

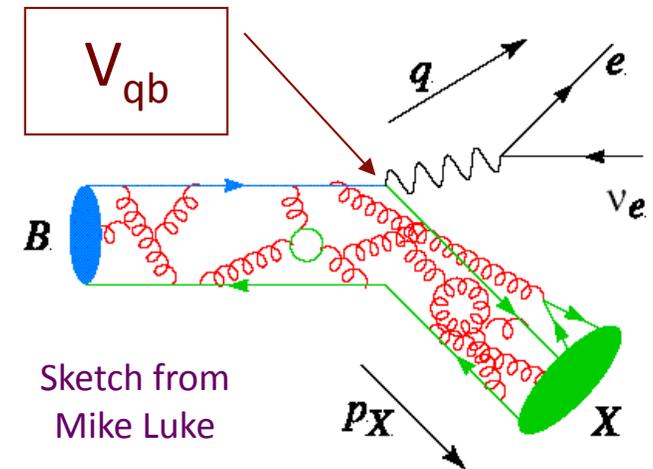
Mini-review of inclusive $|V_{ub}|$ measurements

Bob Kowalewski
University of Victoria
on behalf of the BaBar Collaboration



Semileptonic B decays

- Large BF, only one hadronic current
- Provide access to $|V_{qb}|$
- Inclusive decays $b \rightarrow qev$:
 - Weak decay + QCD corrections
 - Operator Product Expansion in α_s and Λ_{QCD}/m_b
 - For $b \rightarrow uev$ decays, unavoidable complications arise due to the large background from dominant $b \rightarrow cev$ decays
 - kinematic cuts \rightarrow non-perturbative “shape function” needed
 - universal only at leading order in Λ/m_b



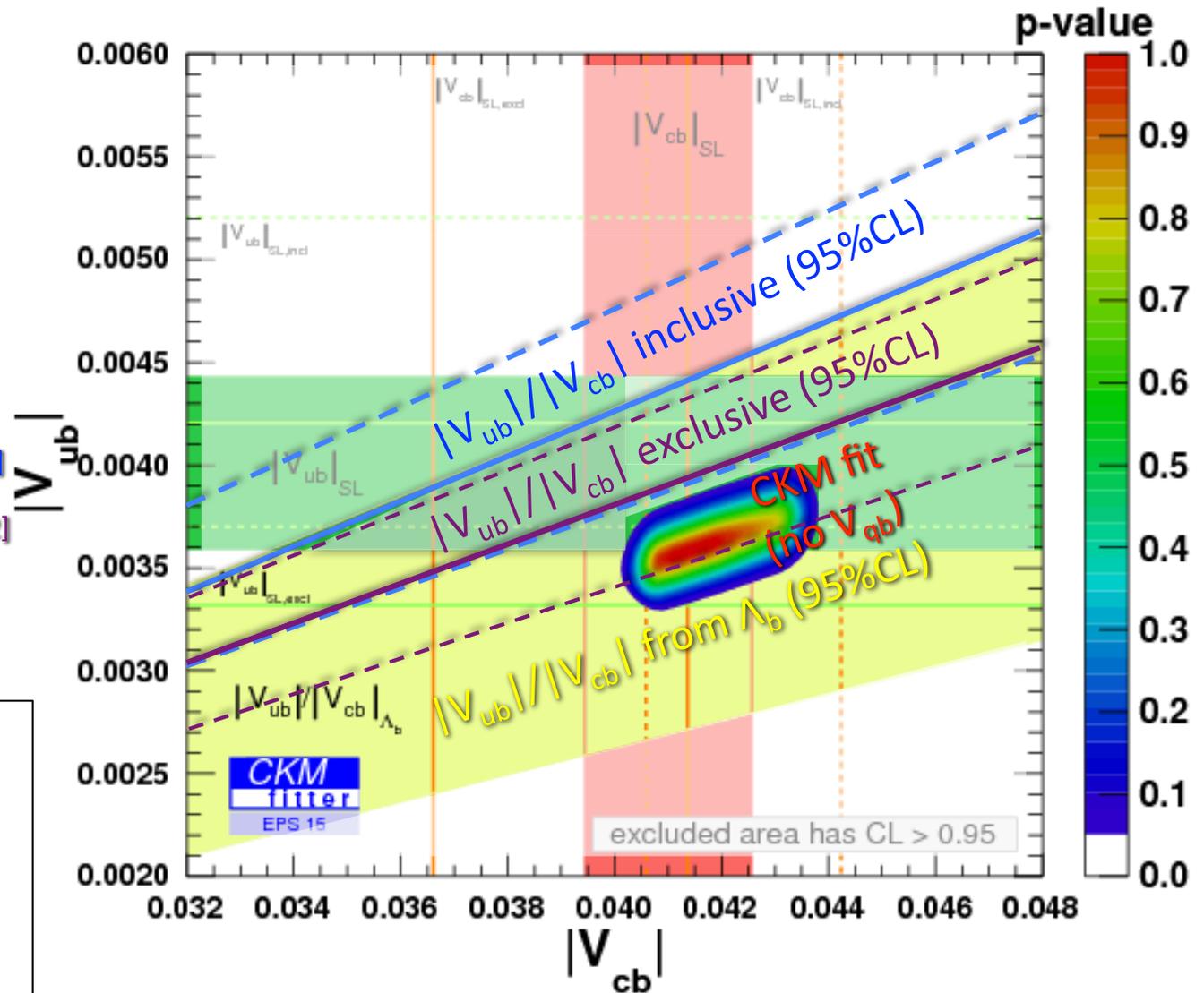
$|V_{qb}|$ – a messy business

- Diagonal bands show $|V_{ub}/V_{cb}|$ from three sets of measurements
- Ellipse is from CKM fit based on other inputs

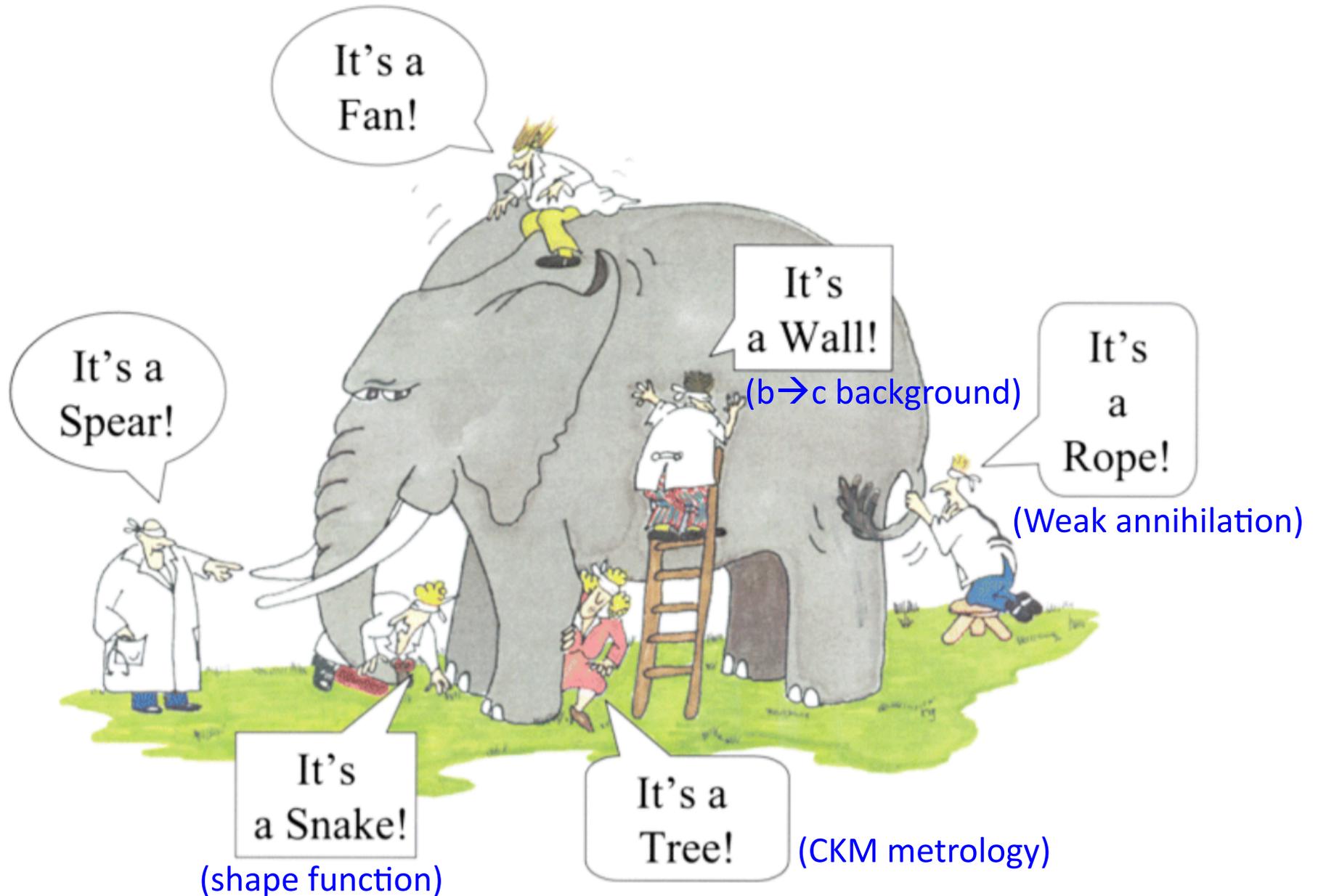
Incl: $|V_{ub}/V_{cb}| = 0.107 \pm 0.006$ ^[1]
 Excl: $|V_{ub}/V_{cb}| = 0.095 \pm 0.005$ ^[2]
 Λ_b : $|V_{ub}/V_{cb}| = 0.083 \pm 0.006$

[1] $|V_{ub}| = (4.49 \pm 0.23) \cdot 10^{-3}$
 $|V_{cb}| = (42.2 \pm 0.8) \cdot 10^{-3}$
 [2] $|V_{ub}| = (3.72 \pm 0.19) \cdot 10^{-3}$
 $|V_{cb}| = (39.2 \pm 0.7) \cdot 10^{-3}$

from PDG 2016 review



The inclusive $b \rightarrow u\bar{e}v$ landscape



The inclusive $b \rightarrow uev$ landscape

This simple story hides the deepest of philosophical ideas:

1. **Ignore judgment:** ...

2. **Be careful in giving/receiving advice:** Each of those men made an error in judgment.

3. **Improve your sampling:**

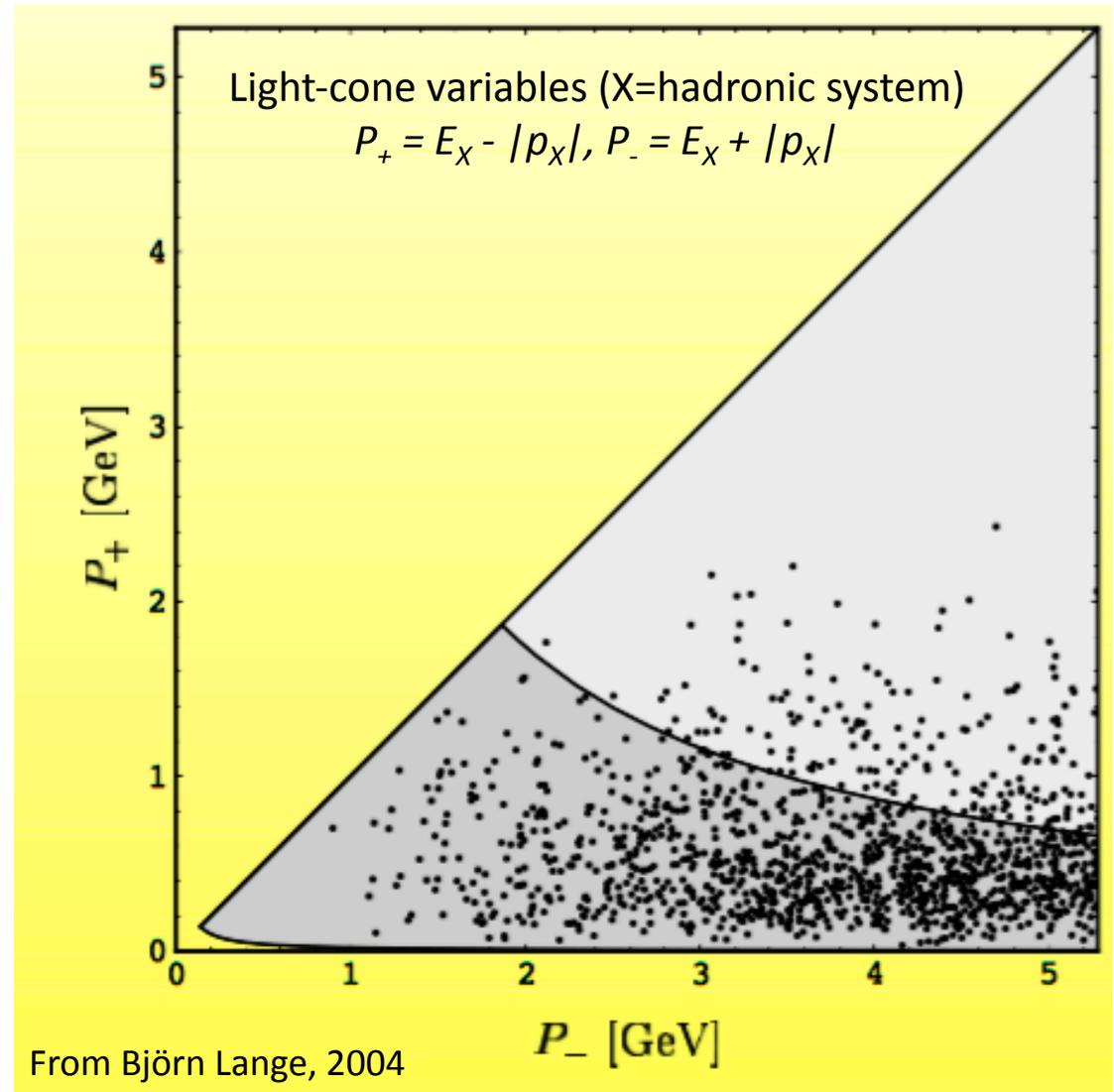
4. **Collaborate:**

5. **World is complex;** don't take shortcuts to a simplistic understanding.

(shape function)

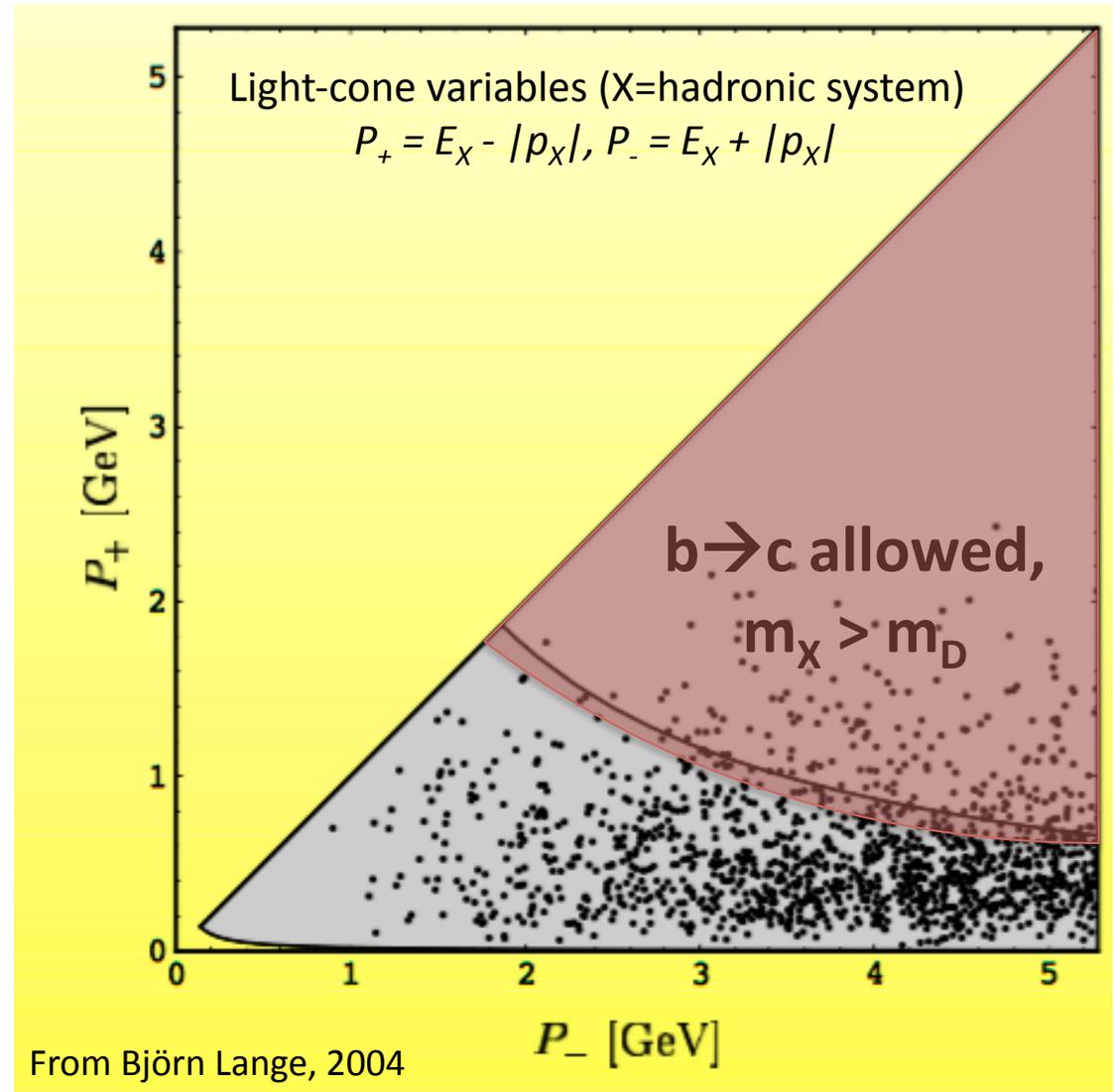
The inclusive $b \rightarrow uev$ landscape

- Nature – provides PDF for actual decays



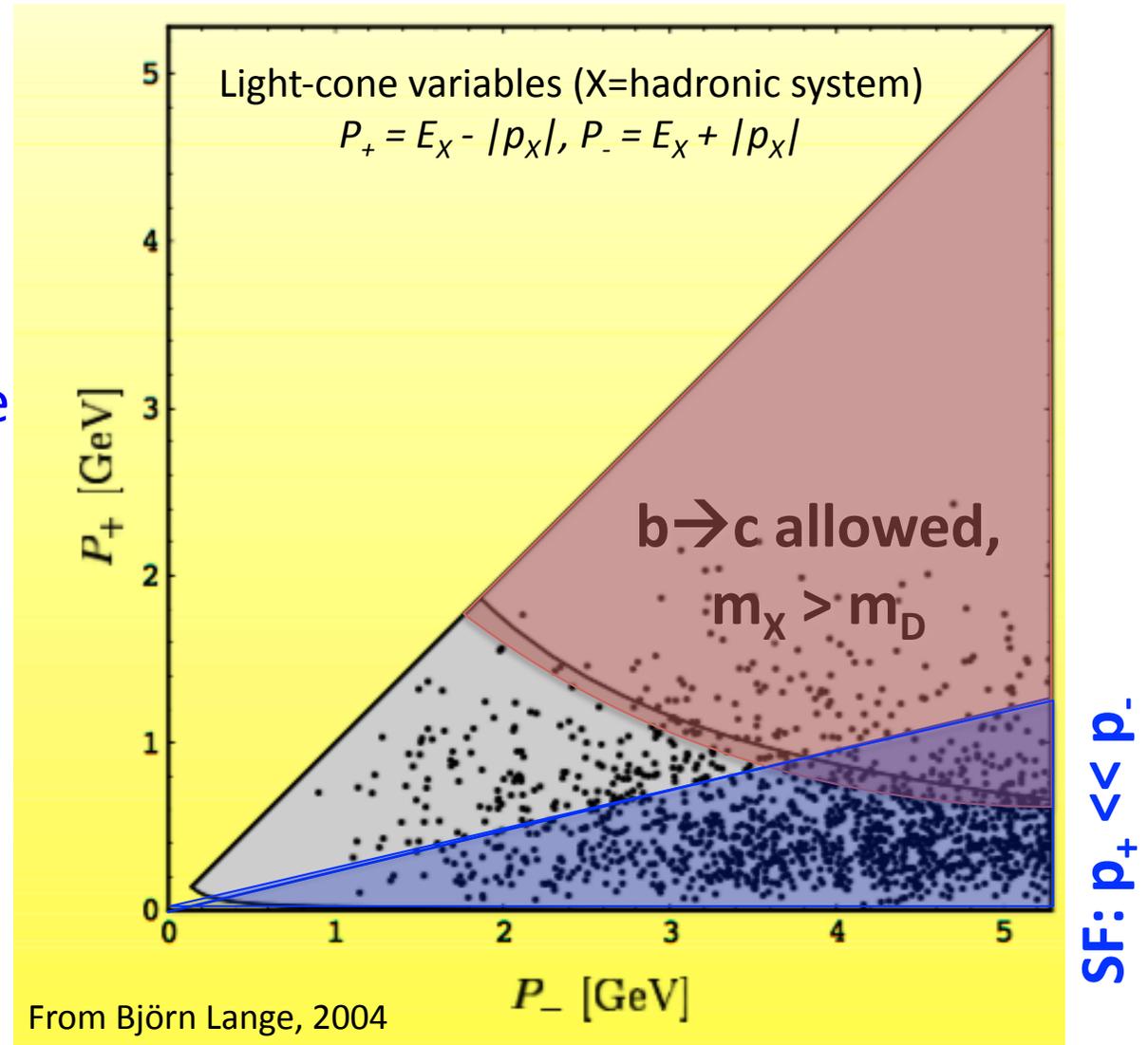
The inclusive $b \rightarrow uev$ landscape

- Nature – provides PDF for actual decays
- Experimenter – avoid $b \rightarrow c$ background



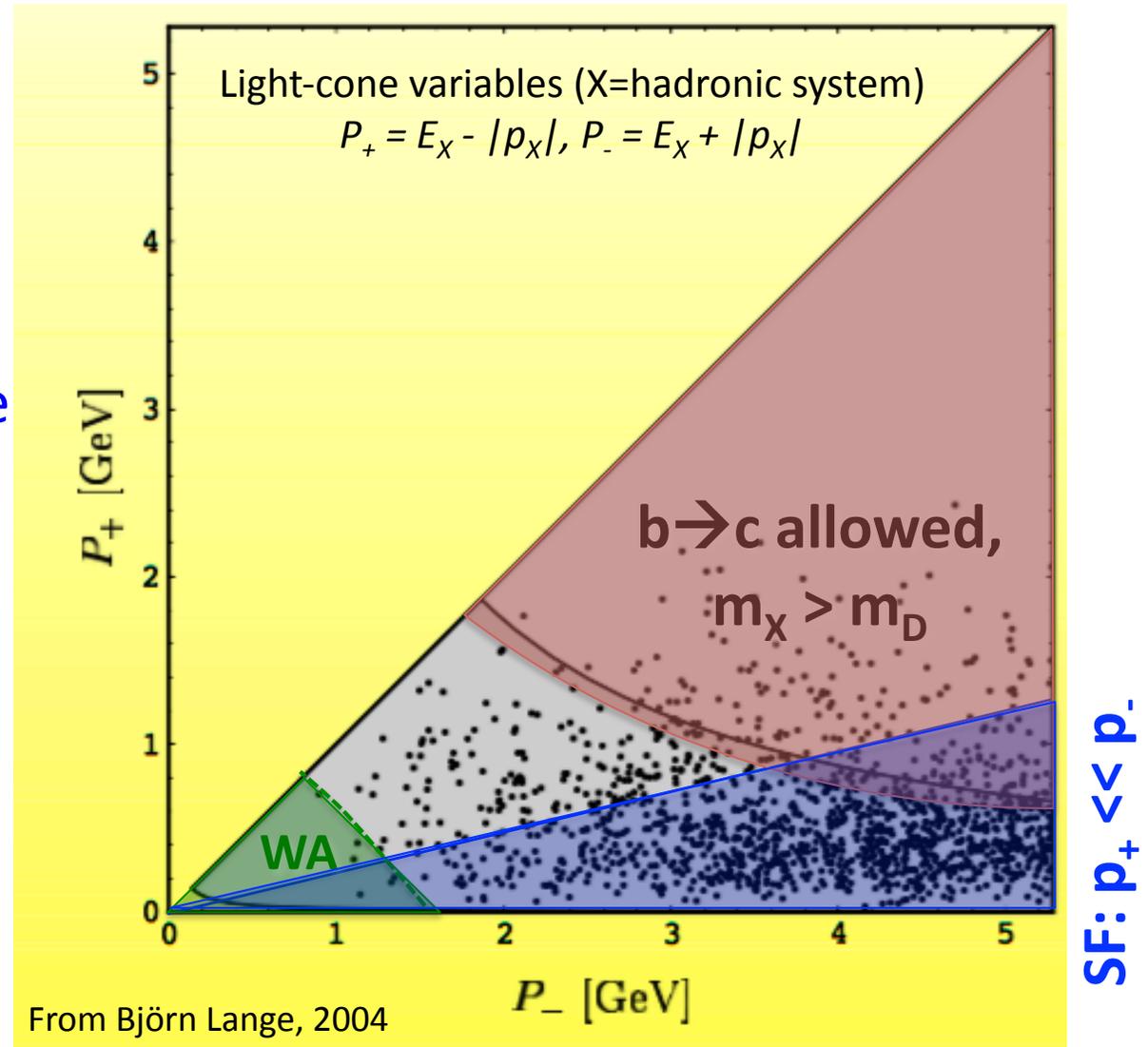
The inclusive $b \rightarrow uev$ landscape

- Nature – provides PDF for actual decays
- Experimenter – avoid $b \rightarrow c$ background
- Theorist – avoid Shape Function region



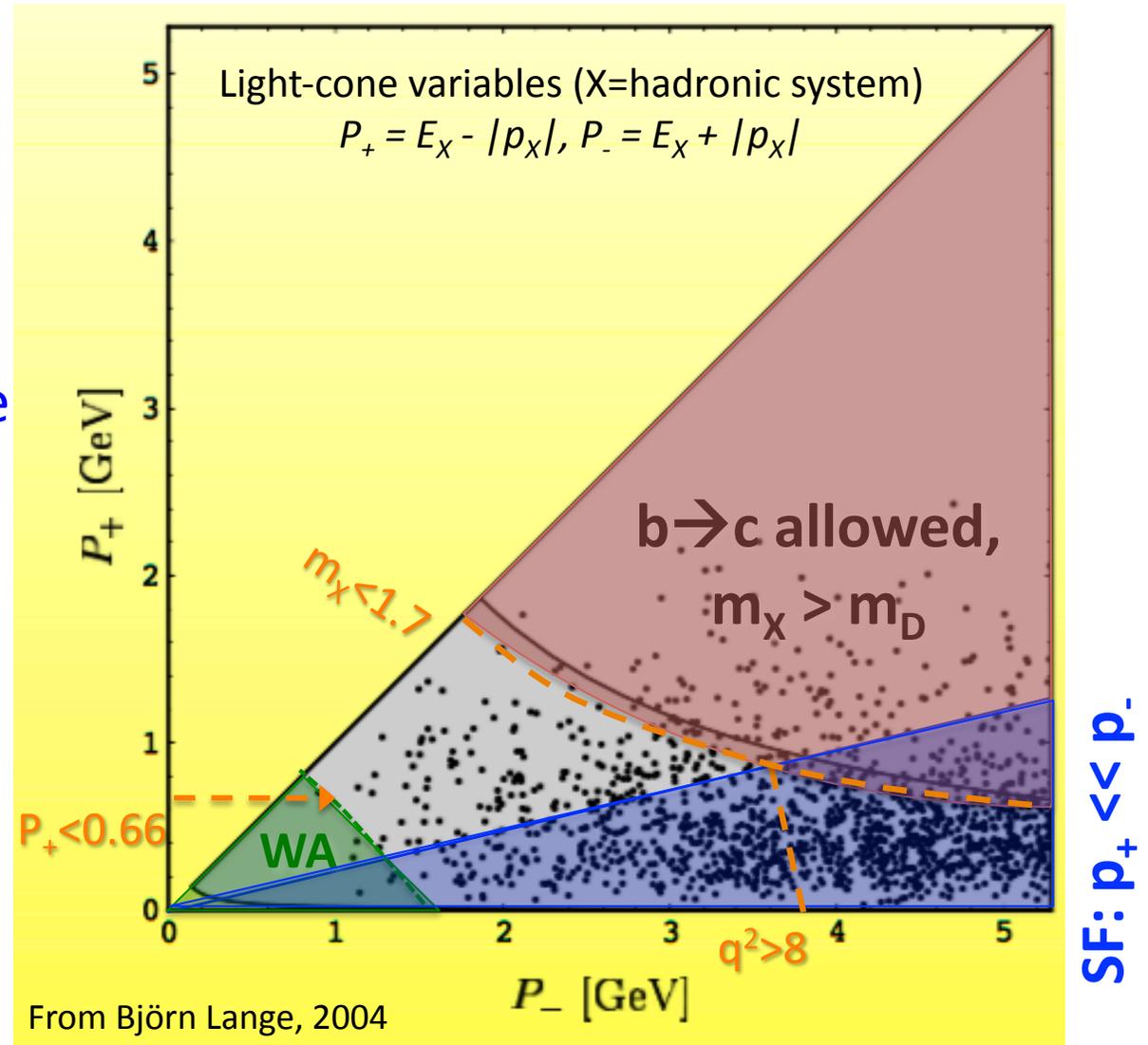
The inclusive $b \rightarrow uev$ landscape

- Nature – provides PDF for actual decays
- Experimenter – **avoid $b \rightarrow c$ background**
- Theorist – **avoid Shape Function region**
- All – **avoid Weak Annihilation**



The inclusive $b \rightarrow uev$ landscape

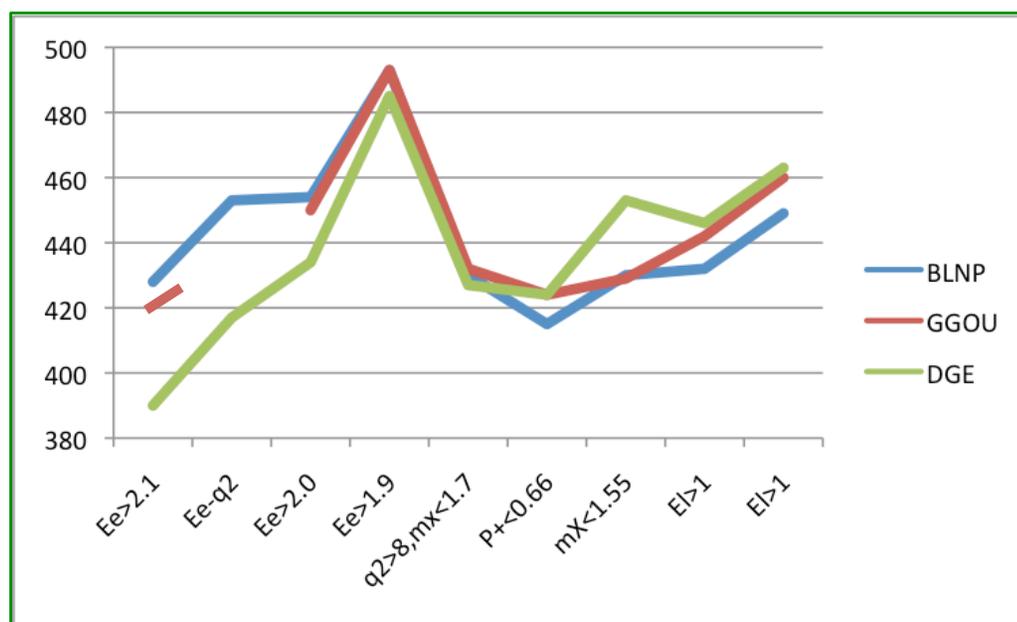
- Nature – provides PDF for actual decays
- Experimenter – avoid $b \rightarrow c$ background
- Theorist – avoid Shape Function region
- All – avoid Weak Annihilation
- Plan – look in multiple regions, assess consistency



Inclusive $|V_{ub}|$ status

- HFAG/PDG 2016 summary^[1] → (CLEO, BaBar, Belle)
- Different acceptance regions are consistent
- **Calculations agree with each other**
- **N.b. – for all entries, $|V_{ub}|$ is recalculated from a partial rate measured with just one model**
- Correlated uncertainties from
 - HQE parameters: from fits to $b \rightarrow c$ moments, m_c input, (or $b \rightarrow s\gamma$)
 - Common experimental tools: EvtGen, JETSET hadronisation of X_u , $b \rightarrow c\bar{e}\nu$ background, GEANT4

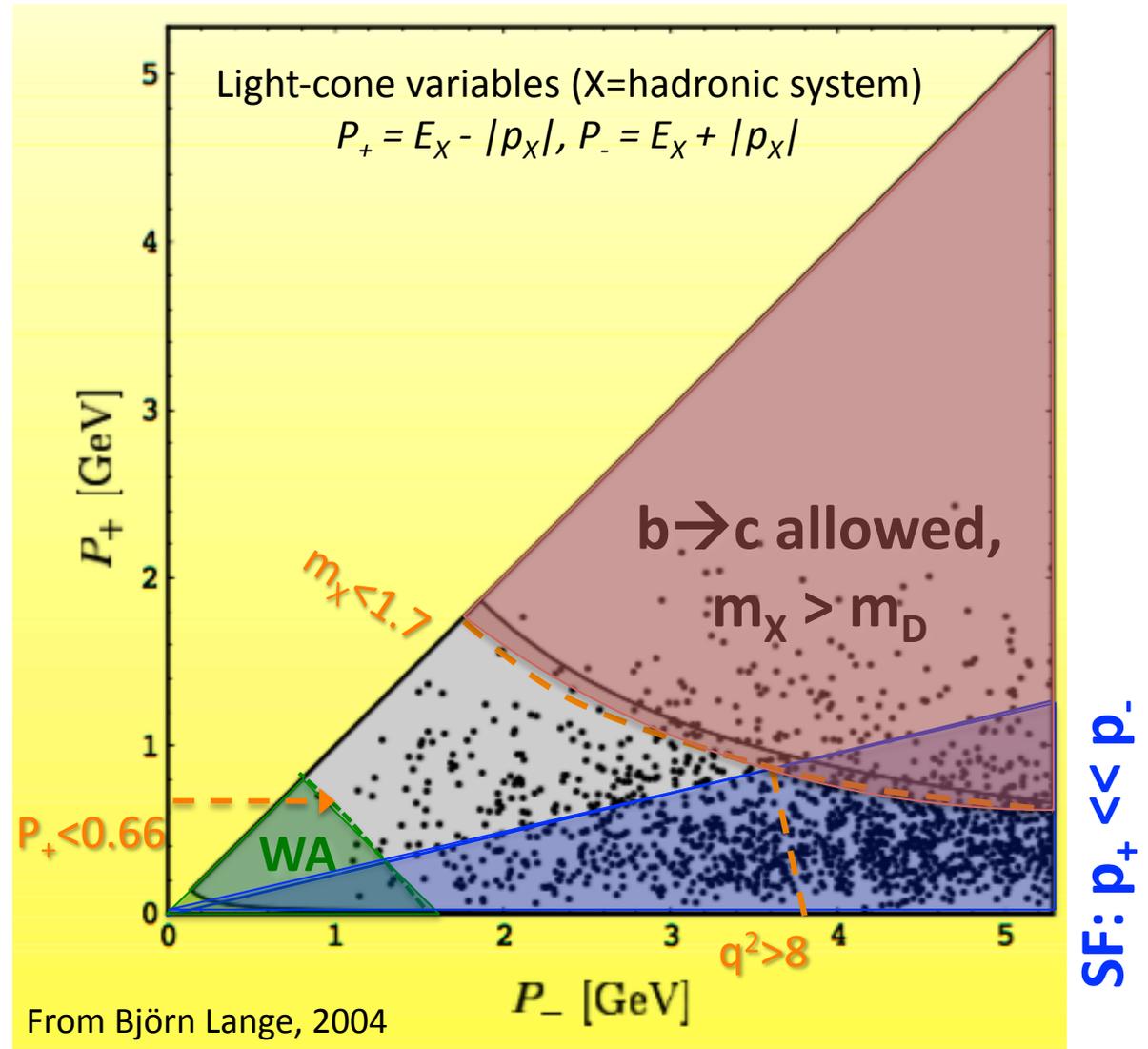
Ref.	cut (GeV)	BLNP	GGOU	DGE
[108]	$E_e > 2.1$	$428 \pm 50 \begin{smallmatrix} +31 \\ -36 \end{smallmatrix}$	$421 \pm 49 \begin{smallmatrix} +23 \\ -33 \end{smallmatrix}$	$390 \pm 45 \begin{smallmatrix} +26 \\ -28 \end{smallmatrix}$
[111]	$E_e - q^2$	$453 \pm 22 \begin{smallmatrix} +33 \\ -38 \end{smallmatrix}$	not available	$417 \pm 20 \begin{smallmatrix} +28 \\ -29 \end{smallmatrix}$
[110]	$E_e > 2.0$	$454 \pm 26 \begin{smallmatrix} +27 \\ -33 \end{smallmatrix}$	$450 \pm 26 \begin{smallmatrix} +18 \\ -25 \end{smallmatrix}$	$434 \pm 25 \begin{smallmatrix} +23 \\ -25 \end{smallmatrix}$
[109]	$E_e > 1.9$	$493 \pm 46 \begin{smallmatrix} +27 \\ -29 \end{smallmatrix}$	$493 \pm 46 \begin{smallmatrix} +17 \\ -22 \end{smallmatrix}$	$485 \pm 45 \begin{smallmatrix} +21 \\ -25 \end{smallmatrix}$
[113]	$q^2 > 8$ $m_X < 1.7$	$430 \pm 23 \begin{smallmatrix} +26 \\ -28 \end{smallmatrix}$	$432 \pm 23 \begin{smallmatrix} +27 \\ -30 \end{smallmatrix}$	$427 \pm 22 \begin{smallmatrix} +20 \\ -20 \end{smallmatrix}$
[113]	$P_+ < 0.66$	$415 \pm 25 \begin{smallmatrix} +28 \\ -27 \end{smallmatrix}$	$424 \pm 26 \begin{smallmatrix} +32 \\ -32 \end{smallmatrix}$	$424 \pm 26 \begin{smallmatrix} +37 \\ -32 \end{smallmatrix}$
[113]	$m_X < 1.55$	$430 \pm 20 \begin{smallmatrix} +28 \\ -27 \end{smallmatrix}$	$429 \pm 20 \begin{smallmatrix} +21 \\ -22 \end{smallmatrix}$	$453 \pm 21 \begin{smallmatrix} +24 \\ -22 \end{smallmatrix}$
[113]	$E_\ell > 1$	$432 \pm 24 \begin{smallmatrix} +19 \\ -21 \end{smallmatrix}$	$442 \pm 24 \begin{smallmatrix} +9 \\ -11 \end{smallmatrix}$	$446 \pm 24 \begin{smallmatrix} +13 \\ -13 \end{smallmatrix}$
[115]	$E_\ell > 1$	$449 \pm 27 \begin{smallmatrix} +20 \\ -22 \end{smallmatrix}$	$460 \pm 27 \begin{smallmatrix} +10 \\ -11 \end{smallmatrix}$	$463 \pm 28 \begin{smallmatrix} +13 \\ -13 \end{smallmatrix}$
HFAG average		$445 \pm 16 \begin{smallmatrix} +21 \\ -22 \end{smallmatrix}$	$451 \pm 16 \begin{smallmatrix} +12 \\ -15 \end{smallmatrix}$	$452 \pm 16 \begin{smallmatrix} +15 \\ -16 \end{smallmatrix}$



[1] latest preliminary HFAG results in backup slides

Plan for this talk

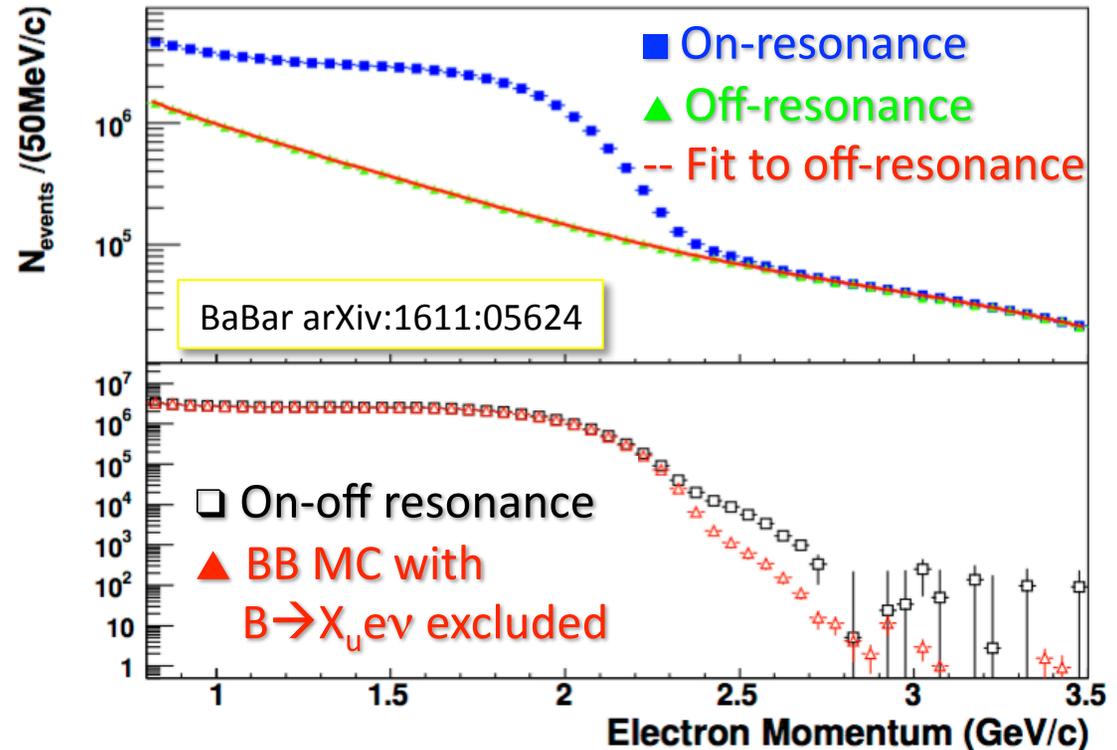
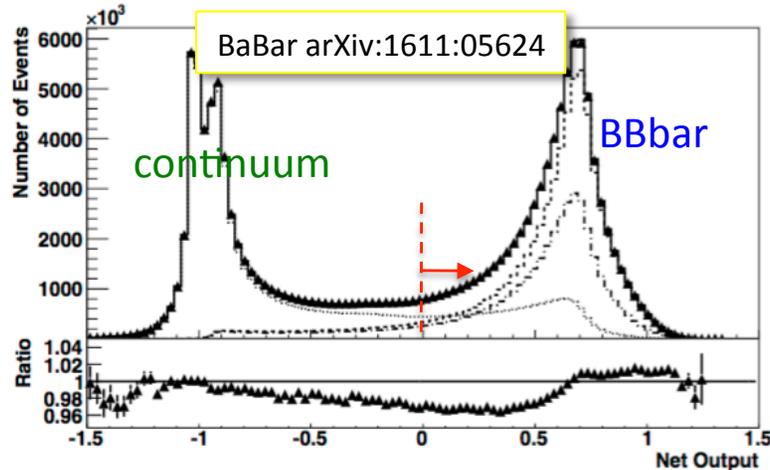
- Can we really push into the $b \rightarrow c$ region?
 - consider new BaBar endpoint analysis as an illustrative example
- Should we embrace the shape function?
 - plug for SIMBA, plea for results
- What can we improve using the large Belle II dataset?
 - need many detailed measurements
 - B tagging not a panacea



New BaBar result for inclusive $|V_{ub}|$

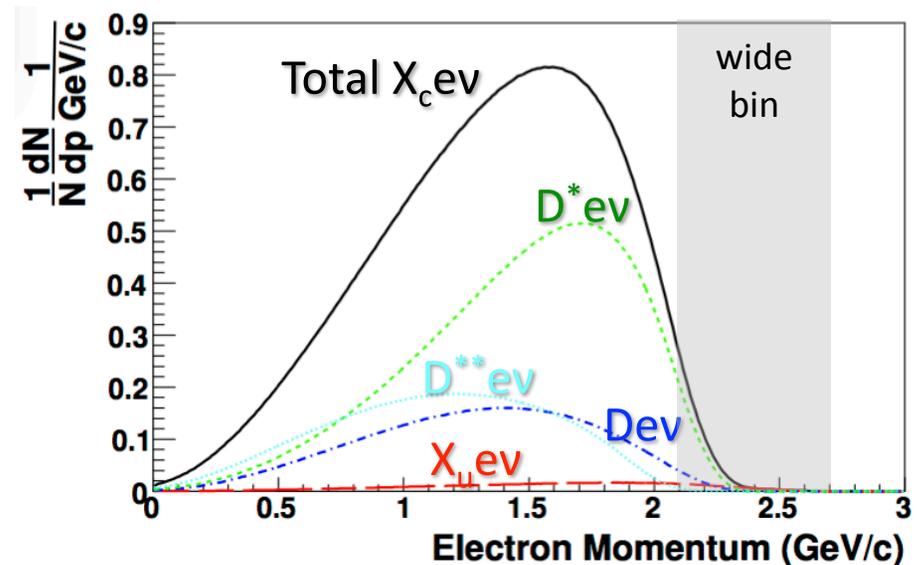
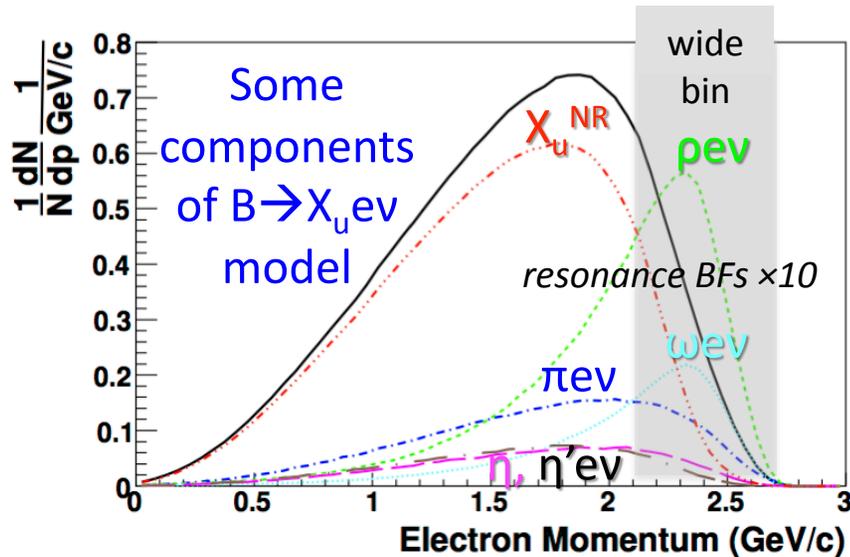
- BaBar $|V_{ub}|$ from the inclusive electron spectrum [arXiv:1611:05624]
 - full data set: 467M BBbar, 44.4fb⁻¹ continuum; supercedes 2006 result
 - updated HQE parameter values as per HFAG 2013
 - fit to $b \rightarrow X_u e \nu$, continuum, and 6 B background contributions to determine partial BF for $E_e > p_{\min}$, p_{\min} as low as 0.8 GeV
- Simulated (MC) BBbar decays generated using EvtGen + JETSET
- Simple NN to reject continuum

Large statistics: $>10^6$ events / 50 MeV bin;
 statistical uncertainties dominated by continuum subtraction



Analysis strategy

- Fit on-Y(4S) and off-Y(4S) data simultaneously; separate Y(4S) contributions into 5 separate $b \rightarrow c$ components, secondary electrons, $b \rightarrow uev$
- Fit spectrum over a range $[p_{\min}, 2.7]$ GeV; with p_{\min} from 0.8 – 2.1 GeV
- Avoid details of $b \rightarrow uev$ in the endpoint (SF) region by using a wide bin from 2.1-2.7 GeV
- Consider 4 different calculations of $b \rightarrow uev$ inclusive spectrum; mix in exclusive final states (“hybrid” model)



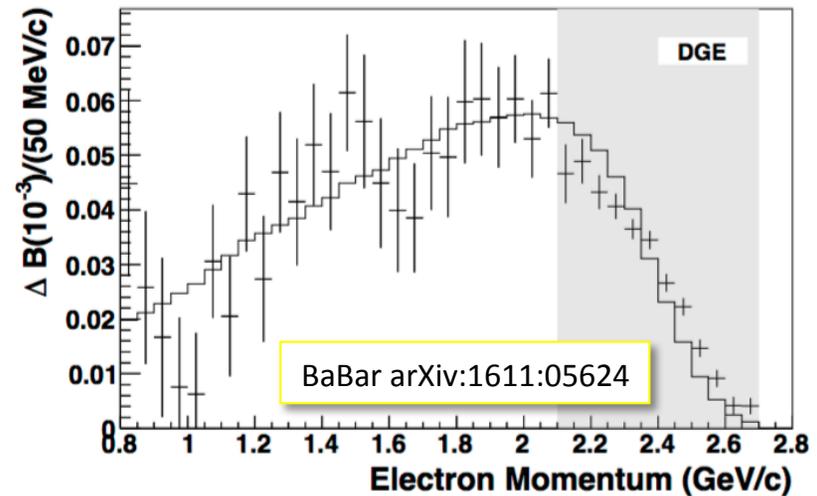
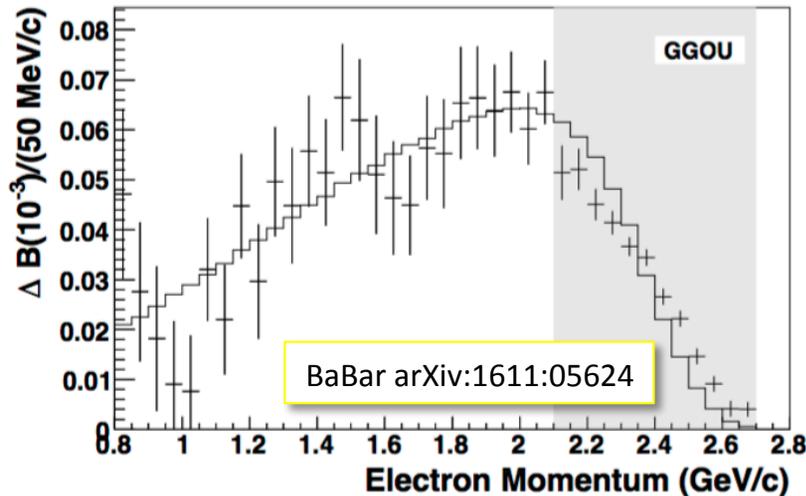
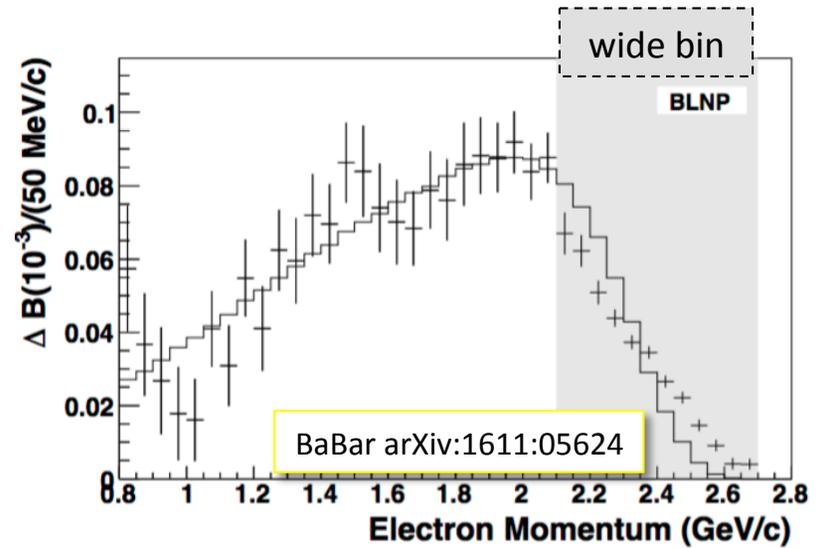
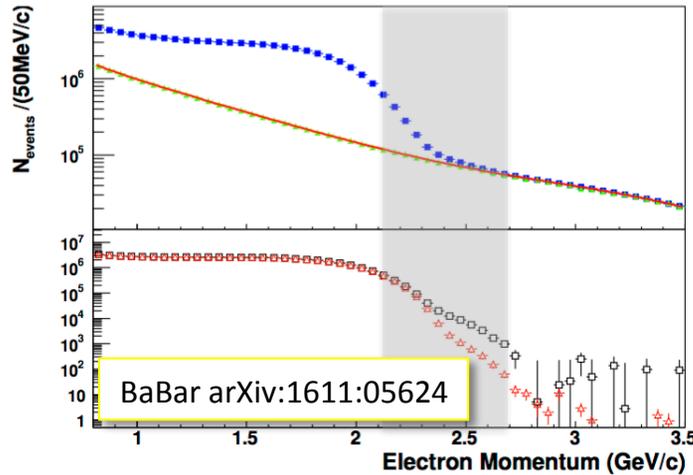
Theoretical models, external input

- Calculations based on OPE + SF modeling
 - DN DeFazio, and Neubert, JHEP 9906, 017 (1999), (superceded by BLNP)
 - BLNP Bosch, Lange, Neubert, Paz, Nucl. 894 Phys. B 699, 335 (2004)
 - GGOU Gambino, Giordano, Ossola, Uraltsev, JHEP 908 10, 058 (2007)
 - BLNP and GGOU include perturbative and non-perturbative effects in an expansion in powers of $1/m_b$. SFs used depend on externally-determined parameters
 - DGE Andersen, Gardi, JHEP 0601, 097 (2006), calculates SF using Sudakov resummation
- Parametric input:
 - Parametric input comes from global fits to hadronic mass and lepton energy moments in $B \rightarrow X_c e \nu$ decays, combined with either a constraint on m_c or E_γ moments from $B \rightarrow X_s \gamma$
 - Translation to other schemes as needed

HFAG 2013 kinetic scheme	$X_c e \nu + m_c$ constraint	$X_c e \nu + X_s \gamma$
m_b [GeV]	4.560 ± 0.023	4.574 ± 0.032
μ_π^2 [GeV ²]	0.453 ± 0.036	0.459 ± 0.037

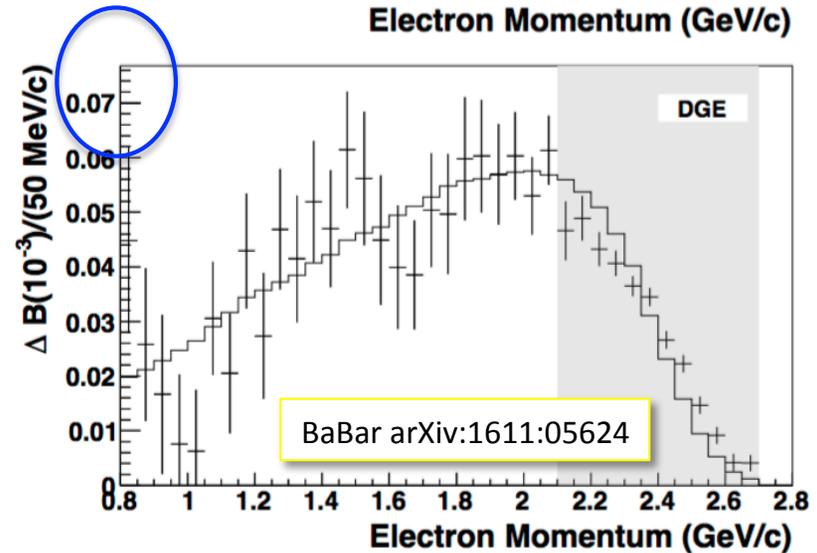
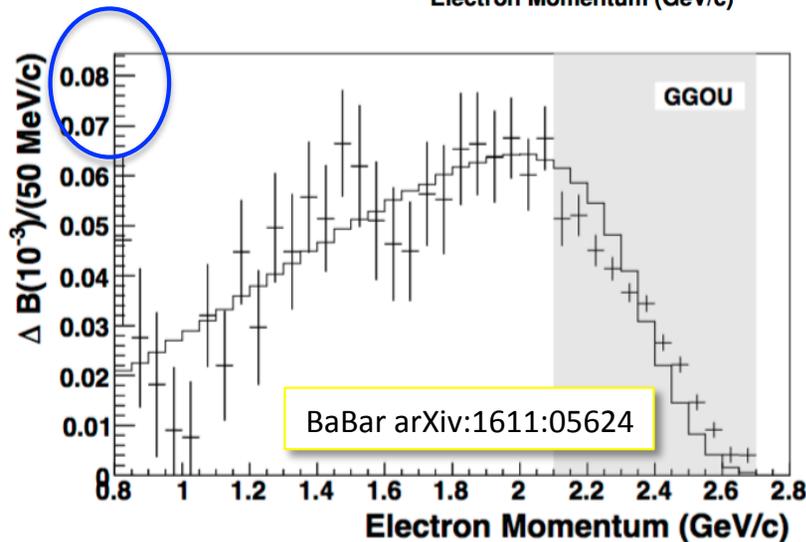
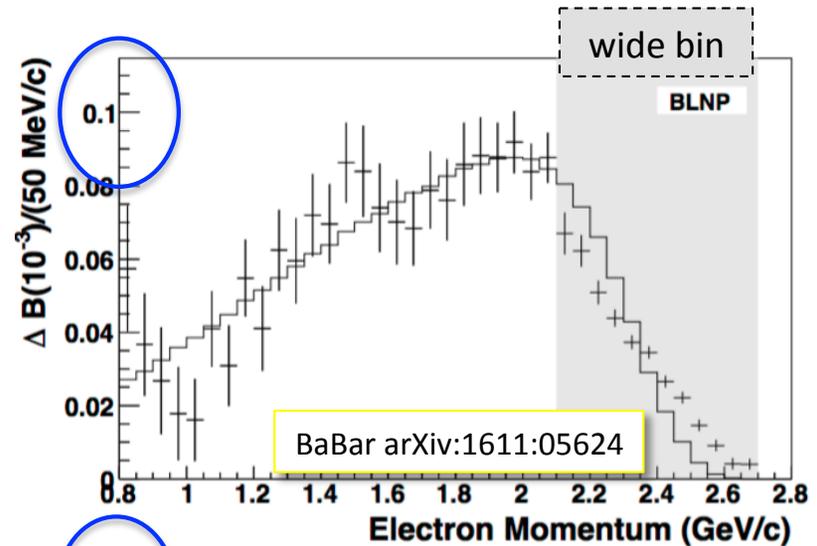
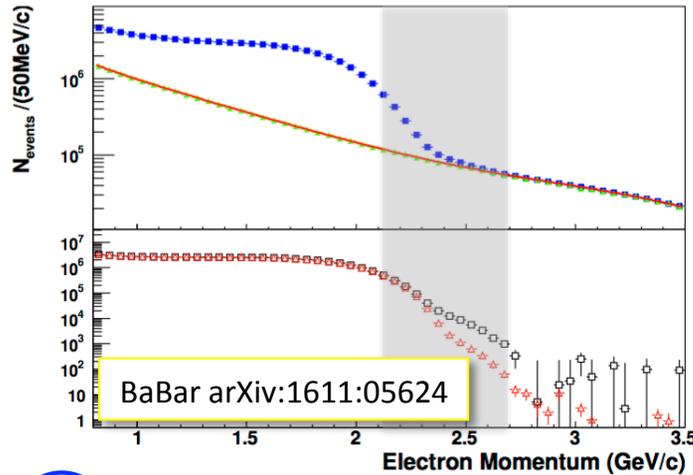
Fitted spectra in $\Upsilon(4S)$ frame

- $B \rightarrow X_u \nu$ electron spectra for $p_e > 0.8$ GeV after $b \rightarrow c$ and continuum subtraction based on fit



Fitted spectra in $\Upsilon(4S)$ frame

- $B \rightarrow X_u \nu$ electron spectra for $p_e > 0.8$ GeV after $b \rightarrow c$ and continuum subtraction based on fit

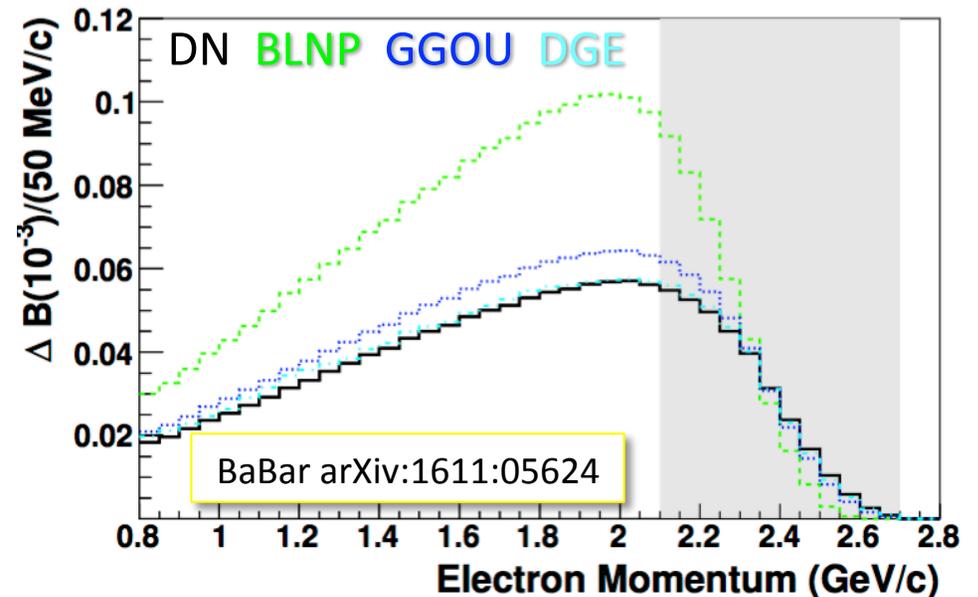
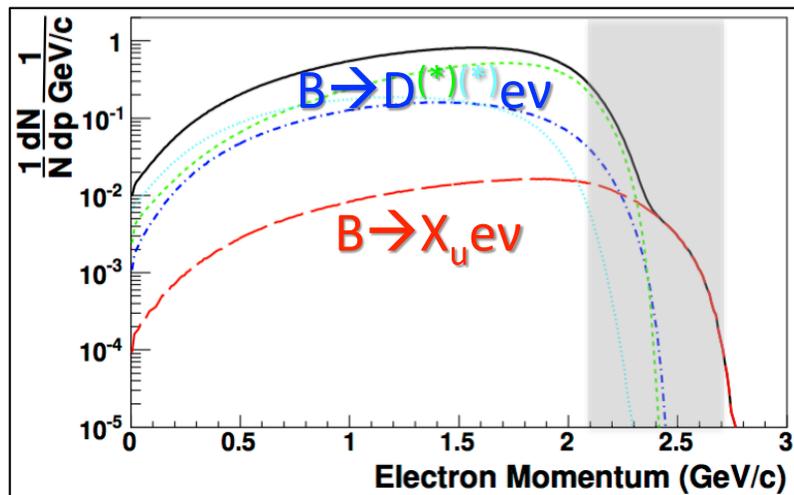


Understanding the fit results

- The region with good sensitivity to $B \rightarrow X_u e \nu$ is in the wide 2.1-2.7 GeV bin
 - Models differ in their predictions for the fractional rate in this bin
 - The normalization of the predicted $B \rightarrow X_u e \nu$ spectrum, and thus of its BF, is largely fixed by this bin



This dependence of the total rate and/or $|V_{ub}|$ on the $b \rightarrow ue \nu$ model impacts *any* measurement that ventures into the $b \rightarrow ce \nu$ – allowed region



Fit results for $B \rightarrow X_u e \nu$ partial BF

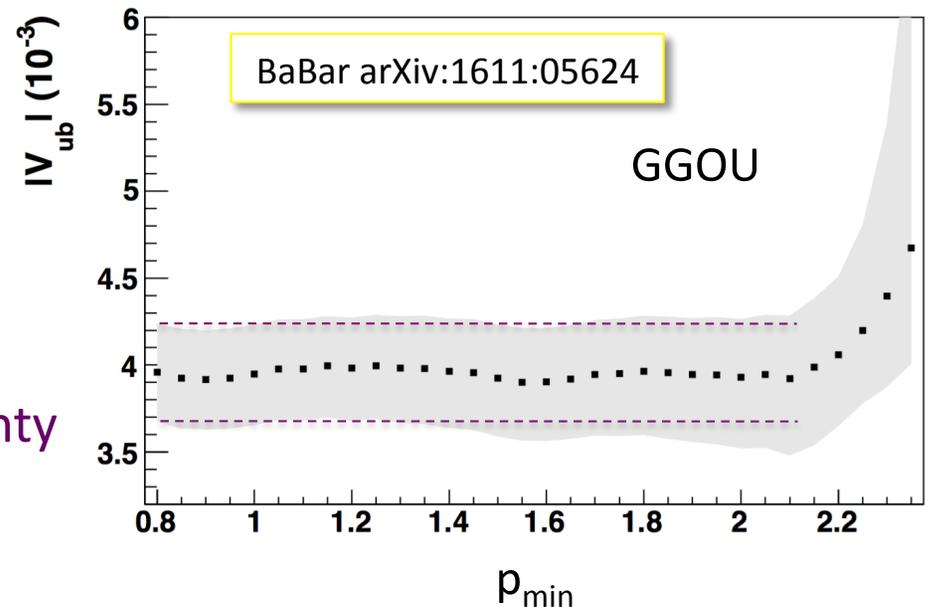
- Partial BF results for $p_{\min} < E_e < 2.7$ GeV: BaBar arXiv:1611:05624

$\Delta\text{BF} \times 10^3 \backslash \text{model}$	DN	DGE	GGOU	BLNP
$p_{\min} = 0.8$	$1.40 \pm 0.08^{+0.21}_{-0.15}$	1.43 ± 0.08	$1.55 \pm 0.08^{+0.10}_{-0.09}$	$2.27 \pm 0.13^{+0.19}_{-0.16}$
$p_{\min} = 2.1$	$0.33 \pm 0.02^{+0.01}_{-0.01}$	0.33 ± 0.02	$0.34 \pm 0.02^{+0.01}_{-0.01}$	$0.40 \pm 0.02^{+0.01}_{-0.01}$
	exp SF	exp	exp SF	exp SF

- HQE parameter input from $X_c e \nu$ moments + m_c constraint
 - $m_b = 4.560 \pm 0.023$, $\mu_\pi^2 = 0.453 \pm 0.036$
 - results for other inputs given in arXiv:1611.05624
- Quoted values are corrected for final-state radiation
- Note dependence of partial BF on model (especially large for $p_{\min} = 0.8$ GeV, but still present for $p_{\min} = 2.1$ GeV)

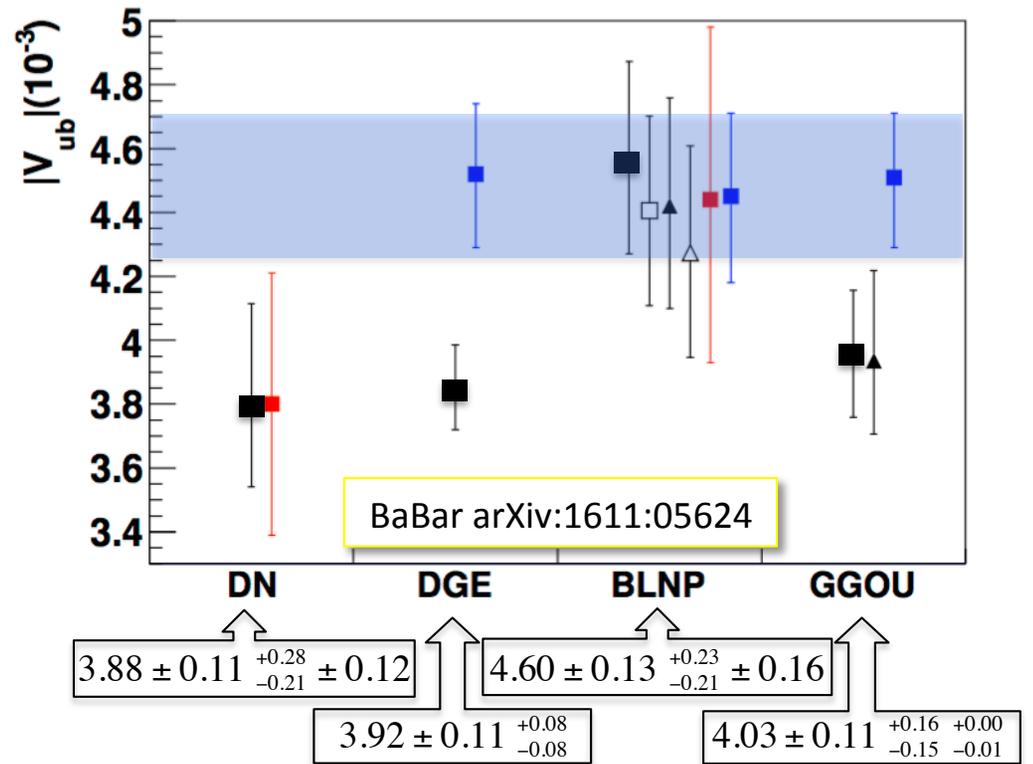
Systematic uncertainties

- Experimental systematic uncertainties due to
 - luminosity; event selection; form factors of D , D^* , D^{**} ; composition of P-wave and heavier charm states; J/ψ , τ and fake backgrounds; bremsstrahlung; CM energy; wide bin width; non-BB backgrounds
- ☞ Total experimental error on $|V_{ub}|$ below 4% for $p_{\min} < 2.4$ GeV
- Uncertainties in calculated rate due to
 - parametric input (m_b , μ_π^2)
 - QCD matching scales, weak annihilation, α_s
- ☞ Theory + parameter uncertainty estimates on $|V_{ub}|$ are $\sim 4\text{-}7\%$ for $p_{\min} < 2.2$ GeV
- Current estimates of theory uncertainty fall with p_{\min} ; is this ok?



Electron spectrum $|V_{ub}|$ results

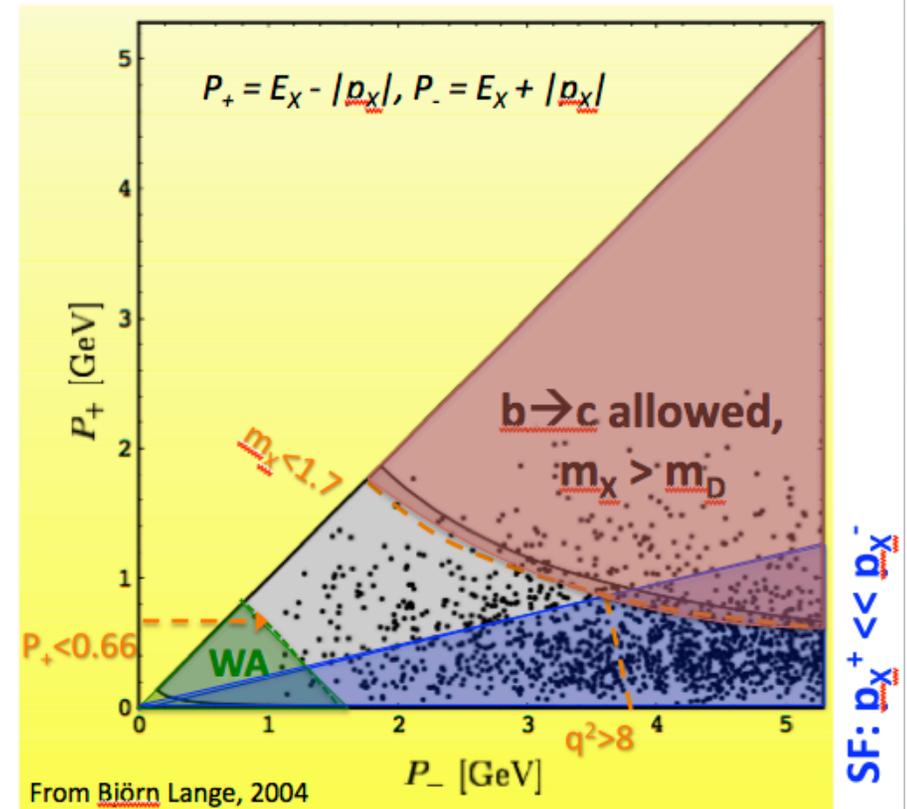
- Results are lower than most previous measurements (but not for BLNP)
- Consistent with previous BaBar analysis (2006)
- Band shows PDG 2016 inclusive average
- N.b. – the most precise measurements in the average are for $E_e > 1.0$ GeV (B-tagged), i.e. deep into $b \rightarrow c$ allowed region. The partial BFs in those measurements were not done separately for each model



- solid squares – parameters from X_c fit with m_c constraint
- ▲ solid triangles – parameters from $X_c + X_s \gamma$ fit
- open symbols – translation from “kinetic” to “shape-function” scheme using $\mu = 1.5$ GeV (default is $\mu = 2.0$ GeV)
- Previous BaBar electron spectrum result (2006)
- HFAG averages of all inclusive measurements

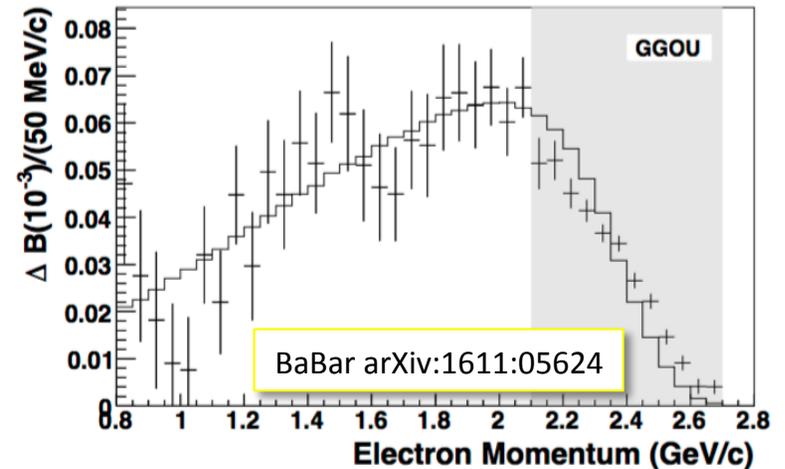
What improves with B tagging?

- B tagging removes most continuum, allows determination (with tails) of q^2 , P_+ , hadronic variables m_X , ...
- Measurements in $b \rightarrow c$ allowed region still have huge background; K rejection, D^* partial reco help, but can't overcome the factor of 50 in the ratio of rates
- The experimentally constraining information is in the $b \rightarrow c$ forbidden region; this will be true even with Belle II statistics



Embrace the shape function region

- The data in the lepton endpoint region carry a lot of information – it is currently being thrown away
- **SIMBA authors have talked about using this – I'd love to see results!**
- In B-tagged measurements, statistics are lower but one can use P_+ (or, in the language of PLB 541, 29 (2002), " $E_W + |P_W|$ "), which carries more direct information about the SF
- **A promising path: combine inclusive Belle II measurements in $b \rightarrow c$ forbidden region with SIMBA**



Other measurements for Belle II

- A number of items need improvement
 - The composition of the $B \rightarrow X_c e \nu$ background, in particular if one continues to push into the $b \rightarrow c e \nu$ – allowed region
 - ☞ Measure exclusive $B \rightarrow X_c e \nu$ final states/FFs for $D(^*)n\pi$, $D(^*)\eta$, etc.
 - The modeling of $B \rightarrow X_u e \nu$ decays
 - the incorporation of both non-resonant and resonant states into MC generators is an ad-hoc procedure without tight constraints from data
 - ☞ Measure exclusive $B \rightarrow n\pi e \nu$ final states and higher-mass charmless resonances
- Further supporting measurements:
 - Weak annihilation
 - $s\bar{s}$ production in X_u hadronisation
 - ...
- Perhaps use “sum-of-exclusives” approach of $b \rightarrow s \gamma$, as suggested by P. Urquijo in Mainz last year

Summary

- Inclusive $|V_{ub}|$ results remain a puzzle; internally consistent, but above CKM fit and exclusive results
- New BaBar electron spectrum result for $|V_{ub}|$ uses CMS momenta p^* as low as 0.8 GeV, but sensitivity to $b \rightarrow uev$ is primarily above 2.1 GeV
- Partial rates that include the $b \rightarrow c$ allowed region depend on the $b \rightarrow u$ model. *It's essential to account for this and use the same model when deriving a partial rate and $|V_{ub}|$*
- Theory/parameter uncertainties currently dominate – we need more information to constrain SF uncertainties
- The use of high-quality experimental spectra in the $b \rightarrow c$ forbidden region in a global fit (e.g. with SIMBA) seems like the way to go – what are the limitations to this approach?

Backup

Fit results for $B \rightarrow X_u e \nu$ partial BF

BFs in percent
Errors are statistical

BaBar arXiv:1611:05624	DN	BLNP _{$\mu_i=2.0$ GeV} m_c constraint	GGOU m_c constraint	DGE
$X_u e \nu$	0.149 ± 0.005	0.240 ± 0.008	0.166 ± 0.006	0.153 ± 0.005
$D e \nu$ Dev includes Gaussian constraint	2.233 ± 0.090	2.197 ± 0.088	2.226 ± 0.089	2.230 ± 0.089
$D^* e \nu$	5.612 ± 0.049	5.424 ± 0.049	5.579 ± 0.048	5.611 ± 0.048
$D^{(*)} \pi e \nu$	< 0.052	< 0.025	< 0.050	< 0.075
$D^{**} e \nu$	2.285 ± 0.071	2.540 ± 0.075	2.331 ± 0.070	2.287 ± 0.070
$D'^{(*)} e \nu$	0.046 ± 0.011	0.023 ± 0.011	0.041 ± 0.011	0.045 ± 0.011
$D \rightarrow e$	0.982 ± 0.005	0.968 ± 0.005	0.980 ± 0.005	0.982 ± 0.005
$r_L/r_L^{(0)}$ On/Off lumi ratio	1.0002 ± 0.0007	1.0002 ± 0.0007	1.0002 ± 0.0007	1.0002 ± 0.0007
$\chi^2_{\text{ON}} + \chi^2_{\text{OFF}} + \chi^2_{\text{constraints}}$	$27.4 + 69.7 + 0.1$	$31.9 + 70.9 + 0.2$	$27.8 + 69.9 + 0.1$	$26.8 + 69.7 + 0.1$
χ^2/ndof	97.2/85	102.9/85	97.8/85	96.6/85

- Fit repeated for 4 different predicted $B \rightarrow X_u e \nu$ spectra
- Quoted values are corrected for final-state radiation
- Good χ^2/ndof
- Proceed to determine $|V_{ub}|$

$|V_{ub}|$ results, $p_{\min} > 0.8$ GeV

- Values depend on model, choice of inputs

used in
summary
plot

$\Delta\mathcal{B}[10^{-3}]$	$\mathcal{B}[10^{-3}]$	$ V_{ub} [10^{-3}]$	$\Delta\zeta(\Delta p)[\text{ps}^{-1}]$
		DN	
→ $1.397 \pm 0.078_{\text{exp}} \begin{smallmatrix} +0.214 \\ -0.153 \end{smallmatrix}_{\text{SF}}$	$1.494 \pm 0.084_{\text{exp}} \begin{smallmatrix} +0.239 \\ -0.167 \end{smallmatrix}_{\text{SF}} \begin{smallmatrix} +0.030 \\ -0.003 \end{smallmatrix}_{\text{theory}}$	$3.794 \pm 0.107_{\text{exp}} \begin{smallmatrix} +0.292 \\ -0.219 \end{smallmatrix}_{\text{SF}} \begin{smallmatrix} +0.078 \\ -0.068 \end{smallmatrix}_{\text{theory}}$	$61.43 \begin{smallmatrix} +0.20 \\ -0.33 \end{smallmatrix}_{\text{SF}} \begin{smallmatrix} +2.28 \\ -2.45 \end{smallmatrix}_{\text{theory}}$
		DGE	
→ $1.433 \pm 0.081_{\text{exp}}$	$1.537 \pm 0.086_{\text{exp}} \begin{smallmatrix} +0.031 \\ -0.003 \end{smallmatrix}_{\text{theory}}$	$3.848 \pm 0.108_{\text{exp}} \begin{smallmatrix} +0.084 \\ -0.070 \end{smallmatrix}_{\text{theory}}$	$61.26 \begin{smallmatrix} +2.30 \\ -2.58 \end{smallmatrix}_{\text{theory}}$
	$X_c l\nu, m_c$ constraint fit of SF parameters, GGOU ₁		
→ $1.554 \pm 0.082_{\text{exp}} \begin{smallmatrix} +0.095 \\ -0.086 \end{smallmatrix}_{\text{SF}}$	$1.665 \pm 0.087_{\text{exp}} \begin{smallmatrix} +0.103 \\ -0.093 \end{smallmatrix}_{\text{SF}} \begin{smallmatrix} +0.002 \\ -0.011 \end{smallmatrix}_{\text{theory}}$	$3.959 \pm 0.104_{\text{exp}} \begin{smallmatrix} +0.164 \\ -0.154 \end{smallmatrix}_{\text{SF}} \begin{smallmatrix} +0.042 \\ -0.079 \end{smallmatrix}_{\text{theory}}$	$62.76 \begin{smallmatrix} +1.59 \\ -1.55 \end{smallmatrix}_{\text{SF}} \begin{smallmatrix} +2.58 \\ -1.32 \end{smallmatrix}_{\text{theory}}$
	$X_c l\nu, X_s \gamma$ constraint fit of SF parameters, GGOU ₂		
$1.551 \pm 0.081_{\text{exp}} \begin{smallmatrix} +0.117 \\ -0.100 \end{smallmatrix}_{\text{SF}}$	$1.661 \pm 0.086_{\text{exp}} \begin{smallmatrix} +0.127 \\ -0.109 \end{smallmatrix}_{\text{SF}} \begin{smallmatrix} +0.008 \\ -0.011 \end{smallmatrix}_{\text{theory}}$	$3.936 \pm 0.102_{\text{exp}} \begin{smallmatrix} +0.202 \\ -0.188 \end{smallmatrix}_{\text{SF}} \begin{smallmatrix} +0.168 \\ -0.086 \end{smallmatrix}_{\text{theory}}$	$63.38 \begin{smallmatrix} +2.15 \\ -2.15 \end{smallmatrix}_{\text{SF}} \begin{smallmatrix} +2.87 \\ -5.08 \end{smallmatrix}_{\text{theory}}$
	$X_c l\nu, m_c$ constraint fit of SF parameters with $\mu_i = 2.0$ GeV, BLNP ₁		
→ $2.268 \pm 0.125_{\text{exp}} \begin{smallmatrix} +0.191 \\ -0.163 \end{smallmatrix}_{\text{SF}}$	$2.418 \pm 0.134_{\text{exp}} \begin{smallmatrix} +0.205 \\ -0.176 \end{smallmatrix}_{\text{SF}} \begin{smallmatrix} +0.003 \\ -0.003 \end{smallmatrix}_{\text{theory}}$	$4.563 \pm 0.126_{\text{exp}} \begin{smallmatrix} +0.230 \\ -0.208 \end{smallmatrix}_{\text{SF}} \begin{smallmatrix} +0.162 \\ -0.163 \end{smallmatrix}_{\text{theory}}$	$68.93 \begin{smallmatrix} +1.88 \\ -1.85 \end{smallmatrix}_{\text{SF}} \begin{smallmatrix} +5.20 \\ -4.65 \end{smallmatrix}_{\text{theory}}$
	$X_c l\nu, m_c$ constraint fit of SF parameters with $\mu_i = 1.5$ GeV, BLNP ₂		
$2.924 \pm 0.112_{\text{exp}} \begin{smallmatrix} +0.152 \\ -0.137 \end{smallmatrix}_{\text{SF}}$	$2.160 \pm 0.120_{\text{exp}} \begin{smallmatrix} +0.164 \\ -0.148 \end{smallmatrix}_{\text{SF}} \begin{smallmatrix} +0.002 \\ -0.003 \end{smallmatrix}_{\text{theory}}$	$4.406 \pm 0.122_{\text{exp}} \begin{smallmatrix} +0.203 \\ -0.193 \end{smallmatrix}_{\text{SF}} \begin{smallmatrix} +0.176 \\ -0.189 \end{smallmatrix}_{\text{theory}}$	$66.00 \begin{smallmatrix} +1.88 \\ -1.82 \end{smallmatrix}_{\text{SF}} \begin{smallmatrix} +6.06 \\ -4.96 \end{smallmatrix}_{\text{theory}}$
	$X_c l\nu, X_s \gamma$ constraint fit of SF parameters with $\mu_i = 2.0$ GeV, BLNP ₃		
$2.157 \pm 0.120_{\text{exp}} \begin{smallmatrix} +0.207 \\ -0.176 \end{smallmatrix}_{\text{SF}}$	$2.298 \pm 0.128_{\text{exp}} \begin{smallmatrix} +0.222 \\ -0.189 \end{smallmatrix}_{\text{SF}} \begin{smallmatrix} +0.003 \\ -0.003 \end{smallmatrix}_{\text{theory}}$	$4.420 \pm 0.123_{\text{exp}} \begin{smallmatrix} +0.273 \\ -0.251 \end{smallmatrix}_{\text{SF}} \begin{smallmatrix} +0.156 \\ -0.158 \end{smallmatrix}_{\text{theory}}$	$66.94 \begin{smallmatrix} +2.69 \\ -2.62 \end{smallmatrix}_{\text{SF}} \begin{smallmatrix} +6.15 \\ -5.02 \end{smallmatrix}_{\text{theory}}$
	$X_c l\nu, X_s \gamma$ constraint fit of SF parameters with $\mu_i = 1.5$ GeV, BLNP ₄		
$1.931 \pm 0.108_{\text{exp}} \begin{smallmatrix} +0.172 \\ -0.147 \end{smallmatrix}_{\text{SF}}$	$2.059 \pm 0.115_{\text{exp}} \begin{smallmatrix} +0.185 \\ -0.158 \end{smallmatrix}_{\text{SF}} \begin{smallmatrix} +0.002 \\ -0.002 \end{smallmatrix}_{\text{theory}}$	$4.273 \pm 0.119_{\text{exp}} \begin{smallmatrix} +0.263 \\ -0.243 \end{smallmatrix}_{\text{SF}} \begin{smallmatrix} +0.170 \\ -0.184 \end{smallmatrix}_{\text{theory}}$	$69.88 \begin{smallmatrix} +2.70 \\ -2.64 \end{smallmatrix}_{\text{SF}} \begin{smallmatrix} +5.26 \\ -4.69 \end{smallmatrix}_{\text{theory}}$

$|V_{ub}|$ results, $p_{\min} > 2.1$ GeV

- Values depend on model, choice of inputs

$\Delta\mathcal{B}[10^{-3}]$	$\mathcal{B}[10^{-3}]$	$ V_{ub} [10^{-3}]$	$\Delta\zeta(\Delta p)[\text{ps}^{-1}]$
DN			
$0.330 \pm 0.018_{\text{exp}} \begin{smallmatrix} +0.009 \\ -0.009 \text{ SF} \end{smallmatrix}$	$1.471 \pm 0.081_{\text{exp}} \begin{smallmatrix} +0.235 \\ -0.164 \text{ SF} \\ +0.124 \\ -0.101 \text{ theory} \end{smallmatrix}$	$3.764 \pm 0.104_{\text{exp}} \begin{smallmatrix} +0.290 \\ -0.216 \text{ SF} \\ +0.170 \\ -0.148 \text{ theory} \end{smallmatrix}$	$14.75 \begin{smallmatrix} +1.41 \\ -1.70 \text{ SF} \\ +1.23 \\ -1.24 \text{ theory} \end{smallmatrix}$
DGE			
$0.331 \pm 0.018_{\text{exp}}$	$1.511 \pm 0.082_{\text{exp}} \begin{smallmatrix} +0.090 \\ -0.085 \text{ theory} \end{smallmatrix}$	$3.815 \pm 0.104_{\text{exp}} \begin{smallmatrix} +0.182 \\ -0.160 \text{ theory} \end{smallmatrix}$	$14.40 \begin{smallmatrix} +1.29 \\ -1.28 \text{ theory} \end{smallmatrix}$
$X_{c\ell\nu}, m_c$ constraint fit of SF parameters, GGOU ₁			
$0.342 \pm 0.018_{\text{exp}} \begin{smallmatrix} +0.007 \\ -0.006 \text{ SF} \end{smallmatrix}$	$1.634 \pm 0.087_{\text{exp}} \begin{smallmatrix} +0.100 \\ -0.090 \text{ SF} \\ +0.109 \\ -0.163 \text{ theory} \end{smallmatrix}$	$3.922 \pm 0.104_{\text{exp}} \begin{smallmatrix} +0.160 \\ -0.150 \text{ SF} \\ +0.170 \\ -0.251 \text{ theory} \end{smallmatrix}$	$14.06 \begin{smallmatrix} +0.87 \\ -0.82 \text{ SF} \\ +1.99 \\ -1.14 \text{ theory} \end{smallmatrix}$
$X_{c\ell\nu}, X_{s\gamma}$ constraint fit of SF parameters, GGOU ₂			
$0.342 \pm 0.018_{\text{exp}} \begin{smallmatrix} +0.008 \\ -0.007 \text{ SF} \end{smallmatrix}$	$1.630 \pm 0.086_{\text{exp}} \begin{smallmatrix} +0.122 \\ -0.105 \text{ SF} \\ +0.188 \\ -0.189 \text{ theory} \end{smallmatrix}$	$3.899 \pm 0.103_{\text{exp}} \begin{smallmatrix} +0.198 \\ -0.185 \text{ SF} \\ +0.381 \\ -0.289 \text{ theory} \end{smallmatrix}$	$14.23 \begin{smallmatrix} +1.12 \\ -1.08 \text{ SF} \\ +2.37 \\ -2.42 \text{ theory} \end{smallmatrix}$
$X_{c\ell\nu}, m_c$ constraint fit of SF parameters with $\mu_i = 2.0$ GeV, BLNP ₁			
$0.397 \pm 0.022_{\text{exp}} \begin{smallmatrix} +0.014 \\ -0.012 \text{ SF} \end{smallmatrix}$	$2.359 \pm 0.130_{\text{exp}} \begin{smallmatrix} +0.199 \\ -0.170 \text{ SF} \\ +0.173 \\ -0.133 \text{ theory} \end{smallmatrix}$	$4.507 \pm 0.124_{\text{exp}} \begin{smallmatrix} +0.226 \\ -0.204 \text{ SF} \\ +0.337 \\ -0.275 \text{ theory} \end{smallmatrix}$	$12.36 \begin{smallmatrix} +0.89 \\ -0.83 \text{ SF} \\ +1.66 \\ -1.66 \text{ theory} \end{smallmatrix}$
$X_{c\ell\nu}, m_c$ constraint fit of SF parameters with $\mu_i = 1.5$ GeV, BLNP ₂			
$0.376 \pm 0.021_{\text{exp}} \begin{smallmatrix} +0.011 \\ -0.010 \text{ SF} \end{smallmatrix}$	$2.110 \pm 0.117_{\text{exp}} \begin{smallmatrix} +0.158 \\ -0.143 \text{ SF} \\ +0.128 \\ -0.087 \text{ theory} \end{smallmatrix}$	$4.356 \pm 0.120_{\text{exp}} \begin{smallmatrix} +0.198 \\ -0.190 \text{ SF} \\ +0.317 \\ -0.265 \text{ theory} \end{smallmatrix}$	$12.55 \begin{smallmatrix} +0.92 \\ -0.85 \text{ SF} \\ +1.68 \\ -1.64 \text{ theory} \end{smallmatrix}$
$X_{c\ell\nu}, X_{s\gamma}$ constraint fit of SF parameters with $\mu_i = 2.0$ GeV, BLNP ₃			
$0.389 \pm 0.022_{\text{exp}} \begin{smallmatrix} +0.015 \\ -0.013 \text{ SF} \end{smallmatrix}$	$2.244 \pm 0.124_{\text{exp}} \begin{smallmatrix} +0.215 \\ -0.183 \text{ SF} \\ +0.152 \\ -0.117 \text{ theory} \end{smallmatrix}$	$4.367 \pm 0.121_{\text{exp}} \begin{smallmatrix} +0.270 \\ -0.248 \text{ SF} \\ +0.313 \\ -0.257 \text{ theory} \end{smallmatrix}$	$12.91 \begin{smallmatrix} +1.25 \\ -1.17 \text{ SF} \\ +1.67 \\ -1.67 \text{ theory} \end{smallmatrix}$
$X_{c\ell\nu}, X_{s\gamma}$ constraint fit of SF parameters with $\mu_i = 1.5$ GeV, BLNP ₄			
$0.370 \pm 0.020_{\text{exp}} \begin{smallmatrix} +0.012 \\ -0.010 \text{ SF} \end{smallmatrix}$	$2.013 \pm 0.111_{\text{exp}} \begin{smallmatrix} +0.179 \\ -0.153 \text{ SF} \\ +0.112 \\ -0.075 \text{ theory} \end{smallmatrix}$	$4.225 \pm 0.116_{\text{exp}} \begin{smallmatrix} +0.259 \\ -0.239 \text{ SF} \\ +0.296 \\ -0.250 \text{ theory} \end{smallmatrix}$	$13.10 \begin{smallmatrix} +1.30 \\ -1.20 \text{ SF} \\ +1.70 \\ -1.66 \text{ theory} \end{smallmatrix}$

Description of fit

- Simultaneous binned χ^2 fit to on- and off-resonance samples

- Continuum component fitted to

$$a_0 \left(\exp(a_1 p_i + a_2 p_i^2 + a_3 p_i^3) + \exp(a_4 p_i + a_5 p_i^2) \right)$$

p_i = electron
momentum
in bin i

- $B\bar{B}$ component fitted to sum of contributions

$$\sum_k b_k g_k(\mathbf{t}, p_i)$$

$g_k(\mathbf{t}, p_i)$ – MC predictions for 6 $B \rightarrow X_c \text{ev}$ modes + $B \rightarrow X_u \text{ev}$ model

b_k – fitted corrections to default MC BF's

\mathbf{t} – form-factor parameters (fixed)

Free
parameters
 a_j, b_k

- External constraint term added to χ^2 for $B \rightarrow \text{Dev}$: $\frac{(b_{\text{Dev}} - b_{\text{Dev}}^{\text{PDG}})^2}{\sigma_{\text{PDG}}^2}$
- 50 MeV bins except for 2.1-2.7 GeV (big bin);
this reduces dependence on theory in SF-dominated region

Experimental systematic uncertainties

- relative uncertainties on partial BF

$p^{\min}(\text{ GeV}/c)$	0.8	1.5	2.1	2.3
Single Track efficiency	0.1	0.1	0.1	0.0
Charged track multiplicity	1.2	1.9	1.3	1.0
Particle identification	0.5	0.5	0.5	0.5
Hadron mis-ID background	0.7	0.7	0.8	0.5
Photon selection	0.4	0.3	0.4	0.2
Neural net event selection	+3.0 -0.8	+3.3 -1.2	+3.6 -1.2	+3.1 -2.1
non- $B\bar{B}$ background	0.5	0.5	0.5	0.8
$B \rightarrow X_u e\nu$ exclusive decays	0.3	0.2	0.3	0.3
$B \rightarrow D^{(*)} l\nu$ form factors	1.1	0.5	1.2	0.2
$B \rightarrow D^{**} e\nu$ form factors	0.6	0.4	0.6	0.0
$B \rightarrow D^{**} e\nu$ BF	0.4	1.1	0.5	0.1
$B \rightarrow D^{(\prime)} e\nu$ BF	0.2	0.9	0.2	0.0
Widths of $D^{(\prime)}$ states	0.2	0.5	0.2	0.0
J/ψ and $\psi(2S)$ background	0.1	0.2	0.1	0.1
τ background	0.2	0.7	0.3	0.1
B momentum	1.5	1.5	1.6	0.5
Bremsstrahlung	0.3	0.1	0.3	0.0
Final state radiation	0.6	0.6	0.5	0.6
Width of wide bin	0.4	0.4	0.3	0.0
$N_{B\bar{B}}$ normalization	1.1	1.1	1.1	1.1
Total exp. systematic uncertainty	+4.2 -3.1	+4.8 -3.7	+4.7 -3.3	+3.8 -3.0
Total exp. statistical uncertainty	3.8	5.0	3.5	2.8
Total exp. uncertainty	+5.7 -4.9	+7.0 -6.2	+5.9 -4.8	+4.7 -4.1

Details of fit

- Linear correlation coefficients

	$D e \nu$	$D^* e \nu$	$D^{(*)} \pi e \nu$	$D^{**} e \nu$	$D'^{(*)} e \nu$	$X_u e \nu$	$D \rightarrow e$	a_0	a_1	a_2	a_3	a_4	a_5	$r_L/r_L^{(0)}$
$D e \nu$	1	-0.827	0.032	-0.398	-0.449	-0.305	-0.060	0.018	-0.048	0.058	-0.036	0.023	-0.032	0.001
$D^* e \nu$		1	-0.024	-0.158	0.784	-0.128	0.309	0.050	0.029	-0.146	0.126	0.038	0.125	0.008
$D^{(*)} \pi e \nu$			1	-0.031	0.004	0.027	0.012	-0.066	0.033	0.033	-0.048	-0.044	-0.052	-0.028
$D^{**} e \nu$				1	-0.601	0.598	-0.361	-0.062	0.030	0.055	-0.063	-0.055	-0.066	-0.012
$D'^{(*)} e \nu$					1	-0.236	0.206	0.069	-0.051	-0.034	0.053	0.070	0.063	0.001
$X_u e \nu$						1	-0.252	-0.461	0.310	0.252	-0.369	-0.425	-0.363	-0.107
$D \rightarrow e$							1	-0.108	0.204	-0.189	0.104	-0.102	0.037	-0.116
a_0								1	-0.827	-0.196	0.670	0.980	0.671	0.139
a_1									1	-0.315	-0.190	-0.870	-0.209	-0.103
a_2										1	-0.801	-0.122	-0.818	0.012
a_3											1	0.610	0.947	0.035
a_4												1	0.627	0.027
a_5													1	-0.006
$r_L/r_L^{(0)}$														1

Latest
results from
HFAG,
courtesy
C. Bozzi

Measurement	Accepted region	$\Delta\mathcal{B}[10^{-4}]$			Notes
CLEO [521]	$E_e > 2.1 \text{ GeV}$	$3.3 \pm 0.2 \pm 0.7$			
BABAR [520]	$E_e > 2.0 \text{ GeV}, s_h^{\text{max}} < 3.5 \text{ GeV}^2$	$4.0 \pm 0.2 \pm 0.3$			
BABAR [518]	$E_e > 2.0 \text{ GeV}$	$5.7 \pm 0.4 \pm 0.5$			
Belle [522]	$E_e > 1.9 \text{ GeV}$	$8.5 \pm 0.4 \pm 1.5$			
BABAR [511]	$M_X < 1.7 \text{ GeV}/c^2, q^2 > 8 \text{ GeV}^2/c^2$	$6.9 \pm 0.6 \pm 0.4$			
Belle [523]	$M_X < 1.7 \text{ GeV}/c^2, q^2 > 8 \text{ GeV}^2/c^2$	$7.4 \pm 0.9 \pm 1.3$			
Belle [524]	$M_X < 1.7 \text{ GeV}/c^2, q^2 > 8 \text{ GeV}^2/c^2$	$8.5 \pm 0.9 \pm 1.0$			used only in BLL average
BABAR [511]	$P_+ < 0.66 \text{ GeV}$	$9.9 \pm 0.9 \pm 0.8$			
BABAR [511]	$M_X < 1.7 \text{ GeV}/c^2$	$11.6 \pm 1.0 \pm 0.8$			
BABAR [511]	$M_X < 1.55 \text{ GeV}/c^2$	$10.9 \pm 0.8 \pm 0.6$			
Belle [510]	$p_\ell^* > 1 \text{ GeV}/c$	$19.6 \pm 1.7 \pm 1.6$			
BABAR [511]	(M_X, q^2) fit, $p_\ell^* > 1 \text{ GeV}/c$	$18.2 \pm 1.3 \pm 1.5$			
BABAR [511]	$p_\ell^* > 1.3 \text{ GeV}/c$	$15.5 \pm 1.3 \pm 1.4$			
	BLNP	DGE	GGOU	ADFR	BLL
Input parameters					
scheme	SF	MS	kinetic	MS	$1S$
Ref.	[529, 530]	Ref. [531]	see Sec. 5.2.2	Ref. [531]	Ref. [532]
m_b (GeV)	4.582 ± 0.026	4.18 ± 0.043	4.554 ± 0.018	4.188 ± 0.043	4.704 ± 0.029
μ_π^2 (GeV ²)	$0.145^{+0.091}_{-0.097}$	-	0.414 ± 0.078	-	-
Ref.	$ V_{ub} $ values				
E_e [521]	$4.22 \pm 0.49^{+0.29}_{-0.34}$	$3.86 \pm 0.45^{+0.25}_{-0.27}$	$4.23 \pm 0.49^{+0.22}_{-0.31}$	$3.42 \pm 0.40^{+0.17}_{-0.17}$	-
M_X, q^2 [523]	$4.51 \pm 0.47^{+0.27}_{-0.29}$	$4.43 \pm 0.47^{+0.21}_{-0.19}$	$4.52 \pm 0.48^{+0.25}_{-0.28}$	$3.93 \pm 0.41^{+0.18}_{-0.17}$	$4.68 \pm 0.49^{+0.30}_{-0.30}$
E_e [522]	$4.93 \pm 0.46^{+0.26}_{-0.29}$	$4.82 \pm 0.45^{+0.23}_{-0.23}$	$4.95 \pm 0.46^{+0.16}_{-0.21}$	$4.48 \pm 0.42^{+0.20}_{-0.20}$	-
E_e [518]	$4.52 \pm 0.26^{+0.26}_{-0.30}$	$4.30 \pm 0.24^{+0.25}_{-0.23}$	$4.52 \pm 0.26^{+0.17}_{-0.24}$	$3.93 \pm 0.22^{+0.20}_{-0.20}$	-
E_e, s_h^{max} [520]	$4.48 \pm 0.22^{+0.31}_{-0.36}$	$4.14 \pm 0.20^{+0.28}_{-0.27}$	-	$3.62 \pm 0.18^{+0.18}_{-0.17}$	-
p_ℓ^* [510]	$4.50 \pm 0.27^{+0.20}_{-0.22}$	$4.62 \pm 0.28^{+0.13}_{-0.13}$	$4.62 \pm 0.28^{+0.09}_{-0.10}$	$4.50 \pm 0.30^{+0.20}_{-0.20}$	-
M_X [511]	$4.24 \pm 0.19^{+0.25}_{-0.25}$	$4.47 \pm 0.20^{+0.19}_{-0.24}$	$4.30 \pm 0.20^{+0.20}_{-0.21}$	$3.83 \pm 0.18^{+0.20}_{-0.19}$	-
M_X [511]	$4.03 \pm 0.22^{+0.22}_{-0.22}$	$4.22 \pm 0.23^{+0.21}_{-0.27}$	$4.10 \pm 0.23^{+0.16}_{-0.17}$	$3.75 \pm 0.21^{+0.18}_{-0.18}$	-
M_X, q^2 [511]	$4.32 \pm 0.23^{+0.26}_{-0.28}$	$4.24 \pm 0.22^{+0.18}_{-0.21}$	$4.33 \pm 0.23^{+0.24}_{-0.27}$	$3.75 \pm 0.20^{+0.17}_{-0.17}$	$4.50 \pm 0.24^{+0.29}_{-0.29}$
P_+ [511]	$4.09 \pm 0.25^{+0.25}_{-0.25}$	$4.17 \pm 0.25^{+0.28}_{-0.37}$	$4.25 \pm 0.26^{+0.26}_{-0.27}$	$3.57 \pm 0.22^{+0.19}_{-0.18}$	-
$p_\ell^*, (M_X, q^2)$ fit [511]	$4.33 \pm 0.24^{+0.19}_{-0.21}$	$4.45 \pm 0.24^{+0.12}_{-0.13}$	$4.44 \pm 0.24^{+0.09}_{-0.10}$	$4.33 \pm 0.24^{+0.19}_{-0.19}$	-
p_ℓ^* [511]	$4.34 \pm 0.27^{+0.20}_{-0.21}$	$4.43 \pm 0.27^{+0.13}_{-0.13}$	$4.43 \pm 0.27^{+0.09}_{-0.11}$	$4.28 \pm 0.27^{+0.19}_{-0.19}$	-
M_X, q^2 [524]	-	-	-	-	$5.01 \pm 0.39^{+0.32}_{-0.32}$
Average	$4.45 \pm 0.15^{+0.21}_{-0.22}$	$4.52 \pm 0.16^{+0.15}_{-0.16}$	$4.52 \pm 0.15^{+0.11}_{-0.14}$	$4.04 \pm 0.13^{+0.18}_{-0.12}$	$4.62 \pm 0.20^{+0.29}_{-0.29}$