# Penguin pollution in $\beta$ and $\beta_s$

### Ulrich Nierste

Karlsruhe Institute of Technology Institute for Theoretical Particle Physics





9th International Workshop on the CKM Unitarity Triangle (CKM2016) Mumbai. 29 November 2016

Ulrich Nierste (TTP) 29 Nov 2016 1 / 25

B decays to charmonium

Summary

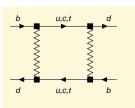
Ulrich Nierste (TTP) 29 Nov 2016 2 / 25

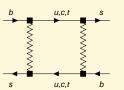
## B decays to charmonium

Time-dependent CP asymmetries (for q = d or s):

$$\begin{split} A_{\mathrm{CP}}^{B_q \to f}(t) &= \\ \frac{S_f \sin(\Delta m_q t) - C_f \cos(\Delta m_q t)}{\cosh(\Delta \Gamma_q t/2) + A_{\Delta \Gamma_q}^f \sinh(\Delta \Gamma_q t/2)} \end{split}$$

 $\Delta m_q$ : mass difference  $\Delta \Gamma_q$ : width difference





3/25

The coefficients  $S_f$ ,  $C_f$ , and  $A^f_{\Delta\Gamma_q}$  encode the information on the decay amplitudes  $A_f \equiv A(B_q \to f)$  and  $\overline{A}_f \equiv A(\overline{B}_q \to \overline{f})$ .

Golden mode: *B* decay into a CP eigenstate  $f = f_{CP}$  which only involves a single CKM factor ( $\Rightarrow |A_{f_{CP}}| = |\overline{A}_{f_{CP}}|$  and  $|\lambda_f| = 1$ ).

$$\mathit{CP}|\mathit{f}_{\mathrm{CP}}\rangle = \eta_{\mathit{f}_{\mathrm{CP}}}|\mathit{f}_{\mathrm{CP}}\rangle \qquad \text{with } \eta_{\mathit{f}_{\mathrm{CP}}} = \pm 1.$$

Time-dependent CP asymmetry:

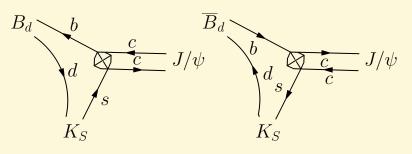
$$a_{f_{\mathrm{CP}}}(t) = -rac{\mathrm{Im}\,\lambda_f\sin(\Delta m_q t)}{\cosh(\Delta\Gamma_q t/2) - \mathrm{Re}\,\lambda_f\sinh(\Delta\Gamma_q t/2)},$$

 $\operatorname{Im} \lambda_f$  quantifies the CP violation in the interference between mixing and decay:

Ulrich Nierste (TTP) 29 Nov 2016

## Example 1:

$$B_d \rightarrow J/\psi K_S$$
  $\Rightarrow$   $|\bar{f}\rangle = -|f\rangle$  (CP-odd eigenstate)



$$a_{J/\psi K_S}(t) \simeq -\sin(2\beta)\sin(\Delta m_d t),$$

where

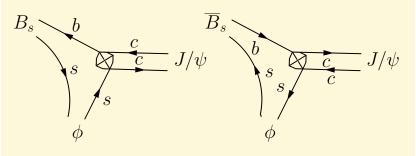
$$\beta = \arg \left[ -\frac{V_{cd} V_{cb}^*}{V_{td} V_{tb}^*} \right]$$

golden mode to measure the angle  $\beta$  of the unitarity triangle

Ulrich Nierste (TTP) 29 Nov 2016

## Example 2:

$$B_s \rightarrow (J/\psi \phi)_{L=0} \qquad \Rightarrow \qquad |\overline{f}\rangle = |f\rangle$$
 (CP-even eigenstate)



$$a_{(J/\psi\phi)_{L=0}}(t) = -\frac{\sin(2\beta_s)\sin(\Delta m_s t)}{\cosh(\Delta\Gamma_s t/2) - \cos(2\beta_s)\sinh(\Delta\Gamma_s t/2)},$$
 where 
$$\beta_s = \arg\left[-\frac{V_{ts}V_{tb}^*}{V_{cs}V_{t}^*}\right] \simeq \lambda^2\overline{\eta}$$

Ulrich Nierste (TTP) 29 Nov 2016

# Penguin pollution in $b o c\overline{c}s$ decays

The decay amplitudes  $A(B_{d,s} \to J/\psi X)$  are dominated by the CKM structure  $V_{cb} V_{cs}^*$ , but have a small contribution with  $V_{ub} V_{us}^*$ , called penguin pollution.

How golden are these modes?

Experimental world average:

$$S_{J/\psi K_S} = 0.665 \pm 0.024$$

Averaging all charmonia and including final states with  $K_L$  gives

$$\sin(2\beta) = 0.679 \pm 0.020$$
, HFAG winter 2015

...if the penguin pollution is set to zero.

Ulrich Nierste (TTP) 29 Nov 2016 7 / 25

# Penguin pollution in $b \to c\overline{c}s$ decays

$$S(B_q \to f) = \sin(\phi_q + \Delta\phi_q)$$

If one neglects  $\lambda_u = V_{ub} V_{us}^*$  in the decay amplitude,  $S(B_q \to f)$  measures  $\phi_q$  with

$$B_d \rightarrow J/\psi K^0$$
:  $\phi_d = 2\beta$   
 $B_s \rightarrow J/\psi \phi$ :  $\phi_s = -2\beta_s$ 

The penguin pollution  $\Delta \phi_q$  is parametrically suppressed by

$$\epsilon \equiv \left| \frac{V_{us} V_{ub}}{V_{cs} V_{cb}} \right| = 0.02.$$

New method to constrain  $\Delta \phi_q$ :

Ph. Frings, UN, M. Wiebusch, Phys.Rev.Lett. 115 (2015) 061802, 1503.00859

8 / 25

## Overview: Experimental and Theoretical Precision

$$\Delta \mathcal{S}_{J/\psi K^0} = \mathcal{S}_{J/\psi K^0} - \sin \phi_d$$
  $\mathcal{S}_{J/\psi K^0} = \sin \left(\phi_d + \Delta \phi_d\right)$ 

### HFAG 2014:

$$\sigma_{\mathcal{S}_{J/\psi K^0}} = 0.02$$
  $\sigma_{\phi_d} = 1.5^\circ$ 

Author	$\Delta \mathcal{S}_{J/\psi \mathcal{K}^0}$	$\Delta\phi_{d}$	Method
De Bruyn, Fleischer 2014	$-0.01 \pm 0.01$	$-\left(1.1^{\circ}^{+0.70}_{-0.85}\right)^{\circ}$	SU(3) flavour
Jung 2012	$ \Delta \mathcal{S}  \lesssim 0.01$	$ \Delta\phi_d \lesssim 0.8^\circ$	SU(3) flavour
Ciuchini et al. 2011	$\textbf{0.00} \pm \textbf{0.02}$	$0.0^{\circ}\pm1.6^{\circ}$	U-spin
Faller et al. 2009	[-0.05, -0.01]	$[-3.9, -0.8]^{\circ}$	U-spin
Boos et al. 2004	$-(2\pm 2)\cdot 10^{-4}$	$0.0^{\circ}\pm0.0^{\circ}$	perturbative
			calculation

Ulrich Nierste (TTP) 29 Nov 2016

## SU(3)

Extract penguin contribution from  $b \to c\overline{c}d$  control channels such as  $B_d \to J/\psi \pi^0$  or  $B_s \to J/\psi K_S$ , in which the penguin contribution is Cabibbo-unsuppressed.

### Drawbacks:

- statistics in control channels smaller by factor of 20
- size of SU(3) breaking in penguin contributions to  $B_{d,s} \to J/\psi X$  decays unclear

SU(3) breaking can be large, e.g. a **b** quark fragments into a  $B_d$  four times more often than into a  $B_s$ .

Ulrich Nierste (TTP) 29 Nov 2016 10 / 25

## SU(3)

Extract penguin contribution from  $b \to c\overline{c}d$  control channels such as  $B_d \to J/\psi \pi^0$  or  $B_s \to J/\psi K_S$ , in which the penguin contribution is Cabibbo-unsuppressed.

### Drawbacks:

- statistics in control channels smaller by factor of 20
- size of SU(3) breaking in penguin contributions to  $B_{d,s} \to J/\psi X$  decays unclear

SU(3) breaking can be large, e.g. a **b** quark fragments into a  $B_d$  four times more often than into a  $B_s$ .

• SU(3) does not help in  $B_s \to J/\psi \phi$ , because  $\phi$  is an equal mixture of octet and singlet.

Ulrich Nierste (TTP) 29 Nov 2016 10 / 25

## Tree and Penguin

Define  $\lambda_q = V_{qb}V_{qs}^*$  and use  $\lambda_t = -\lambda_u - \lambda_c$ .

Generic B decay amplitude:

$$A(B \rightarrow f) = \lambda_c t_f + \lambda_u p_f$$

Terms  $\propto \lambda_u = V_{ub} V_{us}^*$  lead to the penguin pollution.

Remark: One can include first-order SU(3) breaking in the extraction of  $t_f$  from control channels (Jung 2012).

This is not possible for  $p_f$ .

Ulrich Nierste (TTP) 29 Nov 2016 11 / 25

## What contributes to the penguin pollution $p_f$ ?

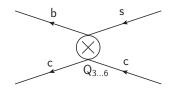
## Penguin operators:

$$\langle f | \sum_{i=3}^{6} C_i Q_i | B \rangle \approx C_8^t \langle f | Q_{8V} | B \rangle$$

### with

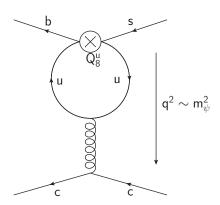
$$C_8^t \equiv 2(C_4 + C_6)$$

$$Q_{8V} \equiv (\bar{s}T^ab)_{V-A}(\bar{c}T^ac)_V$$



## Tree-level operator insertion:

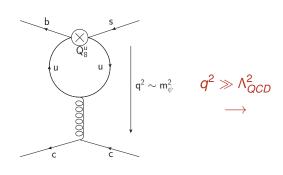
$$\langle f|C_0Q_0^u+C_8Q_8^u|B\rangle$$



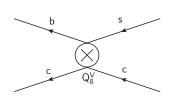
12 / 25

## Feared and respected: the up-quark loop

Idea: employ an operator product expansion,



to factorise the *u*-quark loop into a perturbative coefficient and matrix elements of local operators:



$$Q_{8V} = (\bar{s}T^ab)_{V-A}(\bar{c}T^ac)_V$$

13 / 25

### Is this Bander Soni Silverman?

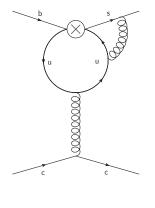
Perturbative approach is due to Bander Soni Silverman (1979) (BSS). Boos, Mannel and Reuter (2004) applied this method to  $B_d \to J/\psi K_S$ . Our study:

- Investigate soft and collinear infrared divergences to prove factorization.
- Analyse spectator scattering.
- Organise matrix elements by 1/N<sub>c</sub> counting, no further assumptions on magnitudes and strong phases.

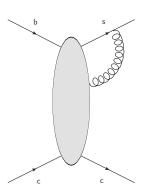
Ulrich Nierste (TTP) 29 Nov 2016 14 / 25

## Infrared Structure - Collinear Divergences

## Collinear divergent diagrams



# are infrared-safe if summed over

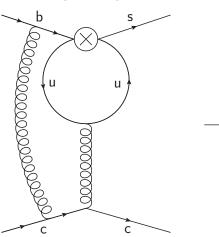


or are individually infrared-safe if considered in a physical gauge.

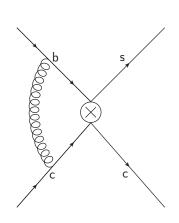
Ulrich Nierste (TTP) 29 Nov 2016 15 / 25

# Infrared Structure - Soft Divergences

## Soft divergent diagrams ...



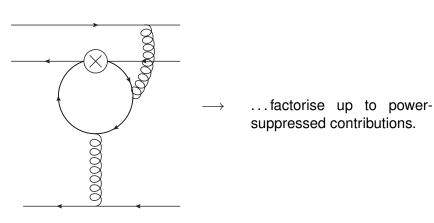
### ... factorise.



16 / 25

## Infrared Structure - Spectator Scattering

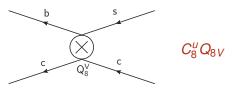
Spectator scattering diagrams...



Ulrich Nierste (TTP) 29 Nov 2016 17 / 25

## Operator product expansion works!

- Soft divergences factorise.
- Collinear divergences cancel or factorise.
- Non-factorisable spectator scattering is power-suppressed.
  - $\Rightarrow$  Up-quark penguin can be absorbed into a Wilson coefficient  $C_8^{\nu}$ !



### Local operators:

$$\begin{array}{lll} Q_{0\,V} & \equiv & (\bar{s}b)_{V-A}(\bar{c}c)_{V} & Q_{0A} & \equiv & (\bar{s}b)_{V-A}(\bar{c}c)_{A} \\ Q_{8\,V} & \equiv & (\bar{s}T^{a}b)_{V-A}(\bar{c}T^{a}c)_{V} & Q_{8A} & \equiv & (\bar{s}T^{a}b)_{V-A}(\bar{c}T^{a}c)_{A} \end{array}$$

Ulrich Nierste (TTP) 29 Nov 2016

## 1/N<sub>c</sub> counting

For example:  $B_d \rightarrow J/\psi K^0$ 

$$V_0 = \langle J/\psi K^0 | Q_{0V} | B_d 
angle = 2 \emph{f}_{\psi} \emph{m}_B \emph{p}_{cm} \emph{F}_1^{BK} \left[ 1 + \mathcal{O}\left( rac{1}{N_c^2} 
ight) 
ight]$$

- $1/N_c$  counting for  $V_8$ ,  $A_8 \equiv \langle J/\psi K^0 | Q_{8V,8A} | B_d \rangle$ :
  - Octet matrix elements are suppressed by  $1/N_c$  w.r.t. singlet  $V_0$
  - Motivated by  $1/N_c$  counting set the limits:  $|V_8|, |A_8| \le V_0/3$

Ulrich Nierste (TTP) 29 Nov 2016

## 1/N<sub>c</sub> counting

For example:  $B_d \rightarrow J/\psi K^0$ 

$$V_0 = \langle J/\psi K^0 | Q_{0V} | B_d 
angle = 2 f_\psi m_B 
ho_{cm} F_1^{BK} \left[ 1 + \mathcal{O}\left(rac{1}{N_c^2}
ight) 
ight]$$

 $1/N_c$  counting for  $V_8$ ,  $A_8 \equiv \langle J/\psi K^0 | Q_{8V,8A} | B_d \rangle$ :

- Octet matrix elements are suppressed by  $1/N_c$  w.r.t. singlet  $V_0$
- Motivated by  $1/N_c$  counting set the limits:  $|V_8|, |A_8| \le V_0/3$

Does the  $1/N_c$  expansion work?

$$\frac{BR(B_d \to J/\psi K^0)|_{\text{th}}}{BR(B_d \to J/\psi K^0)|_{\text{exp}}} = 1 \quad \Rightarrow \quad 0.06|V_0| \le |V_8 - A_8| \le 0.19|V_0|$$

Ulrich Nierste (TTP) 29 Nov 2016

### Results

$$A_{\mathrm{CP}}^{B_q o f}(t) = rac{S_f \sin(\Delta m_q t) - C_f \cos(\Delta m_q t)}{\cosh(\Delta \Gamma_q t/2) + A_{\Delta \Gamma_q}^f \sinh(\Delta \Gamma_q t/2)}$$

## $B_d$ decays:

Final State:	$J/\psi K_{\mathcal{S}}$	$\psi$ (2 $S$ ) $K_S$	$(J/\psi K^*)^0$	$({\it J}/\psi{\it K}^*)^{\parallel}$	$({\it J}/\psi{\it K}^*)^\perp$
$\max( \Delta\phi_d )$ [°]	0.68	0.74	0.85	1.13	0.93
$\max( \Delta S_f ) [10^{-2}]$	0.86	0.94	1.09	1.45	1.19
$\max( C_f ) [10^{-2}]$	1.33	1.33	1.65	2.19	1.80

...and more.

20 / 25

## $B_s$ decays:

Final State	$(J/\psi\phi)^0$	$(J/\psi\phi)^{\parallel}$	$({\it J}/\psi\phi)^{\perp}$
$\max( \Delta\phi_{\mathcal{S}} )$ [°]	0.97	1.22	0.99
$\max( \Delta S_f ) [10^{-2}]$	1.70	2.13	1.73
$\max( C_f )[10^{-2}]$	1.89	2.35	1.92

# Cabibbo-unsuppressed $p_f/t_f$

We can also constrain  $p_f/t_f$  in  $b \to c\overline{c}d$  decays:

## $B_d$ decays:

Final State	$J/\psi\pi^0$	$(J/\psi ho)^0$	$(J/\psi ho)^\parallel$	$(J/\psi ho)^{\perp}$
$\max( \Delta S_f ) [10^{-2}]$	18	22	27	22
$\max( C_f ) [10^{-2}]$	29	35	41	36

## B<sub>s</sub> decays:

Final State	$\emph{J}/ψ\emph{K}_{\mathcal{S}}$
$\max( \Delta S_f ) [10^{-2}]$	26
$\max( C_f ) [10^{-2}]$	27

Ulrich Nierste (TTP) 29 Nov 2016

 $B_d \to J/\psi \pi^0$ : Belle or BaBar?

	$\mathcal{S}_{J/\psi\pi^0}$	$C_{J/\psi\pi^0}$
BaBar (Aubert 2008)	$-1.23 \pm 0.21$	$-0.20 \pm 0.19$
Belle (Lee 2007)	$-0.65 \pm 0.22$	$-0.08 \pm 0.17$

### Our results:

$$-0.86 \le {\sf S}_{{\sf J}/\psi\pi^0} \le -0.50$$

$$-0.29 \le C_{J/\psi\pi^0} \le 0.29$$

 $\rightarrow$  Belle favoured

22 / 25

## Summary

- OPE works for the penguin pollution in B<sub>d,s</sub> decays to charmonium, defining the "BSS mechanism" for the up-quark loop.
- No mysterious long-distance enhancement of up-quark penguins.
- Matrix elements are the dominant source of uncertainty. The charm-quark loop is contained in the matrix elements, no justification for the "BSS mechanism" for charm loop.
- Belle measurement of  $S_{J/\psi\pi^0}$  is theoretically favoured over BaBar measurement.

Ulrich Nierste (TTP) 29 Nov 2016 23 / 25

# Backup slides

Ulrich Nierste (TTP) 29 Nov 2016 24 / 25

### **Numerics**

Analytic result for the penguin pollution:

$$\frac{p_f}{t_f} = \frac{(C_8^u + C_8^t)V_8}{C_0V_0 + C_8(V_8 - A_8)}$$

$$an(\Delta\phi) pprox 2\epsilon \sin(\gamma) ext{Re}\left(rac{p_f}{t_f}
ight) \qquad \qquad \epsilon \equiv \left|rac{V_{us}V_{ub}}{V_{cs}V_{cb}}
ight|$$

Scan for largest value of  $\Delta \phi$  using

$$V_0 = 2f_{\psi} m_{B} p_{cm} F_1^{BK}$$

$$egin{array}{lll} 0 \leq & |V_8| & \leq V_0/3 \ 0 \leq & {
m arg}(V_8) & < 2\pi \ 0 \leq & |A_8| & \leq V_0/3 \ 0 \leq & {
m arg}(A_8) & < 2\pi \ \end{array}$$

and varying all input quantities within their experimental and theoretical uncertainties.

Ulrich Nierste (TTP) 29 Nov 2016