a wide band receiver, the LO can be tuned in steps from up to 10MHz which is simplifying the PLL loop filter design. The fine tuning will be done by the NCO
- The image rejection can be further improved by calibration algorithms in the digital part to values up to 80dB

Down Converters

Digital Down Converter

The digital down converter includes:

-
-
-
-

Down Converters, Cont'd.

Digital Down Converter

CIC-Filter with $R = 16$, $N = 5$, $M = 1$ (CIC: Cascaded Integrator Comb)

Automatic Gain Control

Automatic Gain Control

The broadband AGC serves to protect the AD converter from overvoltages. The RF-AGC can be used to set the receiver sensitivity just below the external noise.

The digital processing part is free from distortions, therefore the final AGC can be placed near the analog output.

Automatic Gain Control, Cont'd.

Automatic Gain Control

The main AGC control is realized near the of the signal processing chain as a feed forward control.

Typical Multi-Channel Receiver

Multichannel Receivers

Receiver, Cont'd.

converters. The channel frequencies must be allocated inside the preselector passband.

Receiver, Cont'd.

Multichannel Receivers

"Polyphase Filters" is often incorrectly taken to mean some special kind of filter… instead, it is merely a special structure that is handy when using filters in multi-rate settings.

Polyphase is a way of doing sampling-rate conversion that leads to very efficient implementations.

If all channels are equally spaced, then a Polyphase Filter bank can replace the multiple channels in the downconverter

Typical Characteristics of Sampled Systems

Important Characteristics of sampled Systems

The Sampling Theorem (Nyquist / Shannon)

- A bandlimited signal can be reconstructed, when B < fs/2
- Due to aliasing, replicas in all Nyquist zones will occur
- The aliasing effect can be used to sample a bandlimited signal B in a higher Nyquist

Typical Characteristics of AD Converters

Characteristics of AD Converters

ENOB: the Effective usable Number Of Bits

 SNR_{eff} = 1.76dB + ENOB \cdot 6.02dB

(measured in $B = fs/2$)

Characteristics of AD Converters, Cont'd.

Characteristics of AD Converters

Degradation of SNR by clock jitter

very important when applying undersampling!

Important Characteristics of AD converters

IMD measured on R&S EM510 without Dithering

Higher order intermodulation products as a function of the input signal. The known relationship of n∙dB/dB (n = order of IM) can not be applied. Therefore an Intercept point cannot be calculated. In practice, the IM is measured with two tones on -7dBm

Important Characteristics of AD converters

IMD measured on R&S EM510, with Dithering

 -140 -120 -100 -80 -60 -40 -20 | -100
 $+1.0$ rder
 -100
 $+1.0$ rder
 -100
 $+1.0$ rder
 -100
 $+1.0$ rder
 $+1.0$ Pout [dBm] $+$ 1. Order $\overline{}$ IMD3 \rightarrow IMD5 \rightarrow IMD7 \rightarrow IMD9 Noise

Pin [dBm]

Applying dithering noise has the effect, that the discontinuities are no longer periodic and therefore the spuriies are reduced.

Important Characteristics of AD converters

The NPR methode reflects the true impact of intermodulation from any order

Important Characteristics of AD converters 86 85.40dB ADC RANGE = $\pm V_0$ $-15.47dB$ $81 -$ 16 BITS $k = V_0/\sigma$ $76 -$ 74.01dB - σ = RMS NOISE LEVEL $71.$ $-14.79dB$ 14 BITS **NPR** $66 (dB)$ 62.71dB- $61 -14.00dB$ 12 BITS 56 51.56dB- $51 -13.06dB$ 10 BITS 46 $41 -$

36

 -30

 -25

Theoretical NPR for 10, 12,14 and 16bit AD converter

RMS NOISE LOADING LEVEL = $-20log_{10}(k)$ dB

 -15

 -10

 -20

Carrier Recovery

Carrier Recovery for Data Communication

Example for the carrier synchronisation for a QPSK modulated carrier.

Data Clock Extraction

Data clock extraction for Data Communication

Example for a Timing Error Detector for a QPSK modulated signal according to Gardner.

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Typical Architecture of Communication Receiver

Filter portion of the front-end of the receiver

Typical Down-Conversion Architecture

Typical Down-Conversion Architecture

Spectrum Analysis in Receiver

Spectrum Analysis in Communication Receivers

The actual usable bandwidth is reduced by a factor k compared with the sampling rate fs:

 $B_{\text{eff}} = \text{fs}/k$

In this exemple $k = 1.28$

Spectrum Analysis in Communication Receivers

Spectrum Analysis in Communication Receivers

Computing Power for overlapping 2048 bins FFT and fs = 12.8Msps:

≈ 2GFLOPs (Floating Point Operations)

Spectrum Analysis in Communication Receivers

Panorama Function with N consecutive FFT slices

for any bandwidth, but lacks in time resolution \implies

Widebnad Monitoring Receiver

Figure 1: R&S ESMD Wide Band Monitoring Receiver

Spectrum Analysis in Communication Receivers

Narrowband Analysis

Wideband Analysis
Spectrum Analysis in Communication Receiver

Proof of Available Dynamic Range

Aircraft Radio Communication Receiver can be monitored abd demodulated in the presence of strong FM Radio signal

Spectrum Analysis in Communication Receiver

Multichannel (4) Operation

TROHDE&SCHWARZ ESMD Wideband Monitoring Receiver Date: 2013-07-15 10:10:31 Comment: **BANDWIDTH DEMOD AFC MGC** SQU **DETECT ATT** $\mathbb{S}^{\mathbb{N}}_{\mathbb{S}}\oplus\mathbb{S}$ OFF OFF 1250 kHz **IQ AVG** AUTO (0 dB) 80.0 dBuV $n = 1$ 15 Jul 2013 10:10:30 35.7 dBuV **DEMOD FREQ** 120,000 000 MHz **FFM** $-49 - 29 - 9 + 11 - 31$ 67 154 000000 MHz \blacksquare FD4. FDS. ODDC3 FDS. ODDC4 DDC₁ \odot DDC 2 \Box FD_{pos} **SPAN** 128.824882 MHz L R FRO 105,686876 MHz L R FRO 112.224376 MHz L R **FRQ** 89.100000 MHz L R **FRO** lвw ATC 25 kHz **120 kHz 120 kHz** ATC 25 kHz **BW BW BW** 80000 kHz **DEMOD FM FM AM DEMOD DEMOD DEMOD AM** l sou OFF sou OFF sou **OFF** SOU **OFF STEP** LEVEL 27.0 dBuV LEVEL LEVEL LEVEL -9.9 dBuV 56.8 dBuV 10.6 dBuV 6dB BVV 120 kHz AUTO (50 kHz) $A = -29$ 51 67 49 - 29 - 9 $51 67$ 67-49 -29 $67 - 49 - 29$ 67 **PEAK SEARCH** 60-**LEFT DDC** $50 40 -$ FFT MODE $30 -$ **AVERAGE** $20 -$ **SHOW** $10 0 -$ ON $-10-$ **SELECT** $-20-$ DDC₁ $-30-$ **CONFIG** $-40-$ 母 -49 **DDC** 40.000 [MHz] 3 -20.000 $\sqrt{2}$ $\boxed{4}$ 0.000 \blacksquare 20.000 154.000 000 **MINIMUM CLRWRITE FFT MODE MAXIMUM** AVERAGE 1 of 3

All 4-Channels can be anlayzed

Antenna for Communication Receiver

Typical Antennas for high dynmaic range Communications Receivers

Input level up to 0 dBm !

"Measure what is measurable, and make measurable what is not so!"

Galileo Galilei

References

Thank You

