Tata Institute of Fundamental Research



Homi Bhabha Road, Navy Nagar, Colaba, Mumbai, INDIA

Colloquium on Sept 30, 2019

New Radio Networks and Emerging Trends: SDR, 5G and IoT

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WIRELESS INNOVATION F O R U M

WInnComm 2017

Conference: 15-16 November Technical Exchange Meetings: 13-17 November Qualcomm Institute, UCSD, San Diego, California



The Wireless Innovation Forum Conference on Wireless Communications Technologies: Connecting technical, business and regulatory leaders \sim Defining the future of radio communications

Wireless Innovation Forum Announces 2017 Wireless Innovation Achievement Award Winners

November 16, 2017 02:51 PM Eastern Standard Time

SAN DIEGO--(BUSINESS WIRE)-- The Wireless Innovation Forum, a non-profit international industry association dedicated to driving the future of radio communications and systems worldwide, today announced the winners of their annual Achievement Awards. Technology of the Year was given to the SVFuA, the Leadership Award (formerly the International Achievement award) to Prof. Dr.-Ing. habil. Dr. h.c. mult. Ulrich L. Rohde, partner of Rohde & Schwarz GmbH & Co., KG, Munich, and chairman of Synergy Microwave

Received Award at Winn Comm 2017 for pioneer work in the field of SDR & Modern Communication systems



Outline

- SDR (Software Defined Radio) & IoT
- Analog Frond End: Pros & Cons
- High Dynmaic Range Microwave Monitoring Receivers
- Image Rejection Mixer: Eliminate triple conversion
- Important Characteristics of A/D converters
- Important Characteristics of Down Converters
- Characteristics of AGC
- Carrier recovery of Data Communication
- Spectrum Analysis of Communication Receiver
- 5G
- Radio Monitoring Receiver
- Modern Radios-Futuristic Trends & Conclusions

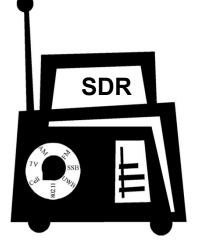
Radio Communication Standard

Problem !

- Myriad of standards exist for terrestrial communications
- Cell phone communication standards change every few years
- Satellite ground station would like to listen to multiple spacecraft, some launched in the 1970s
- Spectrum space is a precious resource
 - Each frequency is "owned"
 - How do we deal with new technologies like ultra wide band (UWB)?

Solution: SDR (Software Defined Radio)

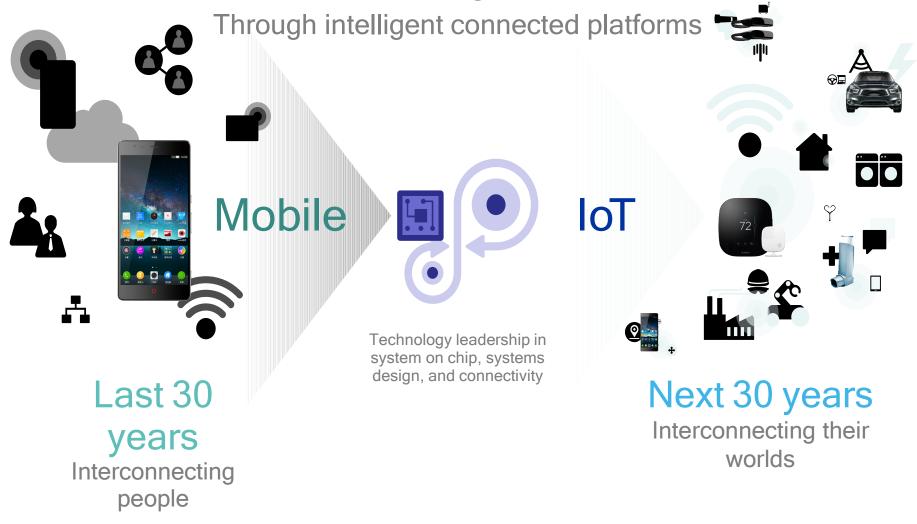
- Flexible radio systems that allow communication standards to migrate
- Flexible methods for reconfiguring a radio in software
- Flexible, intelligent systems that communicate via different protocols at different times



Emerging Trend: Cognitive Radio Solution

SDR & IoT

Transforming our world



SDR & IoT fundamentally changed how people live, work and stay connected

Rohde & Schwarz: Software Defined Radios

Software Defined Radio

Rohde & Schwarz software defined radios (SDR) provide reliable and secure communications.



The Rohde & Schwarz Radiocommunications Systems Division is one of the leading global suppliers of software defined radios (SDR) and systems for use in fixed and mobile ground stations, on board ships and in aircraft.

R&S®M3SR Series4100 Software Defined Radios

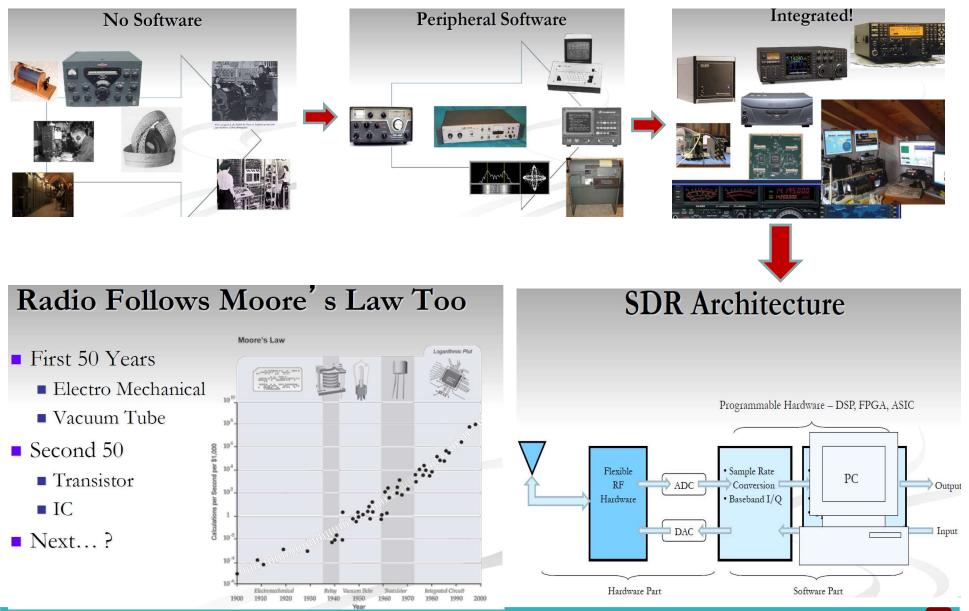
HF radio family for stationary and shipborne communications

Overview Models

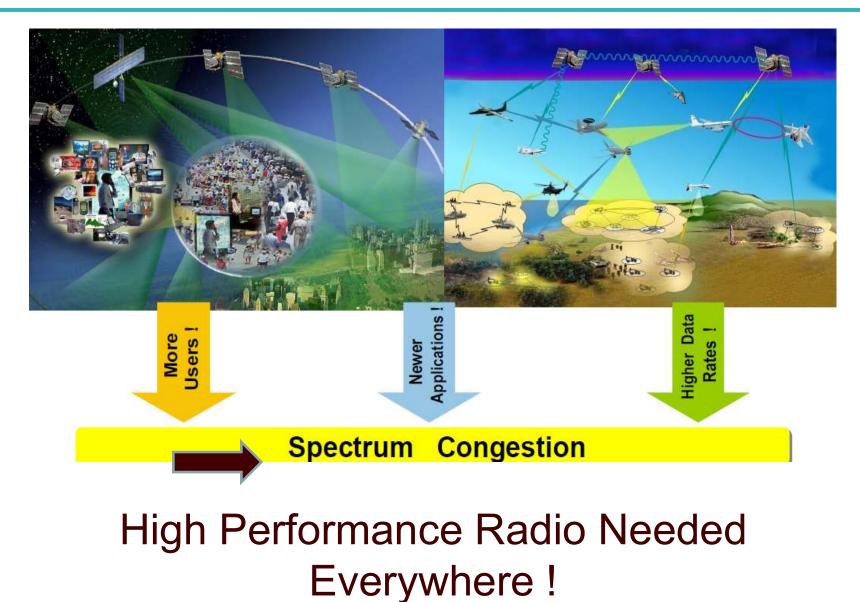
System Components Options



Radio Follow Moore's Law



Why SDR ?



Why SDR ?

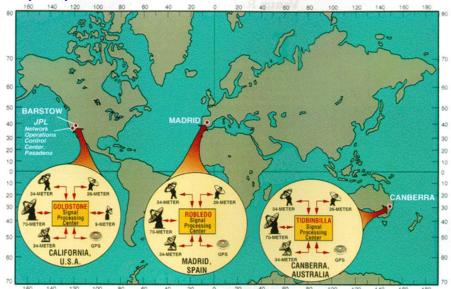
First-Responder Communications Failures



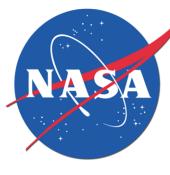
SDR will facilitate radio interoperability

Why SDR?

Deep Space Communications





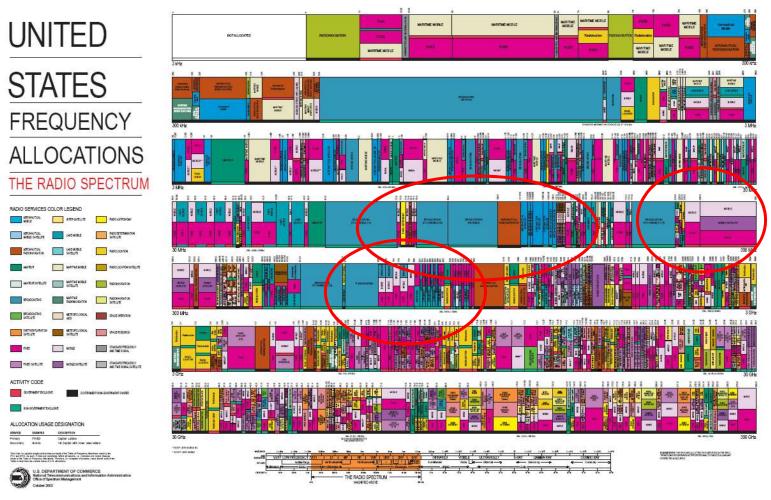




SDR allows old and new protocols

Why SDR?

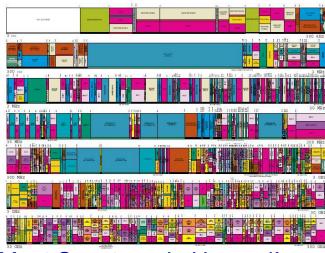
Spectrum space as a scarce resource



SDR will enable spectrum reuse

SDR will Dynamically Access Available Spectrum

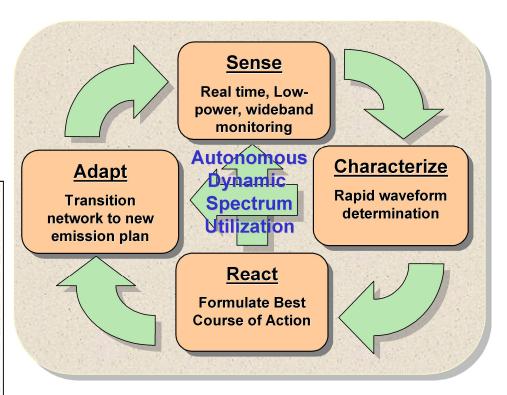
All Spectrum May Be Assigned, But...



...Most Spectrum Is Unused!

-	He	avy	<mark>/ Use</mark>	Heavy Use		
		[Sparse Use	Medium Use		
+						
	7					

Next Generation Radios: Enable Technology and System Concepts for Dynamically Access Available Spectrum



Goal: 10 Folds increase in spectrum access

SDR (Software Defined Radio)

• Definition:

A Software Defined Radio (SDR) is a communicaton system, where the major part of signal processing components, typically realized in hardware are instead replaced by digital algorithms, written in software (FPGA).

SDR

- Want to make all parameters digitally tunable
 - What Parameters?
 - RX/TX Frequency
 - Bandwidth
 - Impedance Match
- First Reported Publication (February 26-28, 1985):

Ulrich L. Rohde, Digital HF Radio: "A Sampling of Techniques, presented at the Third International Conference on HF Communication Systems and Techniques", London, England, February 26-28, 1985, Classified Session (U.S Secret). http://en.wikipedia.org/wiki/Software-defined radio

Benefits of Software Defined Radio

- Ease of design
 - Reduces design-cycle time, quicker iterations
- Ease of manufacture
 - Digital hardware reduces costs associated with manufacturing and testing radios
- Multimode operation
 - SR can change modes by loading appropriate software into memory
- Use of advanced signal processing techniques
 - Allows implementation of new receiver structures and signal processing techniques
- Fewer discrete components
 - Digital processors can implement functions such as synchronization, demodulation, error correction, decryption, etc.
- Flexibility to incorporate additional functionality
 - Can be modified in the field to correct problems and to upgrade

Benefits of SDR, Cont'd.

- Flexible/reconfigurable
 - Reprogrammable units and infrastructure
- Reduced obsolescence
 - Multiband/multimode
- Ubiquitous connectivity
 - Different standards can co-exist
- Enhances/facilitates experimentation
- Brings analog and digital worlds together
 - Full convergence of digital networks and radio science
 - Networkable
 - Simultaneous voice, data, and video

Technologies that enable SDR

Antennas

- Receive antennas are easier to achieve wide-band performance than transmit ones
- New fractal & plasma antennas expected in smaller size and wideband capability

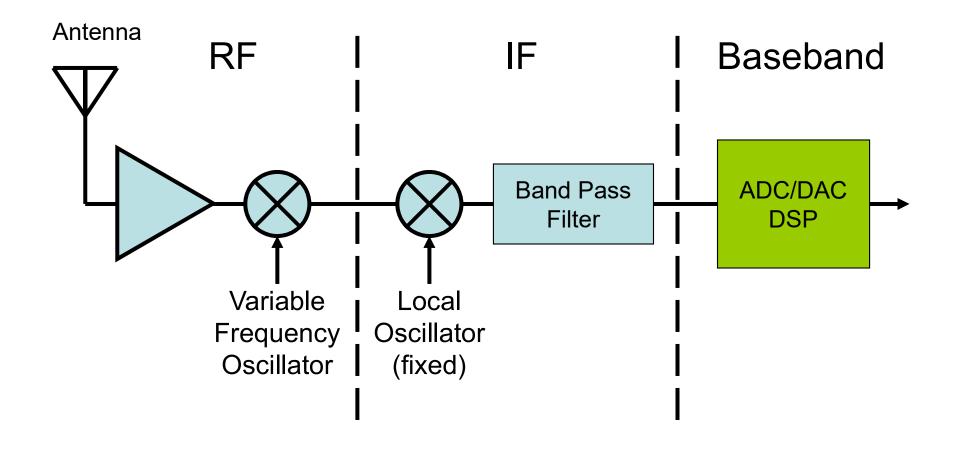
Waveforms

- Management and selection of multiple waveforms
- Cancellation carriers and pulse shaping techniques

Analog-to-digital converters

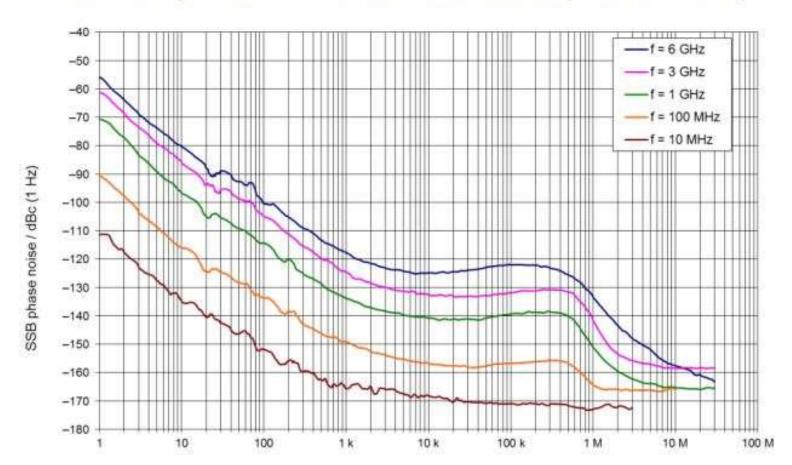
- High ADC sampling speed
- ADC bandwidth could be digitized instantaneously
- Digital signal processing/FPGAs
 - Number of transistors doubles every 18 months
 - More specific purpose DSPs and FPGAs
- Batteries
 - More and more power needed (need to focus on more efficient use of power)
 - Fuel cell development for handhelds
- Terrain databases
 - Interference prediction, environment awareness
- Cognitive science
 - A key aspect will be to understand how multiple CRs work with each other

Block Diagram: Software Defined Radio



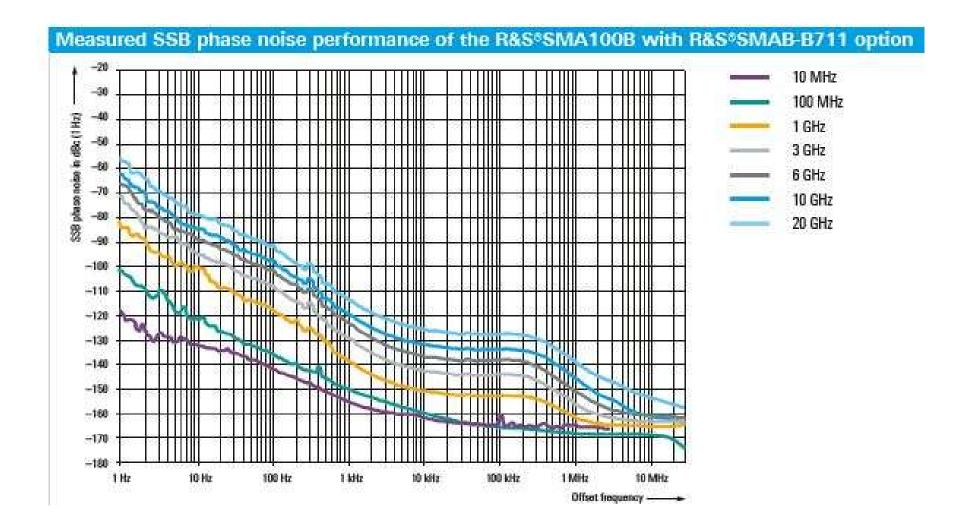


Oscillator Phase Noise - 1



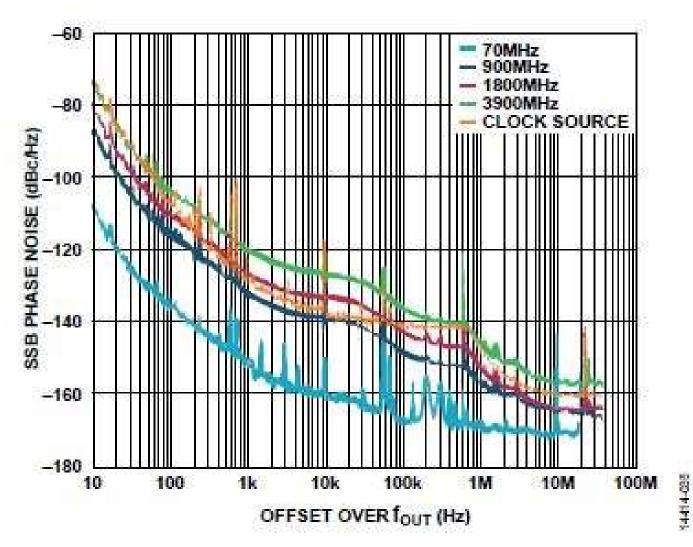
Measured SSB phase noise with internal reference oscillator (standard instrument).

Oscillator Phase Noise - 2



Oscillator Phase Noise – 3

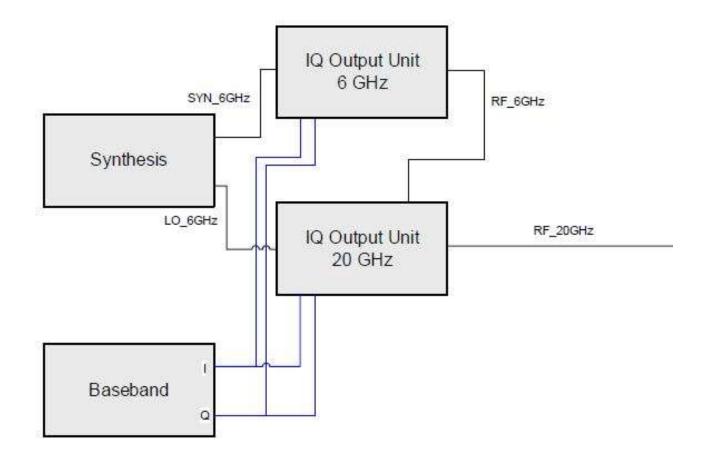
IC -PLL





Frequency Generation

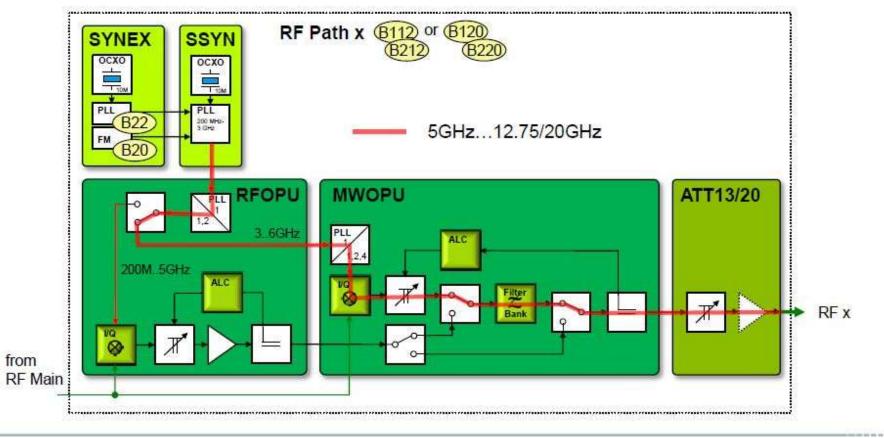
Vector Signal Generator





Frequency Generation, cont'd.

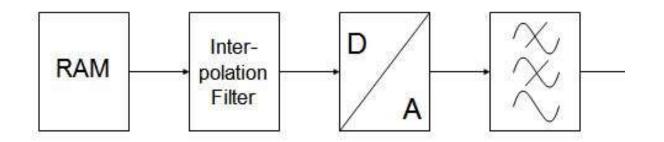
RF Block Diagram Overview (12.75/20GHz, IQ)



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NCO (Numerically Controlled Oscillator)

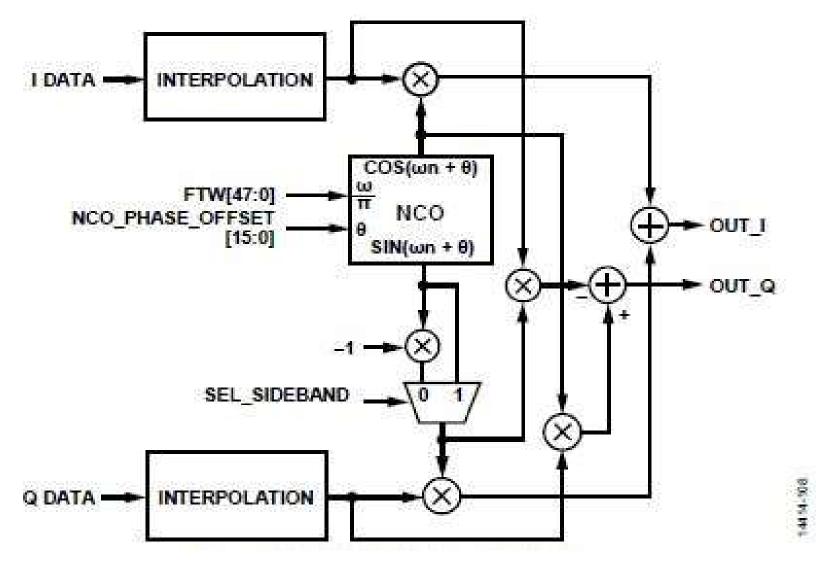
Modern ARB



- A modern ARB substantially consists of
 - Output memory
 - Interpolation filter
 - D/A-converter
 - Analog low pass filter

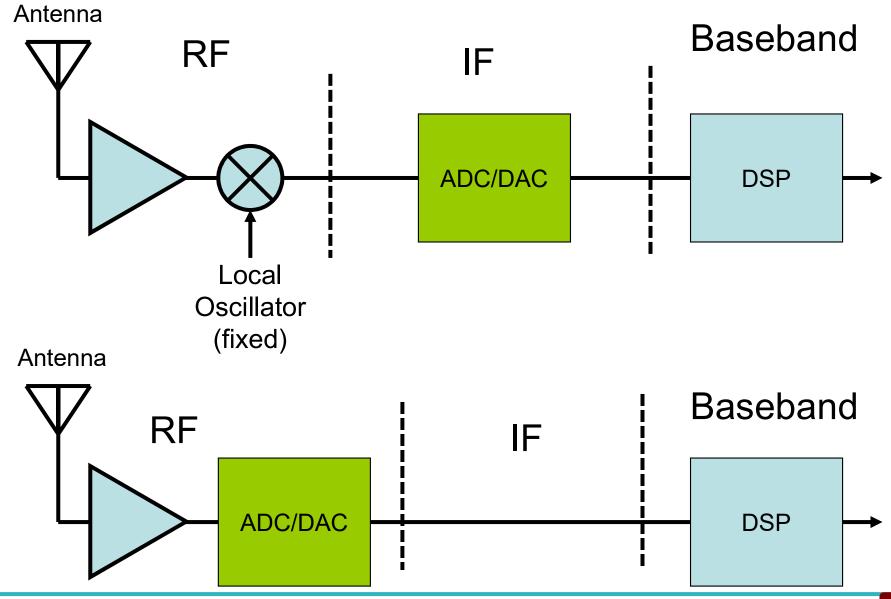
NCO Block Diagram

48 Bits Resolution



24

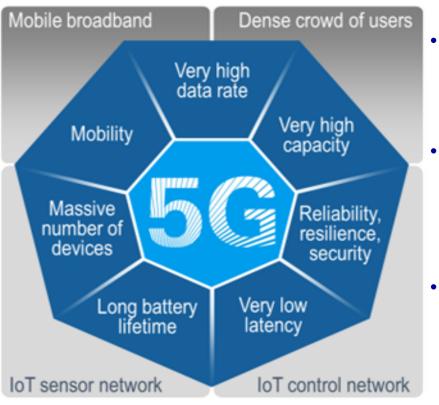
Block Diagram: Software Defined Radio



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5G: Emerging Cellular Networks

5G drivers

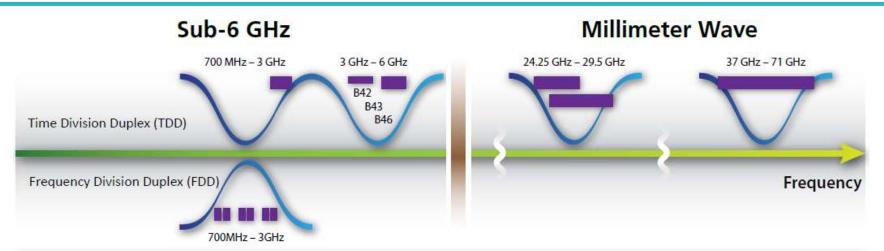


Mobile operators have just commercialized LTE and few of the features that make LTE a true 4G technology have made it into live networks. **So why is industry already discussing 5G?**

- Constant user demands for higher data rates and faster connections require a lot more wireless network capacity, especially in dense areas.
- The industry is expecting demand for 100x higher peak data rate per user and 1000x more capacity, and better cost efficiency defined these as targets for the 5th generation of mobile networks (5G).
- Internet of Things (IoT) provides new challenges to be addressed. It is anticipated that millions of devices will "talk" to each other, including machine to machine (M2M), vehicle-to-vehicle (V2V) or more general x-2-y use cases.

Beyond doubt there is a need to improve the understanding of potential new air interfaces at frequencies above current cellular network technologies, from 6 GHz right up to 100 GHz, as well as advanced antenna technologies such as massive MIMO and beam forming, very long battery lifetimes (years instead of days) and very low response times (latency) call for another "G" in the future *!!!*

Present Technology and Planned 5G Spectrum



Product Format Example	FEMID / PAMID / DRx	FEMID / PAMID / DRx	8T / 8R Antenna Complete Front-end	8T / 8R Antenna Complete Front-end
		Techn	ology	
Power Amp	III-V / SiGe / Bulk CMOS	III-V / SiGe / Bulk CMOS	InP / SiGe BiCMOS / Advanced SOI	InP / GaN / SiGe BiCMOS / Advanced SOI
Low Noise Amp	III-V / SiGe / SOI CMOS	III-V / SiGe / SOI CMOS	Advanced SOI / GaN	SiGe BiCMOS / Advanced SOI
RF Switching	SOI CMOS	SOI CMOS	Advanced SOI	Advanced SOI
Filtering	Acoustic / IPD / Ceramic	Acoustic / IPD / Ceramic	IPD / Ceramic	IPD
Antenna Integration	N/A	N/A	Yes	Yes
Signal Generation	N/A	N/A	Advanced SOI / SiGe BiCMOS	Advanced SOI / SiGe BiCMOS

Table represents existing technology and spectrum for SDR frequency spectrum in terms of waveforms

Radios: What is Desired? Capacity or Cost

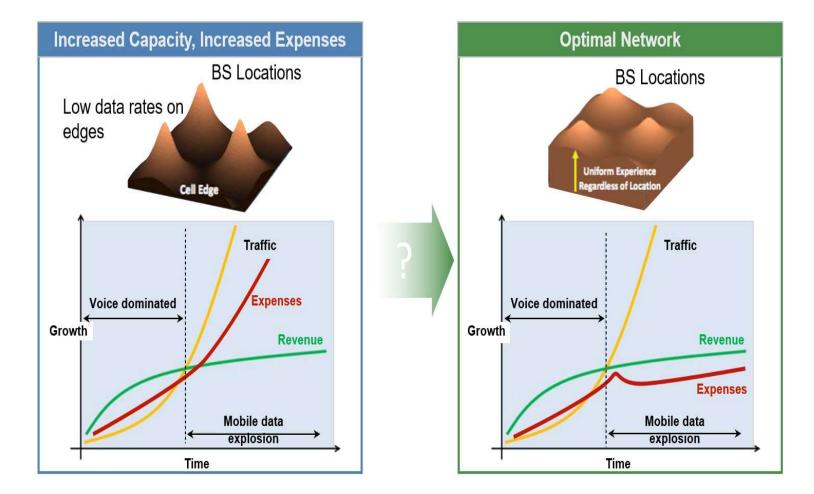




What is better? In terms of capacity and cost

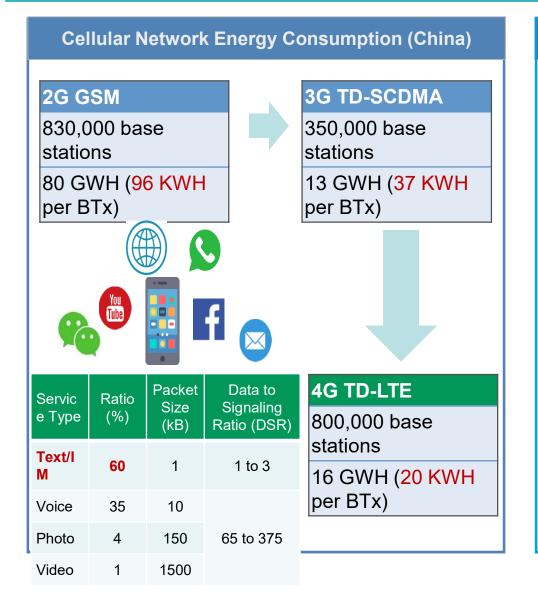


5G Business Case

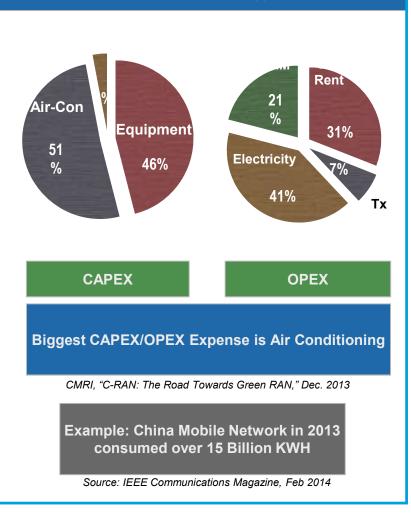


Drive profit by reducing expenses (energy efficiency)

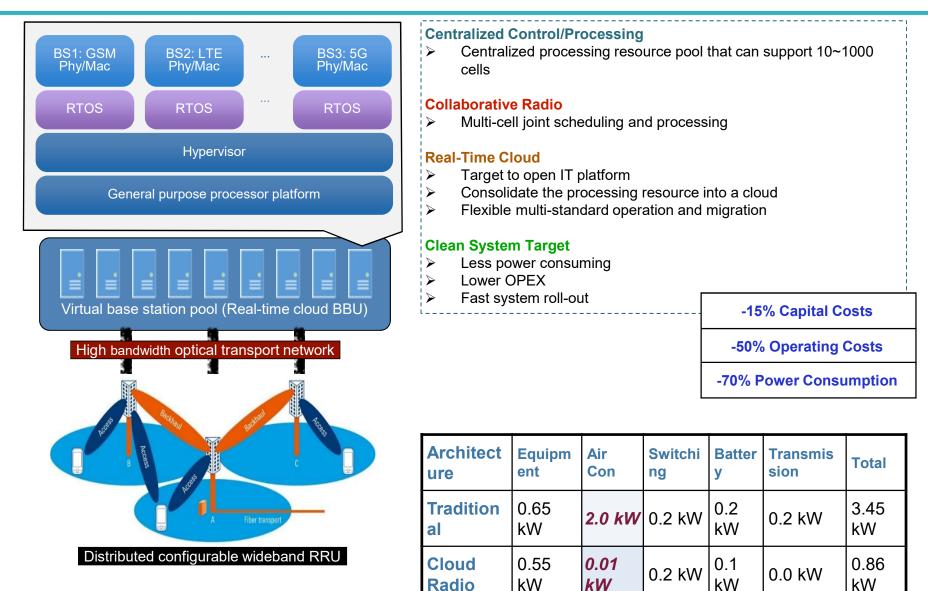
Why 5G? Power Consumption



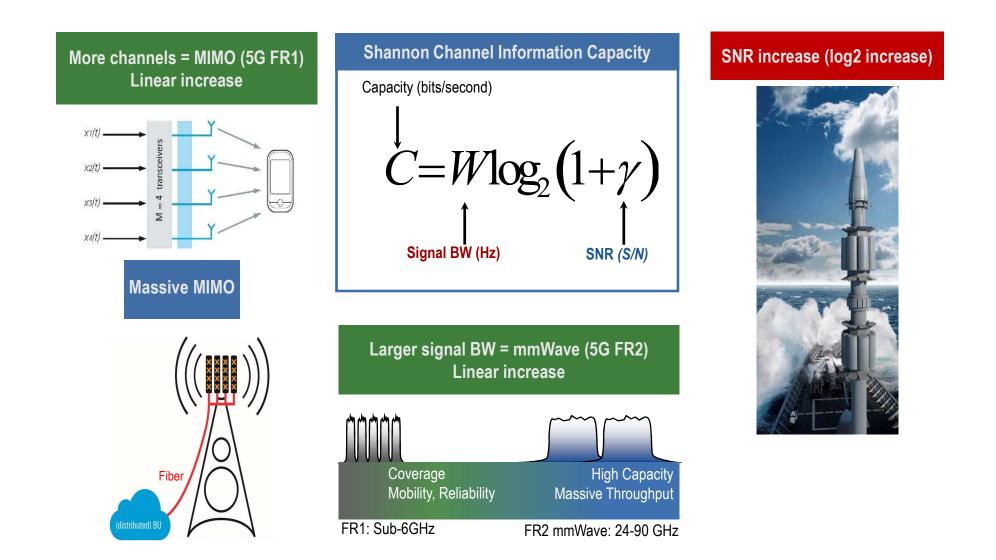
Radio Access Network Energy Consumption



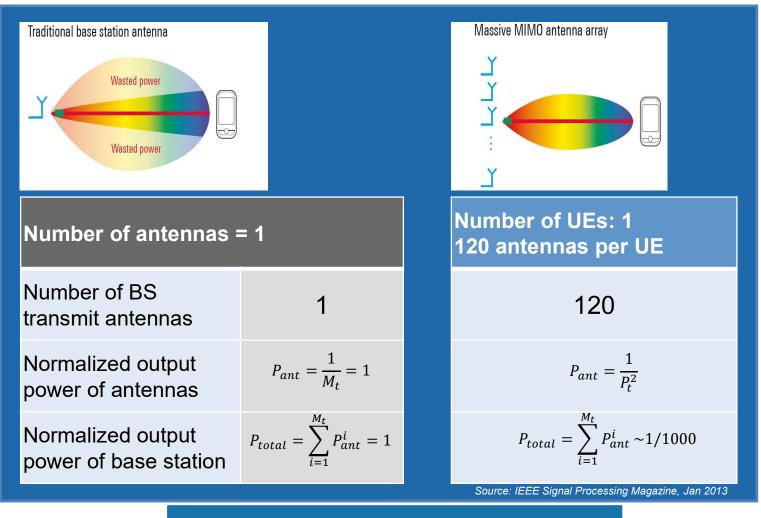
Energy Efficiency: Centralized Baseband Processing



Spectral Efficiency

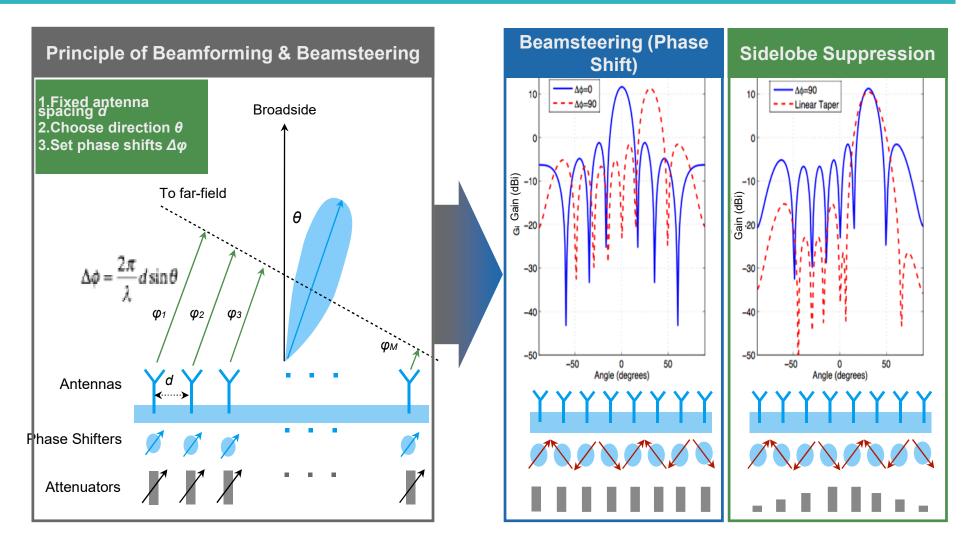


Energy Efficiency: Why Massive?

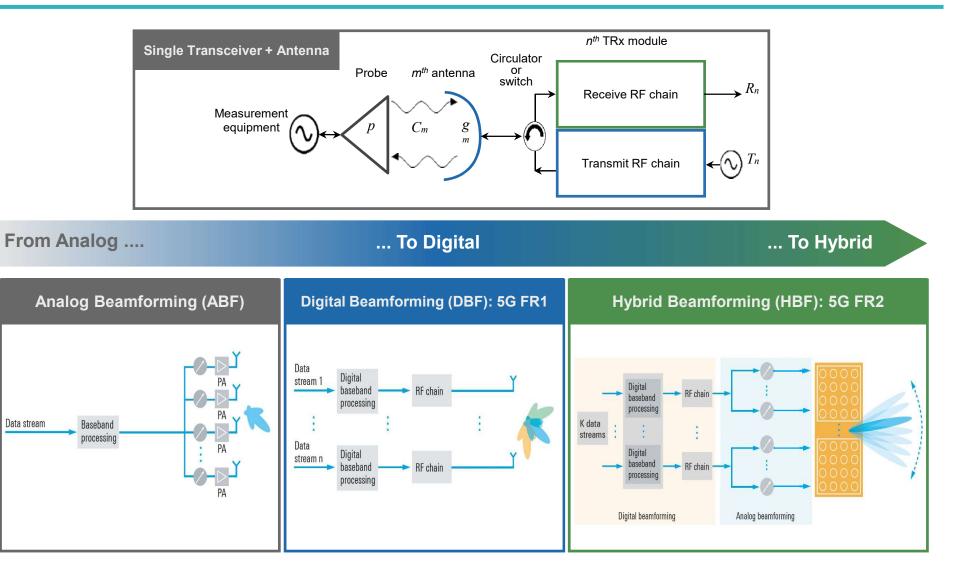


Improve energy efficiency: beamforming

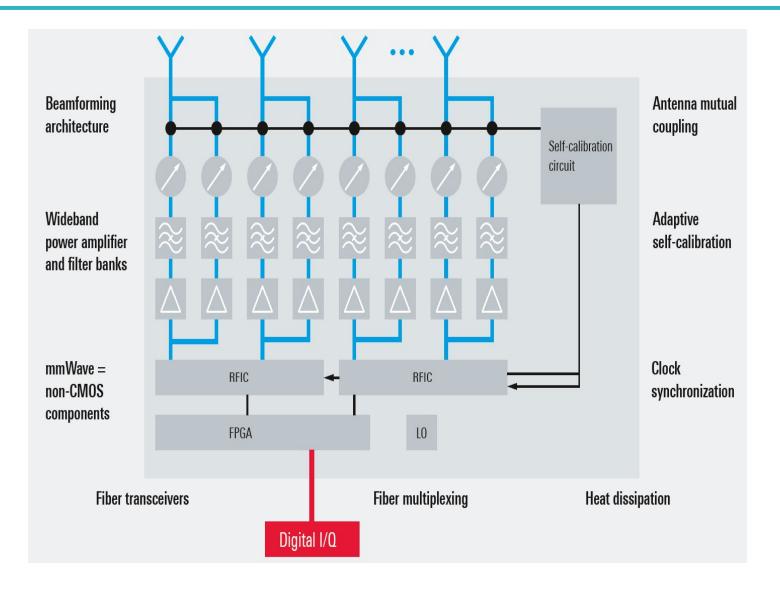
How to Beam form?



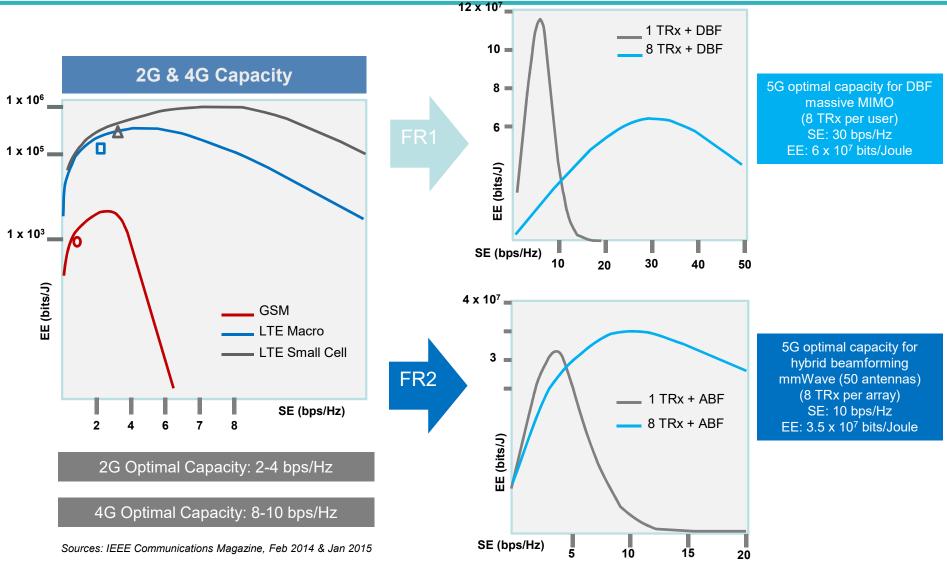
Beamforming Architectures



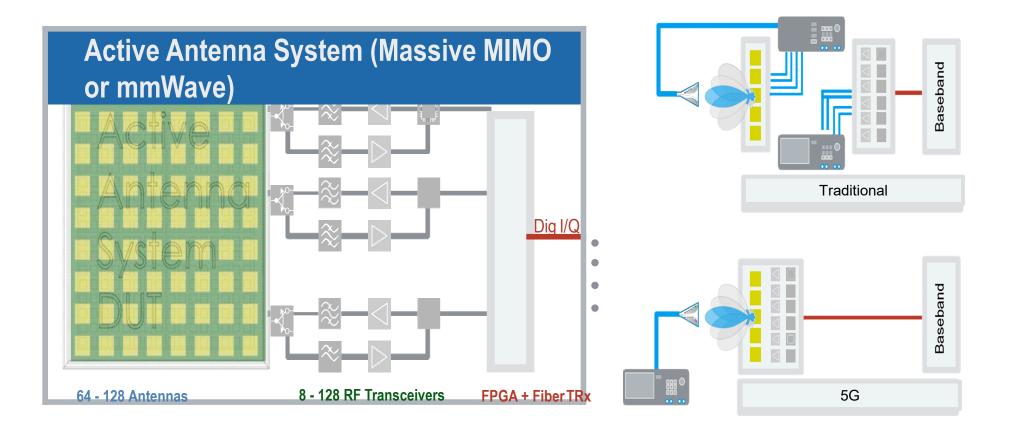
Massive MIMO = Complex Base Stations



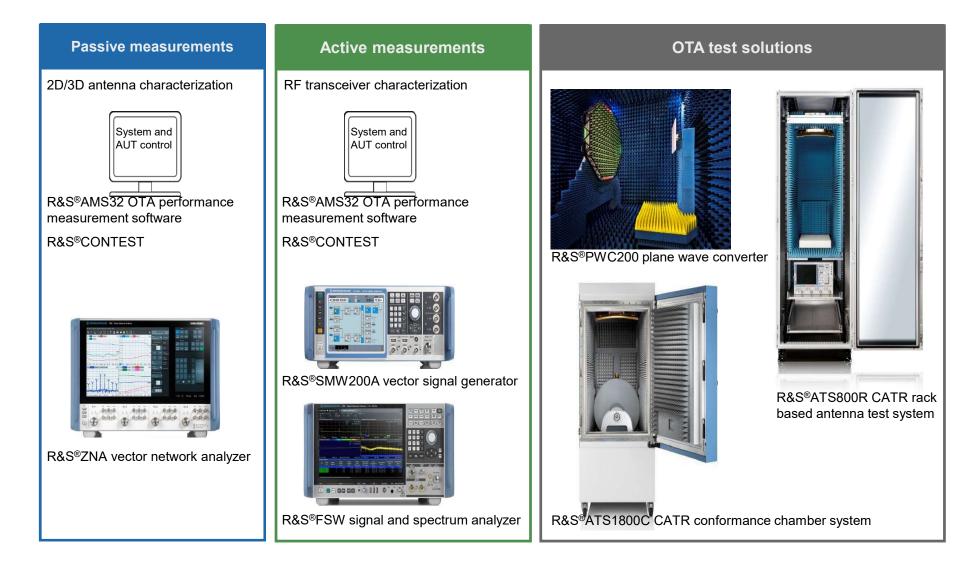
Which is the Optimal Network?



5G Devices: New Measurement Paradigms

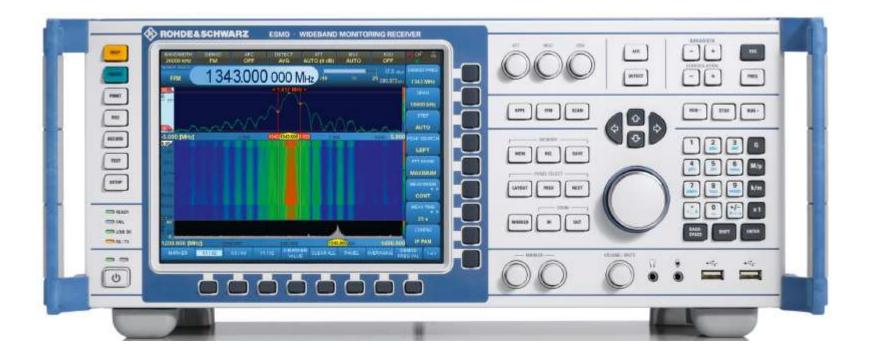


Basic Over-The-Air (OTA) Test Setup



5G & SDR Inspired Monitoring Receiver

R&S ESMD Wide Band Monitoring Receiver



High Dynamic Range !!!

Monitoring Receivers

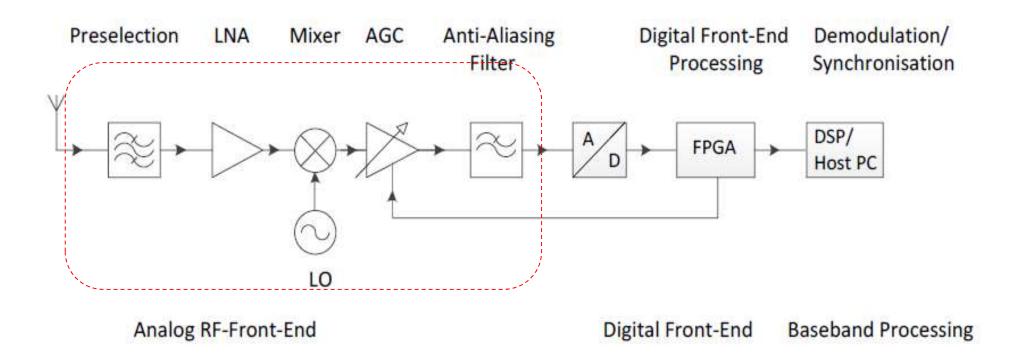
High Dynmaic Range Microwave Monitoring Receivers

- Searching for faults in professional radio networks
- Comprehensive spectrum analysis
- Monitoring of user-specific radio services
- Monitoring on behalf of regulating authorities
- Handoff receivers, i.e. parallel demodulation of narrowband signals and simultaneous broadband spectrum scanning=High Dynamic Range
- Critical Parameters: Noise Figure, IP2, IP3, and instantaneous dynamic range



Typical Microwave Receiver

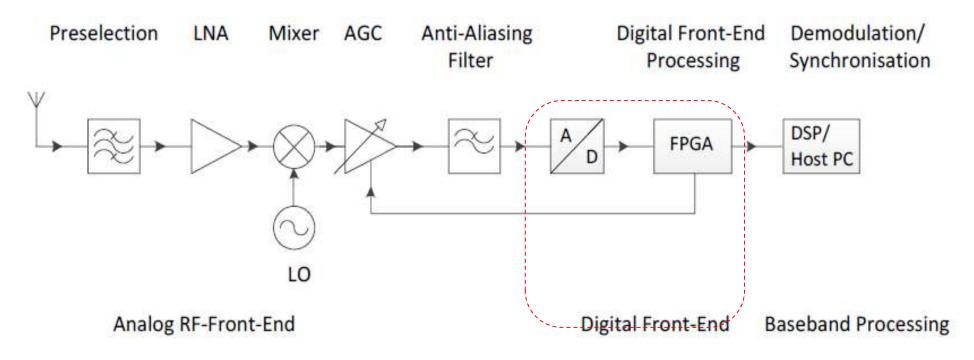
Principal Arrangment for Typical Microwave Receivers



The analog front end is downconverting the RF signals into an IF range <200MHz

Microwave Receiver, Cont'd.

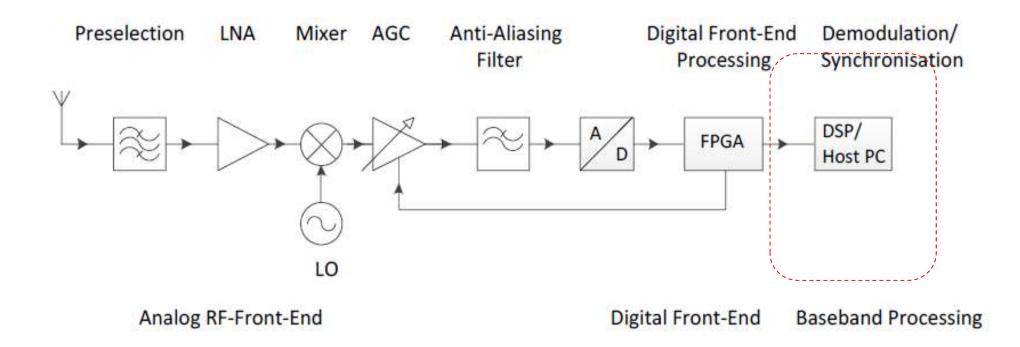
Principal Arrangment for Typical Microwave Receivers



The digital front end consists of an Analog to Digital converter and a digital down- converter to reduce the sample rate down to the bandwidth needed by the application. Sampling rate of AD converters are rising up to 250Msps with resolutions of 14 or 16 bits.

Microwave Receiver, Cont'd.

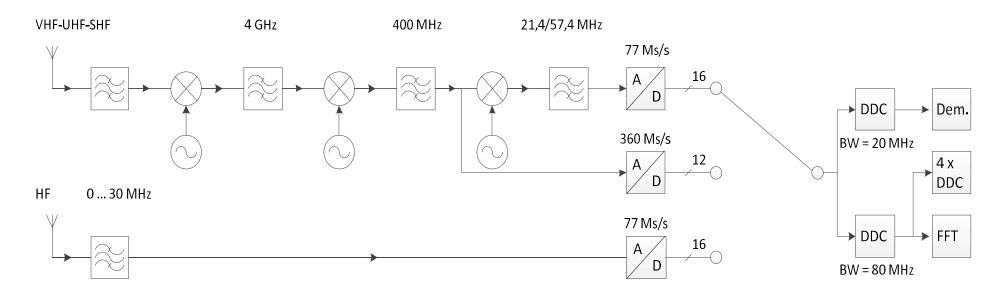
Principal Arrangment for Typical Microwave Receivers



The baseband processing takes over the base band filtering, AGC, demodulation, and the signal regeneration..

Typical Analog Front End

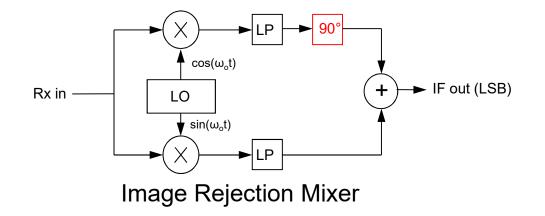
Possible Drawbacks on the Analog Front End



- Wide band microwave receivers need tripple conversion to prevent image reception
- Several expensive and switchable filters are required for pre- and IF-selection
- Intermodulation and Oscillator Phase Noise are the main issues
- Low noise and high dynamic range are contradictionary

Image Rejection Mixer

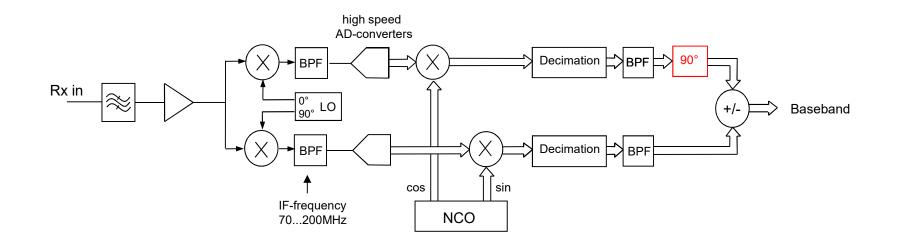
Solution to eliminate tripple Conversion



- An analog Image Rejection Mixer is capable to attenuate the Image by 30...40dB
- Criterions for the image attenuation are amplitude and phase errors in both branches
- The most critical element is the 90° phase shifter, mainly for wide band IF
- The SDR technology allows to move the phase shifter from analog into the digital part, where it can be realized nearly ideal by means of a Hilbert Transformer

Image Rejection Mixer

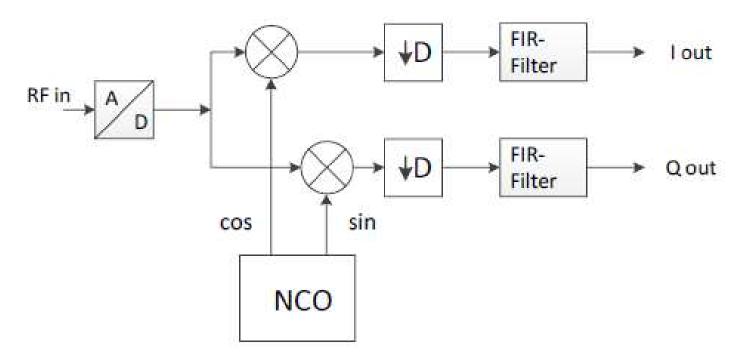
Solution with a distributed Image Rejection Mixer



- The preselector filters may be wider, as they are no longer used for image rejection
- The digital parts, following the AD converter, can be realized in a FPGA
- In a wide band receiver, the LO can be tuned in steps from up to 10MHz which is simplifying the PLL loop filter design. The fine tuning will be done by the NCO
- The image rejection can be further improved by calibration algorithms in the digital part to values up to 80dB

Down Converters

Digital Down Converter

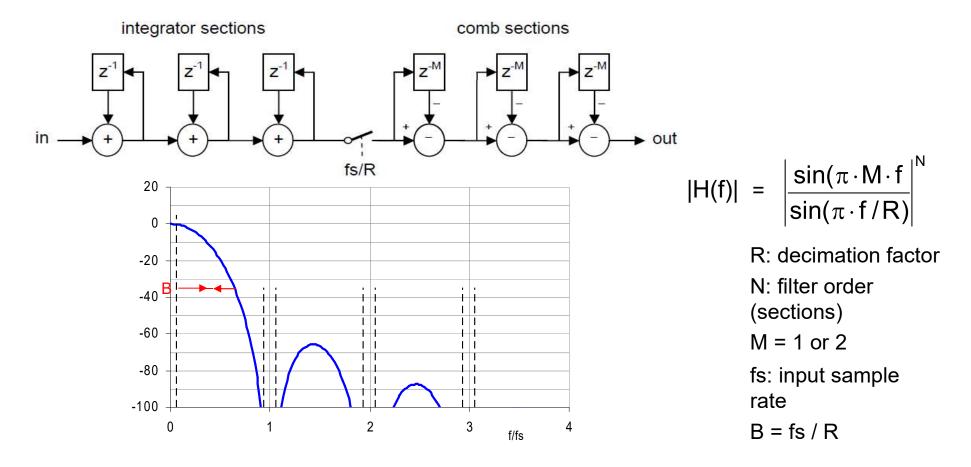


The digital down converter includes:

- a numerically oscillator (NCO)
- a complex IQ-mixer to convert the IF down to approx. 0Hz (zero-IF)
- several decimation filter stages for reducing the sampling rate
- final lowpass FIR-filters (Finite Impulse Response)

Down Converters, Cont'd.

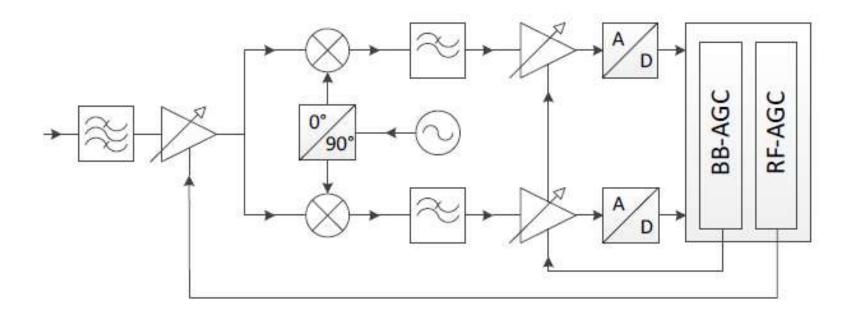
Digital Down Converter



CIC-Filter with R = 16, N = 5, M = 1 (CIC: Cascaded Integrator Comb)

Automatic Gain Control

Automatic Gain Control

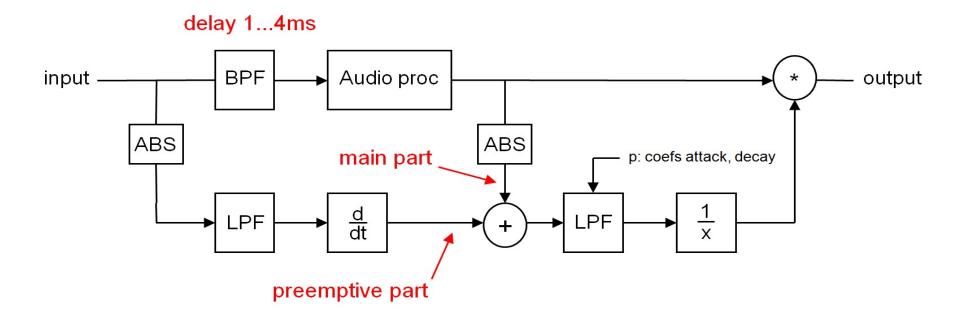


The broadband AGC serves to protect the AD converter from overvoltages. The RF-AGC can be used to set the receiver sensitivity just below the external noise.

The digital processing part is free from distortions, therefore the final AGC can be placed near the analog output.

Automatic Gain Control, Cont'd.

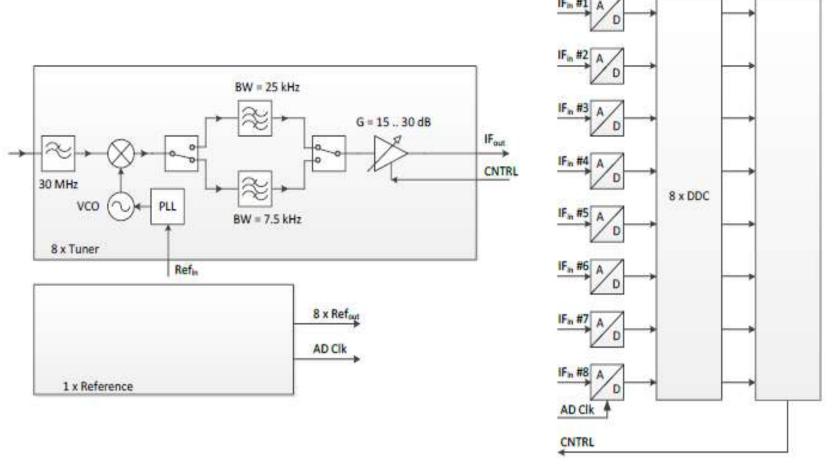
Automatic Gain Control



The main AGC control is realized near the of the signal processing chain as a feed forward control.

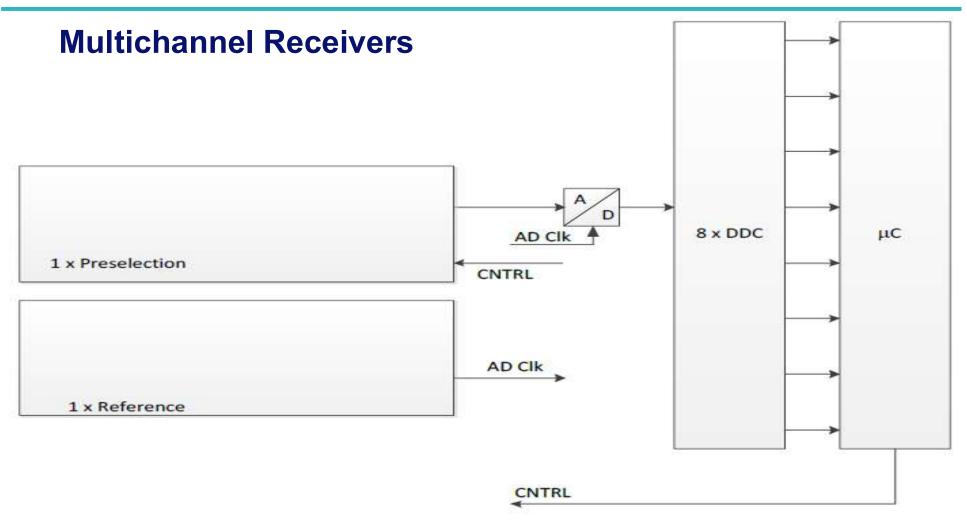
Typical Multi-Channel Receiver

Multichannel Receivers



N - channel Receiver with N analog front ends

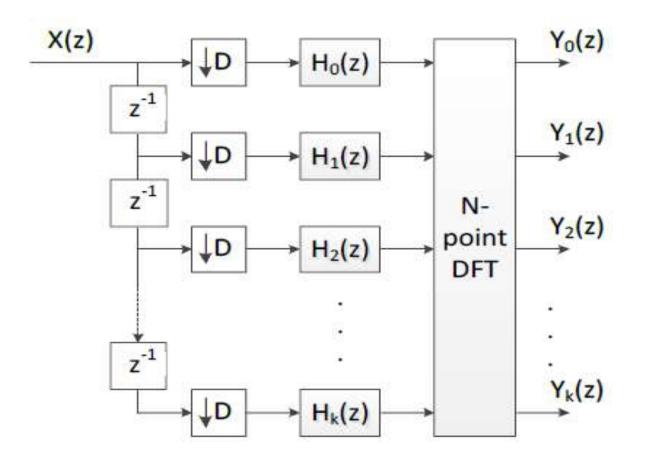
Receiver, Cont'd.



N - channel Receiver with only one analog front end and N digital down converters. The channel frequencies must be allocated inside the preselector passband.

Receiver, Cont'd.

Multichannel Receivers



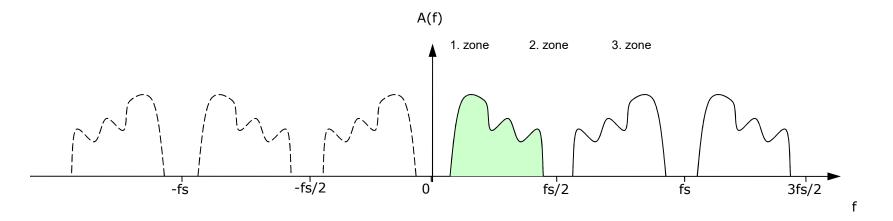
"Polyphase Filters" is often incorrectly taken to mean some special kind of filter... instead, it is merely a special structure that is handy when using filters in multi-rate settings.

Polyphase is a way of doing sampling-rate conversion that leads to very efficient implementations.

If all channels are equally spaced, then a **Polyphase Filter bank** can replace the multiple channels in the downconverter

Typical Characteristics of Sampled Systems

Important Characteristics of sampled Systems



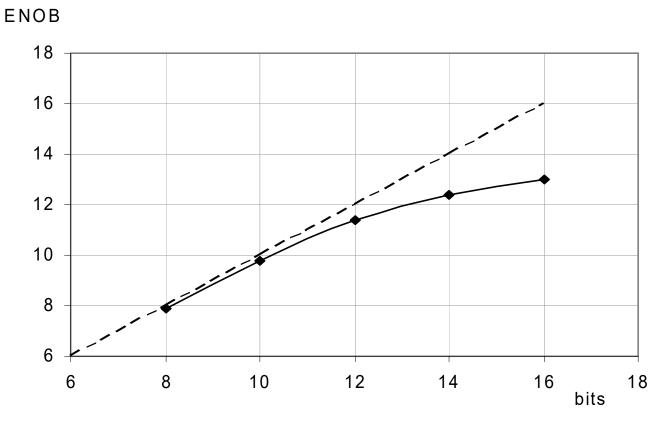
The Sampling Theorem (Nyquist / Shannon)

- A bandlimited signal can be reconstructed, when B < fs/2
- Due to aliasing, replicas in all Nyquist zones will occur
- The aliasing effect can be used to sample a bandlimited signal B in a higher Nyquist zone (bandpass- or undersampling)

 $B = (n - 1) \cdot fs/2 \dots n \cdot fs/2$ whereas n is the zone (1,2, ...)

Typical Characteristics of AD Converters

Characteristics of AD Converters



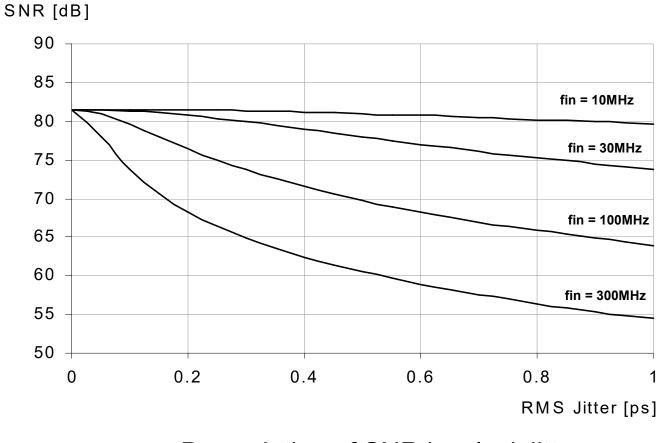
ENOB: the Effective usable Number Of Bits

 $SNR_{eff} = 1.76dB + ENOB \cdot 6.02dB$

(measured in B = fs/2)

Characteristics of AD Converters, Cont'd.

Characteristics of AD Converters

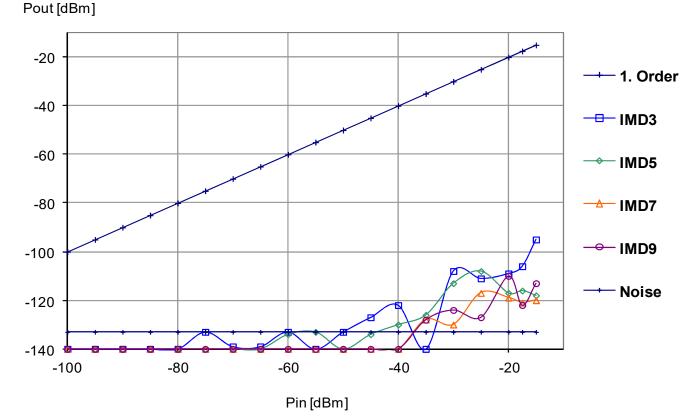


Degradation of SNR by clock jitter

very important when applying undersampling!

Important Characteristics of AD converters

IMD measured on R&S EM510 without Dithering



Higher order intermodulation products as a function of the input signal. The known relationship of $n \cdot dB/dB$ (n = order of IM) can not be applied. Therefore an Intercept point cannot be calculated. In practice, the IM is measured with two tones on -7dBm

Important Characteristics of AD converters

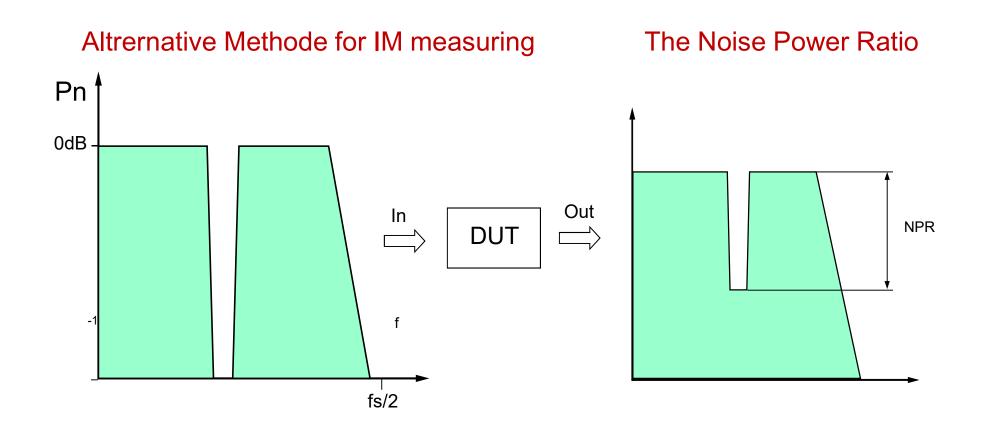
IMD measured on R&S EM510, with Dithering

Pout [dBm] -20 ----- 1. Order -40 -60 -80 -100 -120 - Noise -140 -80 -60 -100 -40 -20

Pin [dBm]

Applying dithering noise has the effect, that the discontinuities are no longer periodic and therefore the spuries are reduced.

Important Characteristics of AD converters



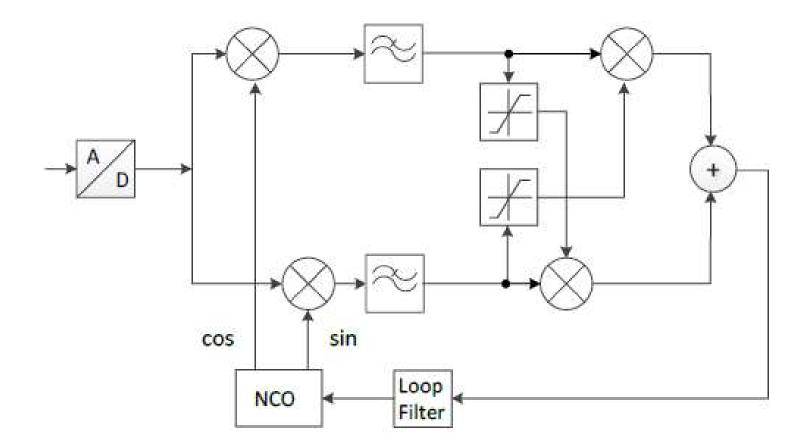
The NPR methode reflects the true impact of intermodulation from any order

Important Characteristics of AD converters 86 85.40dB ADC RANGE = $\pm V_0$ -15.47dB 81 -16 BITS $k = V_0 / \sigma$ 76 -74.01dB - $\sigma = RMS NOISE LEVEL$ 71. -14.79dB 14 BITS NPR 66 -(dB)62.71dB -61 --14.00dB 12 BITS 56 -51.56dB-51 --13.06dB 10 BITS 46 41 -36 . -25 -30 -20 -15 -10 RMS NOISE LOADING LEVEL = -20log10(k) dB

Theoretical NPR for 10, 12,14 and 16bit AD converter

Carrier Recovery

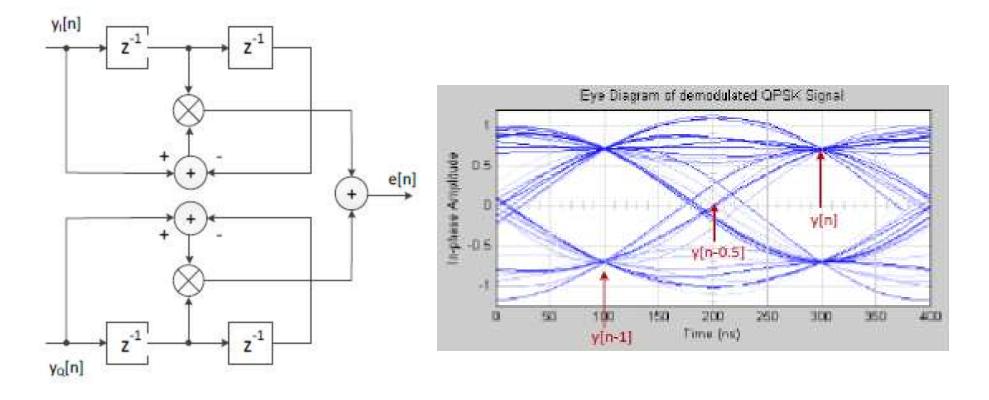
Carrier Recovery for Data Communication



Example for the carrier synchronisation for a QPSK modulated carrier.

Data Clock Extraction

Data clock extraction for Data Communication

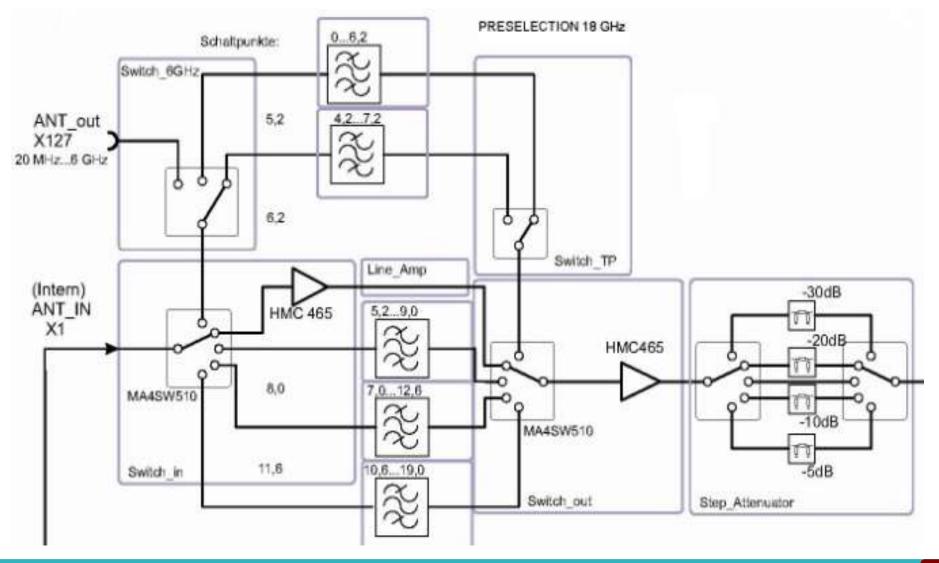


Example for a Timing Error Detector for a QPSK modulated signal according to Gardner.

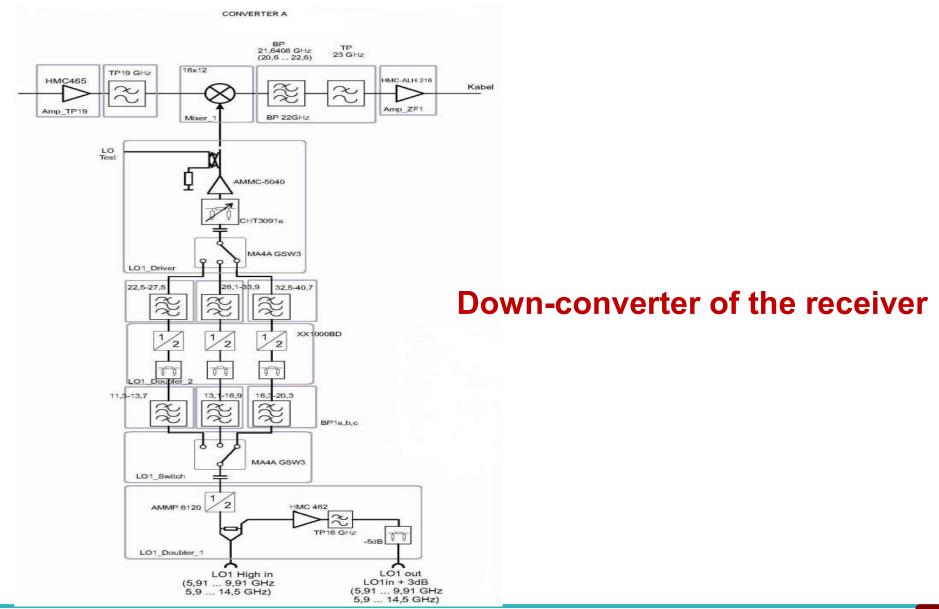
63

Typical Architecture of Communication Receiver

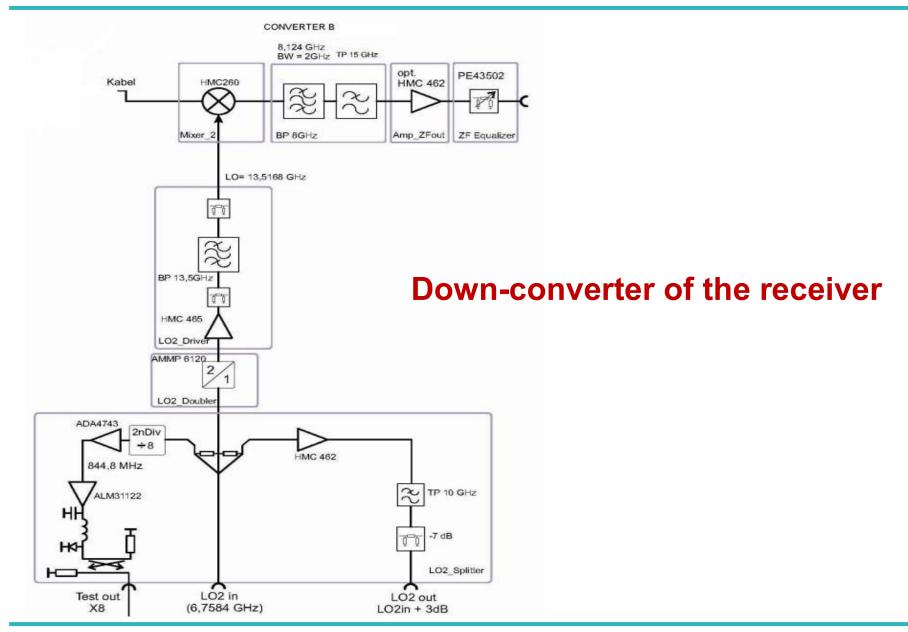
Filter portion of the front-end of the receiver



Typical Down-Conversion Architecture

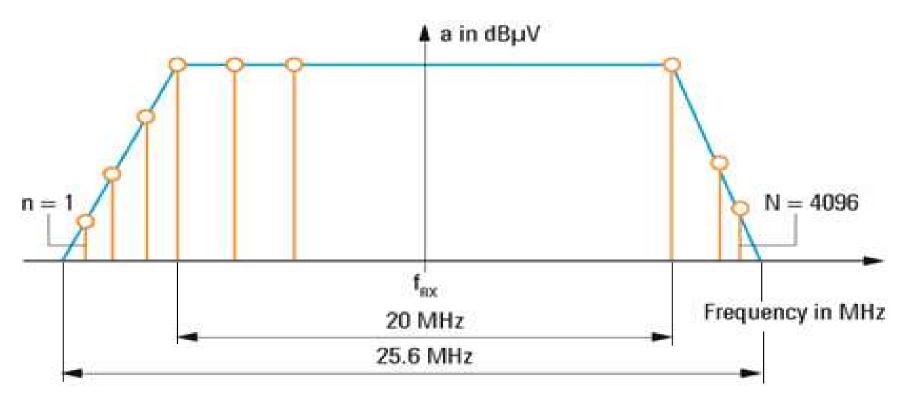


Typical Down-Conversion Architecture



Spectrum Analysis in Receiver

Spectrum Analysis in Communication Receivers

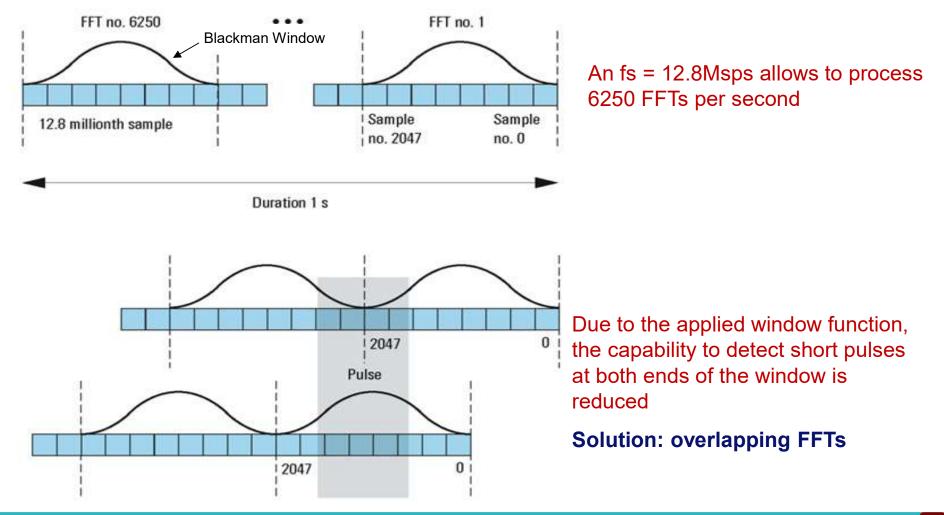


The actual usable bandwidth is reduced by a factor k compared with the sampling rate fs:

 $B_{eff} = fs / k$

In this exemple k = 1.28

Spectrum Analysis in Communication Receivers



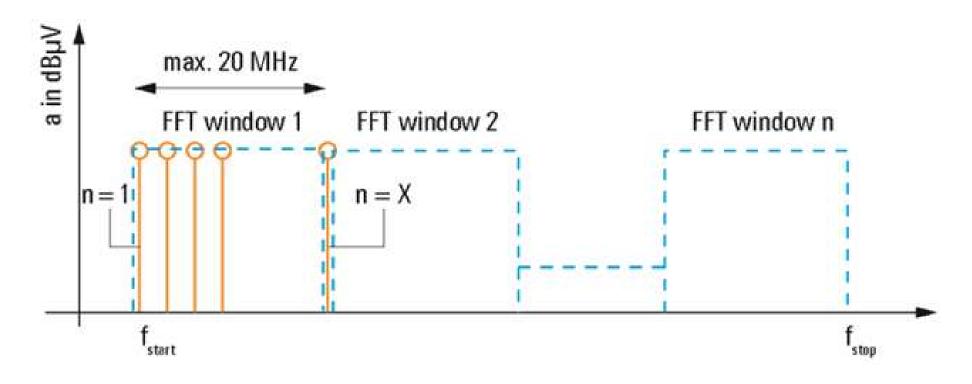
Spectrum Analysis in Communication Receivers

Computing Power for overlapping 2048 bins FFT and fs = 12.8Msps:

≈ 2GFLOPs (Floating Point Operations)

Internal computing power of the R&S®ESMD		
Frequency -resolution in kHz	80 MHz realtime -bandwidth	
	Spectra per second	Time resolution in is
25	25 000	40
50	50 000	20
100	100 000	10
500	500 000	2
2000	2 000 000	0.5

Spectrum Analysis in Communication Receivers

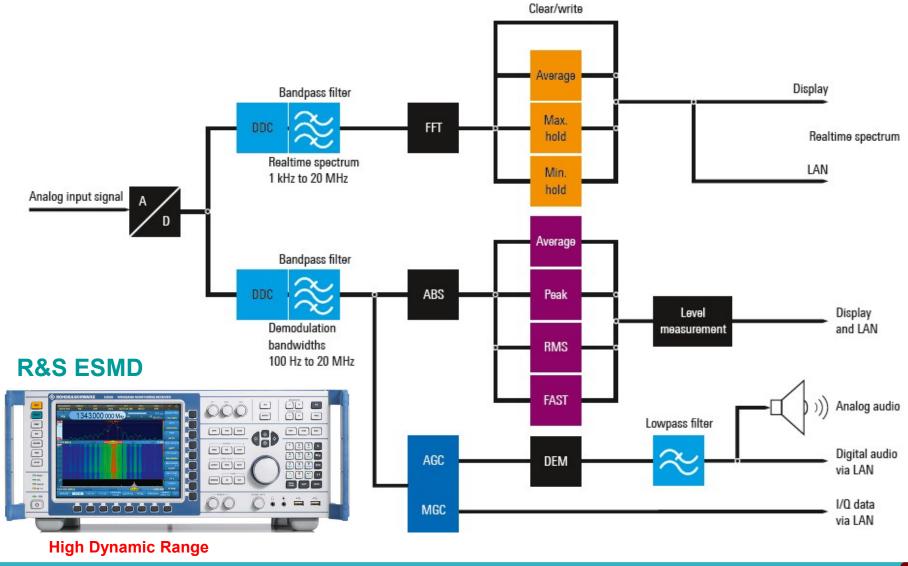


Panorama Function with N consecutive FFT slices

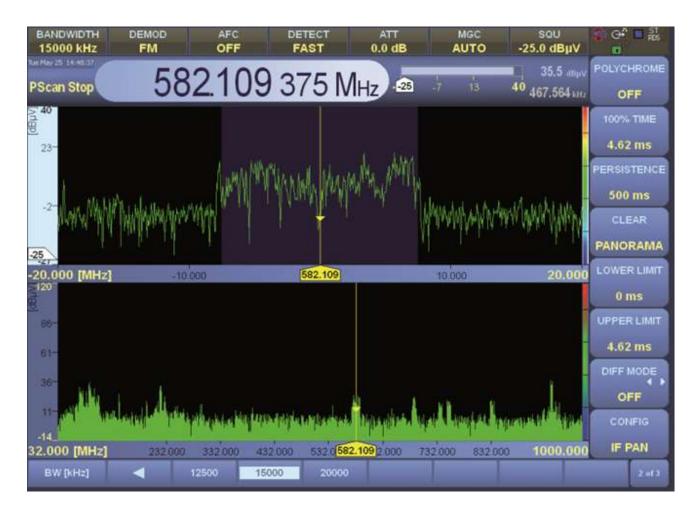
for any bandwidth, but lacks in time resolution

Widebnad Monitoring Receiver

Figure 1: R&S ESMD Wide Band Monitoring Receiver



Spectrum Analysis in Communication Receivers

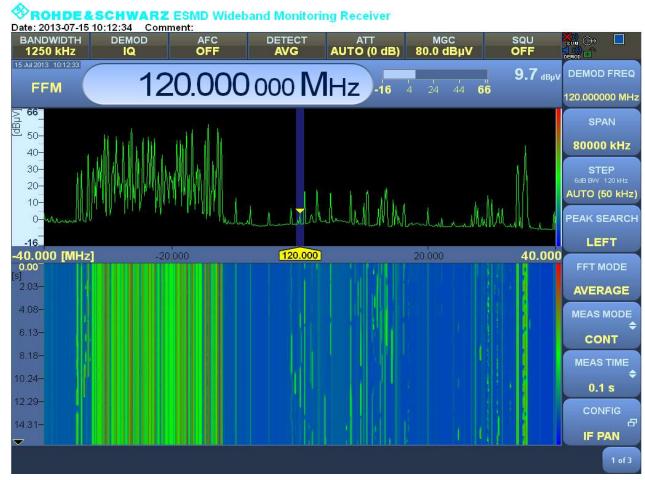


Narrowband Analysis

Wideband Analysis

Spectrum Analysis in Communication Receiver

Proof of Available Dynamic Range



Aircraft Radio Communication Receiver can be monitored abd demodulated in the presence of strong FM Radio signal

Spectrum Analysis in Communication Receiver

Multichannel (4) Operation

ROHDE & SCHWARZ ESMD Wideband Monitoring Receiver Date: 2013-07-15 10:10:31 Comment: BANDWIDTH DEMOD AFC MGC SQU DETECT ATT SUM 🕀 OFF 1250 kHz Q AVG AUTO (0 dB) 80.0 dBuV OFF DEMOD 15 Jul 2013 10:10:30 35.7 dBuv DEMOD FREQ 120.000 000 MHz -49-29 -9 11 31 FFM 67 154.000000 MHz DDC 1 FD 👁 DDC 2 📃 F D 🐜 👁 DDC 3 📃 F D 🐜 👁 DDC 4 FD 🐜 👁 SPAN L R FRQ 105.686876 MHz L R FRQ LR FRQ 128.824882 MHz 89.100000 MHz LR FRQ 112.224376 MHz вw ATC 25 kHz 120 kHz ATC 25 kHz BW BW 120 kHz BW 80000 kHz DEMOD AM DEMOD FM DEMOD FM DEMOD AM SQU OFF SQU OFF SQU OFF sou OFF STEP LEVEL LEVEL -9.9 dBµV 27.0 dBµV LEVEL 56.8 dBµV 10.6 dBµV LEVEL AUTO (50 kHz) 31 51 67 51 67 49 -29 -9 .49 -29 67 - 49 - 29 67 -49 -29 67 PEAK SEARCH LEFT DDC 50-40-FFT MODE 30-AVERAGE 20-SHOW ON SELECT DDC1 -40-CONFIG -49_ DDC -40.000 [MHz] 🕄 2 4 1 154.000 000 MINIMUM FFT MODE MAXIMUM AVERAGE CLRWRITE 1 of 3

All 4-Channels can be anlayzed

Antenna for Communication Receiver

Typical Antennas for high dynmaic range Communications Receivers



Input level up to 0 dBm !

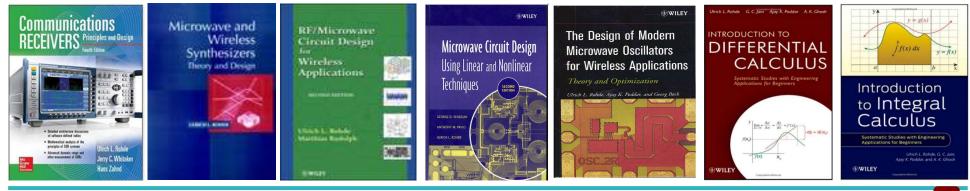
"Measure what is measurable, and make measurable what is not so!"

Galileo Galilei



References







Thank You

