

# Measurements of direct CPV in two-body charm decays at LHCb

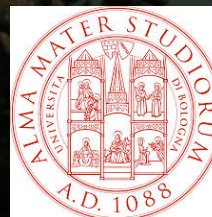
Angelo Carbone

on behalf of LHCb Collaboration  
INFN and University of Bologna

CKM 2016

Mumbai, India

Nov 28–Dec. 2, 2016

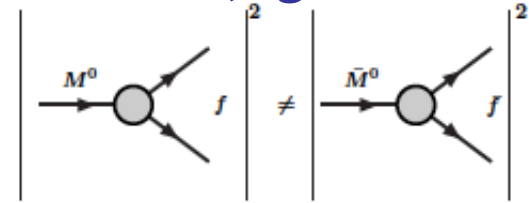


# Charm and New Physics

- In indirect searches for new physics, charm furnishes a unique probe of flavour physics in the up-quark sector
  - complementary to strange and bottom physics
- Indirect searches for NP with charm gives complementary constraints to direct searches at the Energy Frontier
- Precision measurements in charm are necessary as inputs for B physics ( $B \rightarrow DK$ ,  $B \rightarrow D\pi$ ) and the measurement of the CKM angle  $\gamma$
- Many "null-tests" available, one of them is the search for CP violation, which is expected to be small in SM (but not zero)
  - ... but SM predictions are difficult to be calculated

CPV in decay occurs when the absolute value of the decay rate  $M \rightarrow f$  differs from the decay rate involving the CP-conjugate states

$$|A(M^0 \rightarrow f)| \neq |A(\bar{M}^0 \rightarrow \bar{f})|$$



CPV can happen if the final state can be reached at least with two different paths

The amplitude of a CP eigenstate, i.e.  $D^0 \rightarrow f$  with  $f = K^-K^+$  or  $f = \pi^-\pi^+$ , it can be written with a leading term and a sub-leading as follows

Sub-leading amplitude: with relative strong ( $\delta_f$ ) and weak ( $\phi_f$ ) phases

$$A_f = A_f^T \left( 1 + r_f e^{i(\delta_f + \phi_f)} \right)$$

Leading amplitude: its phase is taken to be zero

CP violation in the decay can be observed if the asymmetry

$$A_{CP}^{dir}(D^0 \rightarrow f) = \frac{|A_f|^2 - |\bar{A}_{\bar{f}}|^2}{|A_f|^2 + |\bar{A}_{\bar{f}}|^2} \quad \text{is different from zero}$$

In the limit where  $r_f \ll 1$  (which is a good approximation)

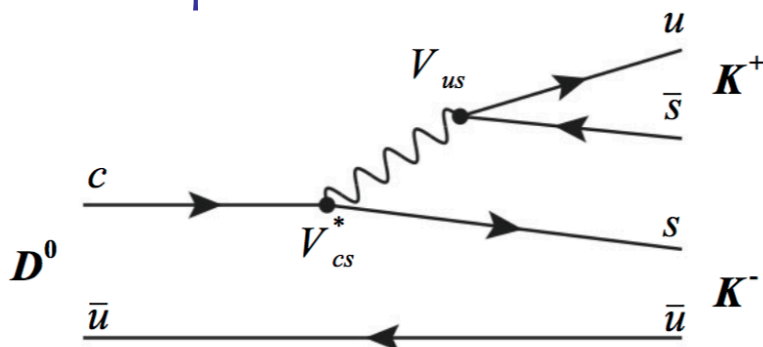
$$A_{CP}^{dir}(D^0 \rightarrow f) = -2r_f \sin\delta_f \sin\phi_f$$

Necessary condition to observe direct CP violation is that  $r_f$ ,  $\delta_f$  and  $\phi_f$  are all different from zero



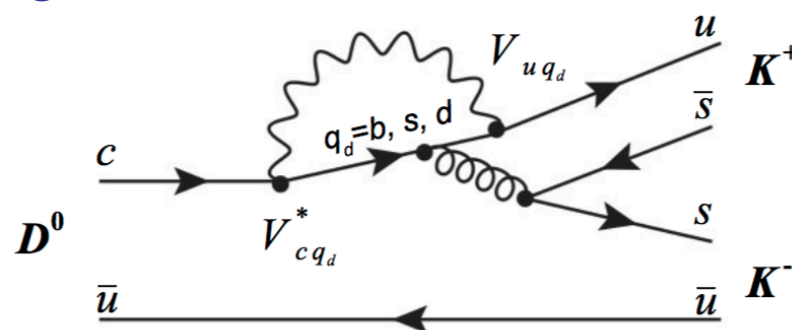
Singly Cabibbo Suppressed (SCS) decay, e.g.  $D^0 \rightarrow K^- K^+$

Tree amplitude



$$a_{KK}^T \sim \underbrace{V_{cs}^* V_{us}}_{1 \cdot \lambda} T_{KK}$$

Penguin amplitude



$$a_{KK}^P \sim \underbrace{V_{cb}^* V_{ub}}_{\lambda^2 \cdot \lambda^3} P_{KK}^b + \underbrace{V_{cs}^* V_{us}}_{1 \cdot \lambda} P_{KK}^s + \underbrace{V_{cd}^* V_{ud}}_{\lambda \cdot 1} P_{KK}^d$$

Using unitarity on the last term of the penguin amplitude, it follows:

$$A_{KK} = a_{KK}^T + a_{KK}^P = \underbrace{V_{cs}^* V_{us} (T_{KK} + P_{KK}^s - P_{KK}^d)}_{A_{KK}^T \mathcal{O}(\lambda)} + \underbrace{V_{cb}^* V_{ub} (P_{KK}^b - P_{KK}^d)}_{A_{KK}^P \mathcal{O}(\lambda^5)}$$

In the Standard Model direct CP violation is naively estimated to be

$$A_{CP}^{dir}(D^0 \rightarrow KK) \sim 10^{-3} - 10^{-4}$$

Non-perturbative QCD as well as New Physics effects can contribute to enhance CPV  $\rightarrow$  but we already know that these effects can not be large

In the U-spin symmetric limit ( $d \leftrightarrow s$ ), the same calculations holds for the  $\pi\pi$  final state, with

$$A_{CP}^{dir}(D^0 \rightarrow KK) = -A_{CP}^{dir}(D^0 \rightarrow \pi\pi)$$

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**Direct CP violation is not yet observed**

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# Charm and $D^0$ production at LHC

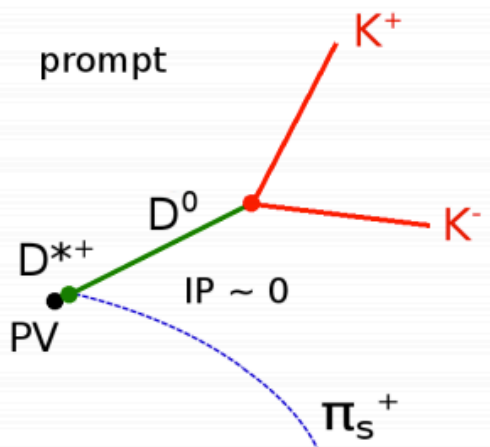
LHCb is designed for beauty physics, but it offers a great opportunity to perform charm physics as well

$$\sigma(pp \rightarrow c\bar{c}) = \begin{array}{ll} (1419 \pm 134)\mu b & @ 7\text{TeV} \quad \text{Nucl.Phys.B871(2013)1} \\ (2940 \pm 240)\mu b & @ 13\text{TeV} \quad \text{JHEP03(2016)159} \end{array}$$

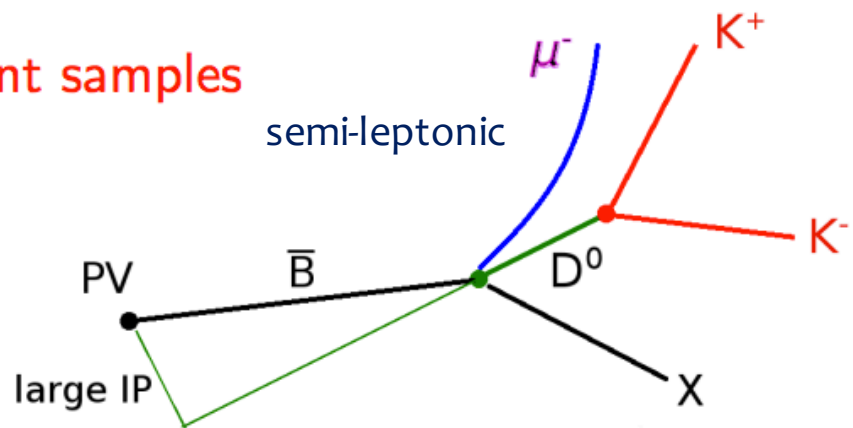
About 20 times more  $b\bar{b}$

$p_T < 8 \text{ GeV}/c$ ,  $2.0 < y < 4.5$

## Two mechanisms of $D^0$ production



Independent samples



# Charm and $D^0$ production at LHC

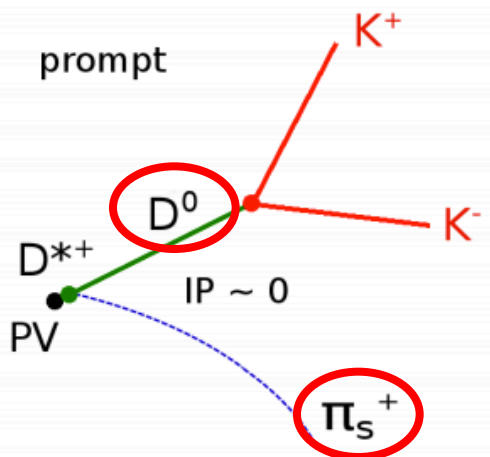
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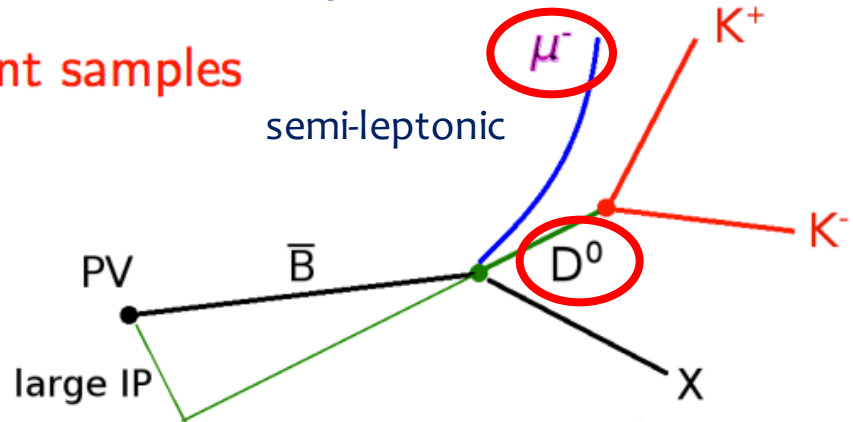
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Experimentally we can tag  $D^0$  flavour at production by means of the charge of the muon and the soft pion



Independent samples





# Charm and $D^0$ production at LHC

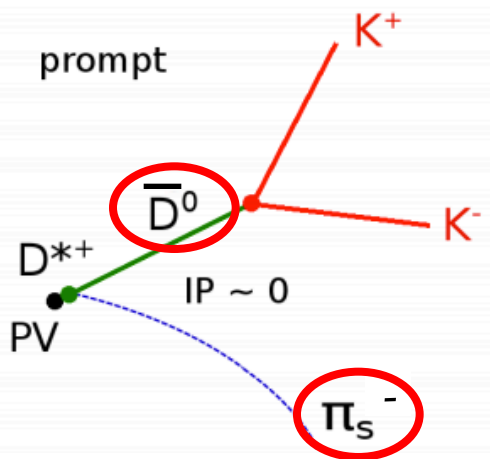
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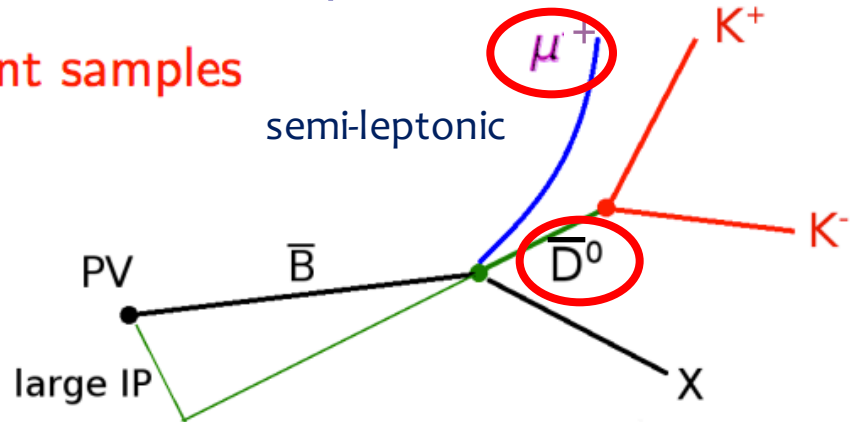
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Independent samples



# Charm physics at LHCb

- Unprecedented charm yields at LHC produced world best measurements:
  - Mixing and CPV in  $D^0 \rightarrow K\pi$  [PRL 111 (2013) 251801, LHCb-PAPER-2016-033 submitted to PRD, Phys. Rev. Lett. 116 (2016)]
  - Direct CPV with  $\Delta A_{CP}$  [JHEP 07 (2014) 041, LHCb-CONF-2013-003]
  - Indirect CPV in  $A_F$  [LHCb-CONF-2016-009, LHCb-CONF-2016-010, PRL 112 (2014) 041801]
  - CP violation searches in multibody decays [LHCb-CONF-2016-008, PLB 726 (2013) 623, PLB 728 (2014) 585, JHEP 10 (2014) 005 ...]
- Still statistically dominated in core measurements

TODAY:

- Measurement of direct CP asymmetry in  $D^0 \rightarrow K^- K^+$  [LHCb-PAPER-2016-033, submitted to PLB]
- Measurement of the difference of time-integrated CP asymmetries in  $D^0 \rightarrow K^- K^+$  and  $D^0 \rightarrow \pi^- \pi^+$  [arXiv:1602.03160, Phys. Rev. Lett. 116 (2016) 191601]

Measurement of the difference of time-integrated CP asymmetry in  $D^0 \rightarrow K^- K^+$  and  $D^0 \rightarrow \pi^- \pi^+$  decay

LHCb-PAPER-2015-055  
Phys. Rev. Lett. 116 (2016) 191601

# Time-integrated CP asymmetry

CP asymmetry is defined as

$$A_{CP}(f) = \frac{\Gamma(D^0 \rightarrow f) - \Gamma(\bar{D}^0 \rightarrow f)}{\Gamma(D^0 \rightarrow f) + \Gamma(\bar{D}^0 \rightarrow f)} \quad \text{with } f=K^+K^- \text{ and } f=\pi^+\pi^-$$

The flavour of the initial state ( $D^0$  or  $\bar{D}^0$ ) is tagged by the charge of the slow pion from,  $D^{*\pm} \rightarrow D^0\pi^\pm$

The raw asymmetry for tagged  $D^0$  decays to a final state  $f$  is given by

$$A_{raw}(f) = \frac{N(D^{*+} \rightarrow D^0\pi^+) - N(D^{*-} \rightarrow \bar{D}^0\pi^-)}{N(D^{*+} \rightarrow D^0\pi^+) + N(D^{*-} \rightarrow \bar{D}^0\pi^-)}$$

where  $N$  refers to the number of reconstructed events of decay after background subtraction

# Production and detection asymmetries

What we measure is the physical asymmetry plus asymmetries due to production and detector effects

$$A_{\text{raw}}(f) = A_{CP}(f) + \cancel{A_D(f)} + A_D(\pi_s^+) + A_P(D^{*\pm})$$

$f \equiv \bar{f}$

CP asymmetry

Any charge-dependent  
asymmetry in slow pion  
reconstruction

$D^{*\pm}$  production  
asymmetry

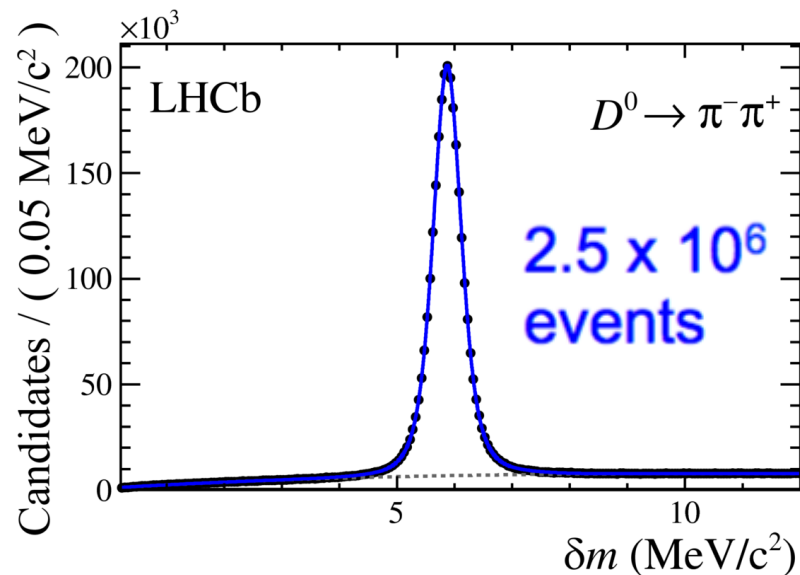
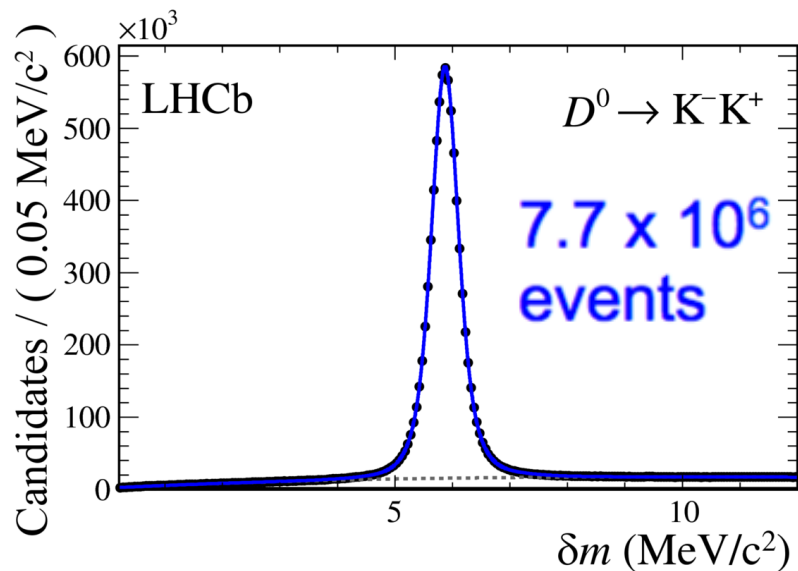
- No detection asymmetry for  $D^0$  decays to  $K^-K^+$  or  $\pi^-\pi^+$
- ... if we take the raw asymmetry difference

$$\Delta A_{CP} \equiv A_{\text{raw}}(KK) - A_{\text{raw}}(\pi\pi) = A_{CP}(KK) - A_{CP}(\pi\pi)$$

- the  $D^{*\pm}$  production and the slow pion detection asymmetries will cancel



RUN-1:  $L = 3/\text{fb}$



$$\delta m \equiv m(h^+ h^- \pi_s^+) - m(h^+ h^-) - m(\pi^+)$$

Signal yields and  $A_{raw}$  are obtained from minimum  $\chi^2$  fits to the binned  $\delta m$  distributions of the  $D^0 \rightarrow K^- K^+$  and  $D^0 \rightarrow \pi^- \pi^+$  samples in the  $D^0$  signal regions

Source	uncertainty [%]
Fit Model	0.016
Multiple candidates	0.015
Peaking background	0.011
Reweighting	0.004
Fiducial cut	0.017
Secondaries	0.004
Total	0.030

Systematic uncertainties  
well below statistical error

$$\Delta A_{CP} = (-0.10 \pm 0.08 \text{ (stat)} \pm 0.03 \text{ (syst)}) \%$$

This is the most precise measurement of a time-integrated CP asymmetry in the charm sector from a single experiment.

In agreement with the LHCb muon-tagged measurement: Run-1 3/fb

$$\Delta A_{CP} = 0.14 \pm 0.16^{\text{stat}} \pm 0.08^{\text{syst}} \%$$

The observable  $\Delta A_{CP}$  is mostly sensitive to direct CP asymmetry,  $\Delta a_{CP}^{dir}$ , but with a small contribution also to indirect CP asymmetry,  $a_{CP}^{ind}$

$$\Delta A_{CP} \equiv A_{CP}(K^-K^+) - A_{CP}(\pi^-\pi^+) \approx \Delta a_{CP}^{dir} \left( 1 + \frac{\langle t \rangle}{\tau} y_{CP} \right) + \frac{\Delta \langle t \rangle}{\tau} a_{CP}^{ind}$$

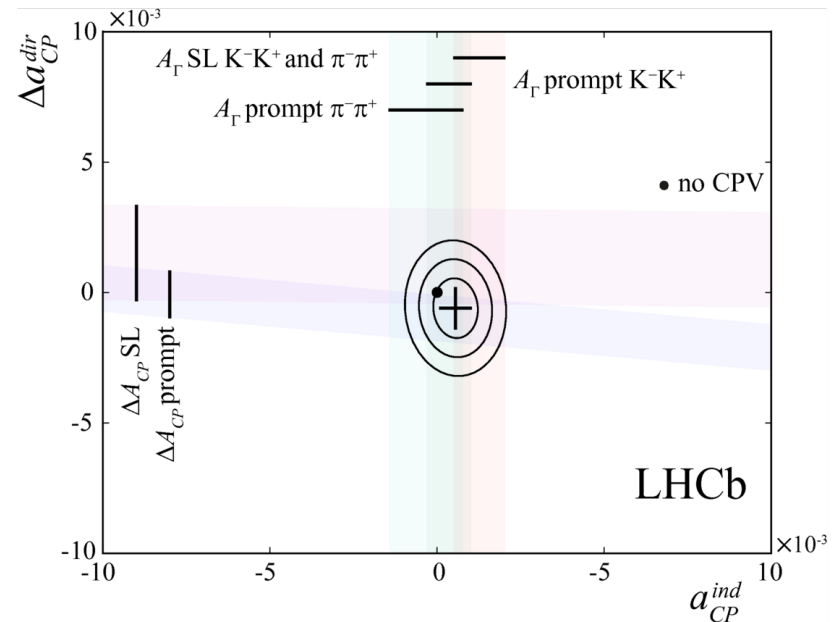
$\sim 2 \times 10^{-4}$        $\sim 0.12$

Combination with LHCb measurements

$$\Delta a_{CP}^{dir} (-0.061 \pm 0.076)\%$$

$$a_{CP}^{ind} (0.058 \pm 0.044)\%$$

The result is consistent with the hypothesis of CP symmetry with a p-value of 0.32



# Measurement of CP asymmetry in $D^0 \rightarrow K^- K^+$ decay

LHCb-PAPER-2016-035  
Submitted to PLB

# The single asymmetry $A_{CP}(KK)$

In order to measure the single asymmetry it is necessary to know the pion detection asymmetry  $A_D(\pi_S^+)$  and the  $D^{*+}$  production asymmetry  $A_P(D^{*+})$

$$A_{raw}(KK) = A_{CP}(KK) + A_P(D^{*+}) + A_D(\pi_S^+)$$

The raw asymmetry for  $D^{*+} \rightarrow D^0(K^-\pi^+)\pi^+$ ,  $A_D(\pi_S^+)$  cancel

$$A_{raw}^*(K\pi) = A_{CP}(K\pi) + A_D(K\pi) + A_P(D^{*+}) + A_D(\pi_S^+)$$

In the difference between the two  $A_{raw}$ ,  $A_P(D^{*+})$  and  $A_D(K\pi)$  cancel

$$A_{raw}(KK) - A_{raw}^*(K\pi) = A_{CP}(KK) - A_D(K\pi)$$

It is still necessary to measure  $A_D(K\pi)$



# $A_D(K\pi)$ measurement

It is measured using the  $D^+ \rightarrow K^- \pi^+ \pi^+$  and  $D^+ \rightarrow K_S^0 \pi^+$  decay

$$A_{raw}(K\pi\pi) = A_D(K\pi) + A_P(D^+) + A_D(\pi^+)$$

$$A_{raw}(K_S^0\pi) = A_D(K_S^0) + A_P(D^+) + A_D(\pi^+)$$

from the difference of the above asymmetries,  $A_P(D^+)$  and  $A_D(\pi^+)$  cancel out

$$A_{raw}(K\pi\pi) - A_{raw}(K_S^0\pi) = A_D(K\pi) + A_D(K_S^0)$$

$A_D(K_S^0)$  include CP violation, mixing and interaction with the material, all the effects are known and found to be small

$$A_D(K_S^0) = (0.054 \pm 0.014)\%$$

LHCb-PAPER-2014-069 JHEP 04 (2015) 043

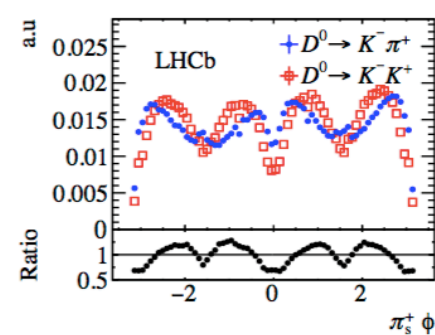
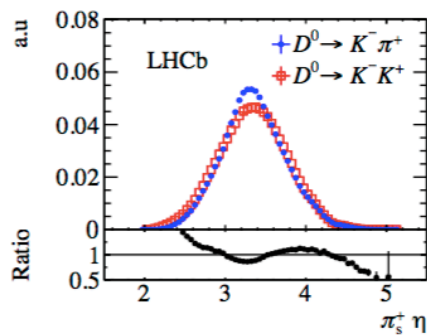
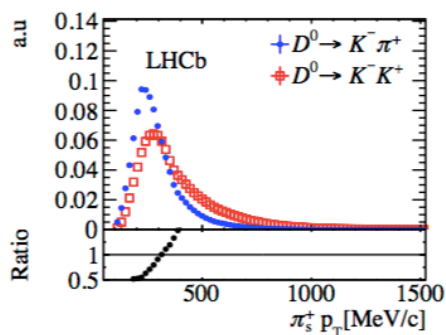
# The single asymmetry $A_{CP}(KK)$

LHCb-PAPER-2016-035,  
Submitted to PLB

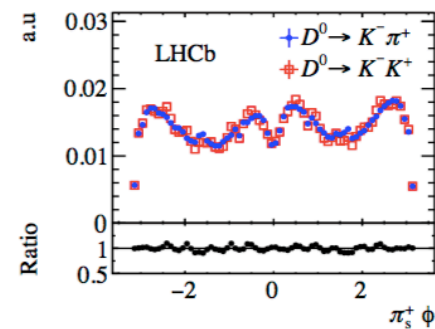
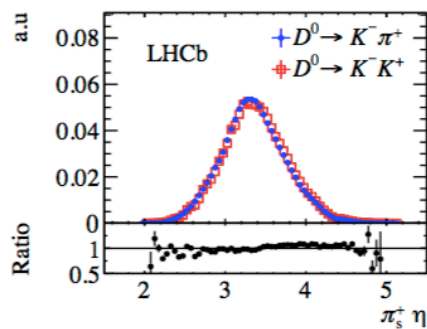
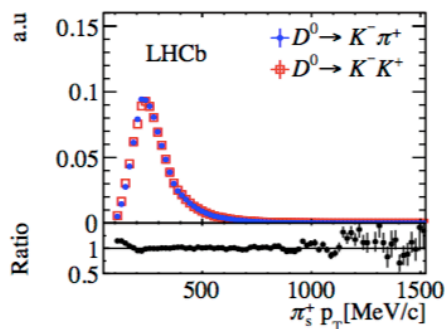
All the raw asymmetry differences work if the kinematics of the various decays involved match

Kinematic reweighting is applied, for example between  $D^0 \rightarrow K^- K^+$  and  $D^0 \rightarrow K^- \pi^+$

before

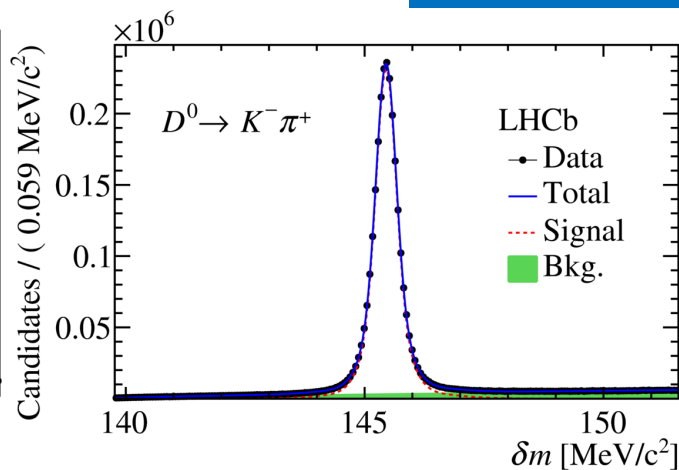
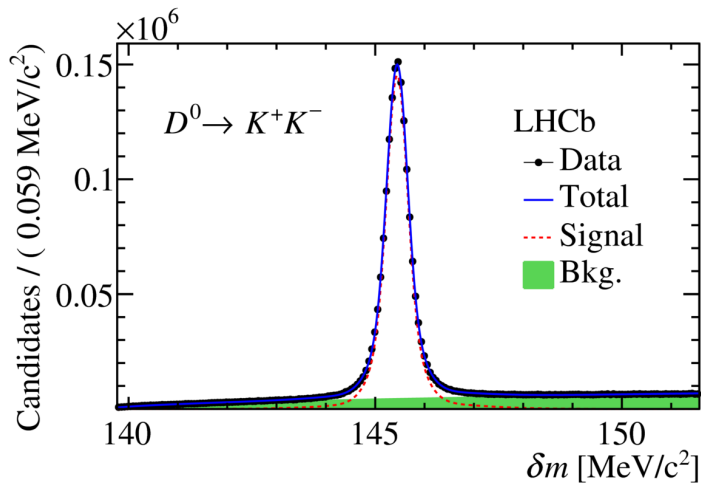


after

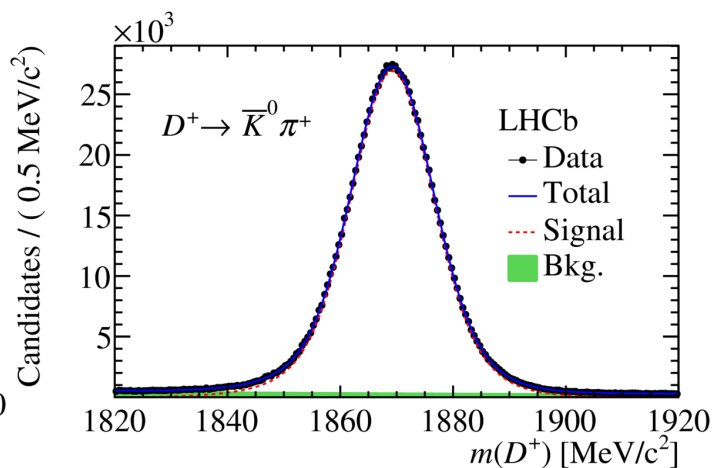
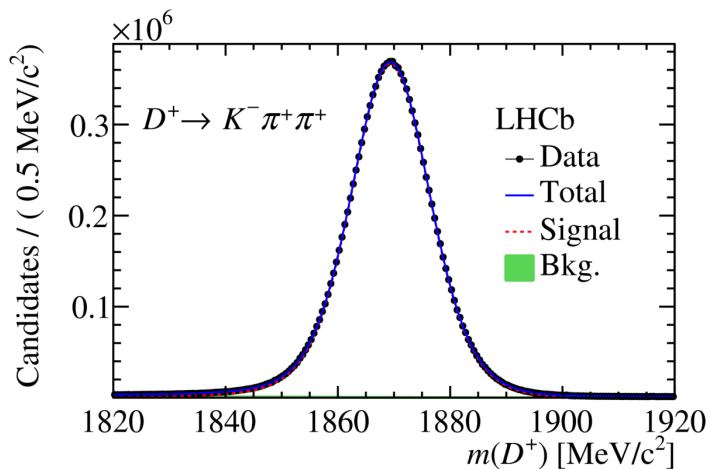


# Signal and control channels yields

LHCb-PAPER-2016-035, Submitted to PLB



Channel	After weighting
$D^0 \rightarrow K^- K^+$	1.63 M
$D^0 \rightarrow K^- \pi^+$	2.61 M
$D^+ \rightarrow K^- \pi^+ \pi^+$	13.67 M
$D^+ \rightarrow \bar{K}^0 \pi^+$	1.06 M



- Extract yields with binned maximum Likelihood fit to
- $\delta_m$  in  $D^0$  mass region for  $D^{*+}$  modes
  - $m(K\pi\pi)$  for  $D^+$

$$A_{CP}(K^-K^+) = (0.14 \pm 0.15 \text{ (stat)} \pm 0.10 \text{ (syst)})\%$$

Category	Systematic uncertainty [%]
Determination of raw asymmetries:	
Fit model	0.025
Peaking background	0.015
Cancellation of nuisance asymmetries:	
Additional fiducial cuts	0.040
Weighting configuration	0.062
Weighting simulation	0.054
Secondary charm meson	0.039
Neutral kaon asymmetry	0.014
<b>Total</b>	<b>0.10</b>

This result can be combined with the previous LHCb measurement based on a data sample of  $D^0 \rightarrow K^-K^+$  decays from semi-leptonic  $B$  decays

$$A_{CP}^{\text{sl}}(K^-K^+) = (-0.06 \pm 0.15 \text{ (stat)} \pm 0.10 \text{ (syst)})\%$$



LHCb-PAPER-2013-054 Phys. Rev. Lett. 112 (2014)

$$A_{CP}^{\text{comb}}(K^-K^+) = (0.04 \pm 0.12 \text{ (stat)} \pm 0.10 \text{ (syst)})\%$$

# Combination with previous LHCb measurements

LHCb-PAPER-2016-035, Submitted to PLB

From the previous  $\Delta A_{CP}$  measurement, it is possible to measure  $A_{CP}(\pi^-\pi^+)$

$$A_{CP}(\pi^+\pi^-) = A_{CP}(K^+K^-) - \Delta A_{CP} = (0.24 \pm 0.15 \text{ (stat)} \pm 0.11 \text{ (syst)})\%$$

with a correlation between the two measurements

$$\rho(A_{CP}(KK), A_{CP}(\pi\pi)) = 0.24$$

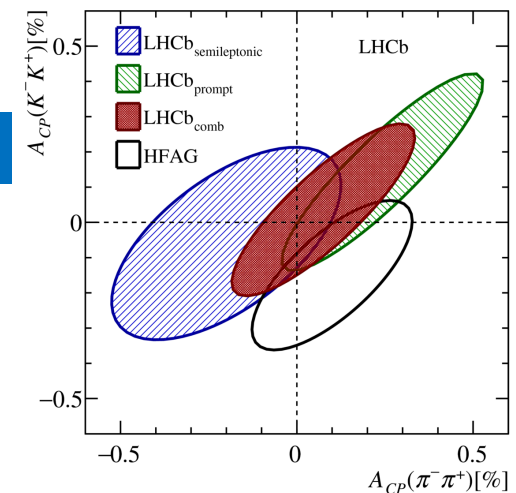
This result can be combined with the previous LHCb measurement based on a data sample of  $D^0 \rightarrow \pi^-\pi^+$  decays from semi-leptonic  $B$  decays

$$A_{CP}^{\text{sl}}(\pi^-\pi^+) = (-0.19 \pm 0.20 \text{ (stat)} \pm 0.10 \text{ (syst)})\%$$

LHCb-PAPER-2013-054 Phys. Rev. Lett. 112 (2014)



$$A_{CP}^{\text{comb}}(\pi^-\pi^+) = (0.07 \pm 0.14 \text{ (stat)} \pm 0.11 \text{ (syst)})\%$$



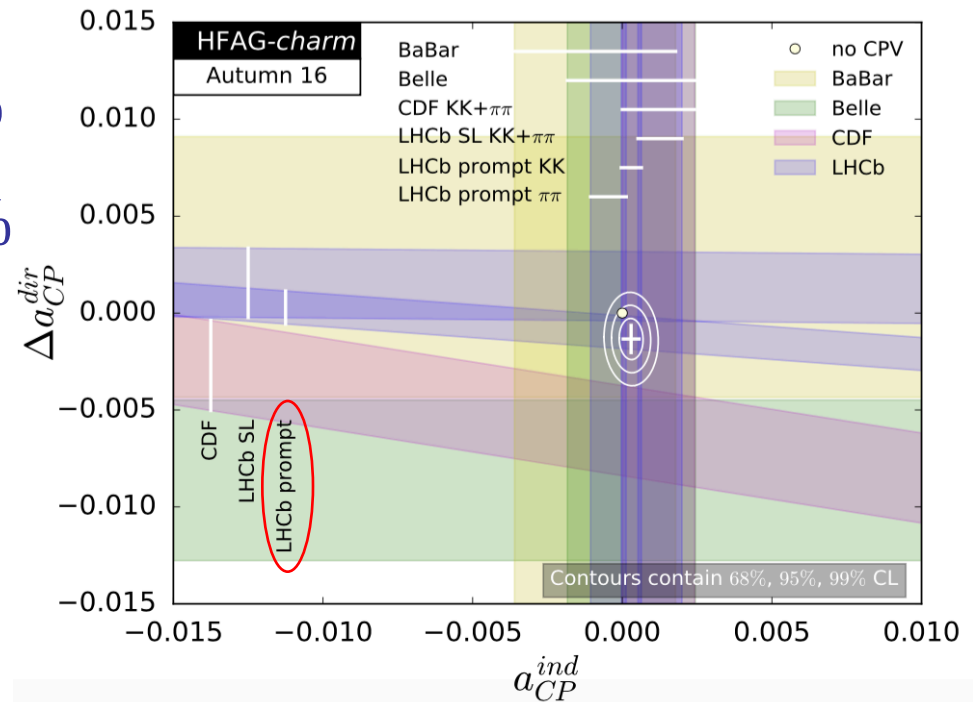


# Current experimental status HFAG

$$\Delta a_{CP}^{dir} = (-0.134 \pm 0.070)\%$$

$$a_{CP}^{ind} = (0.030 \pm 0.026)\%$$

The result is consistent with the hypothesis of CP symmetry with a p-value of 0.09



# Current experimental status HFAG

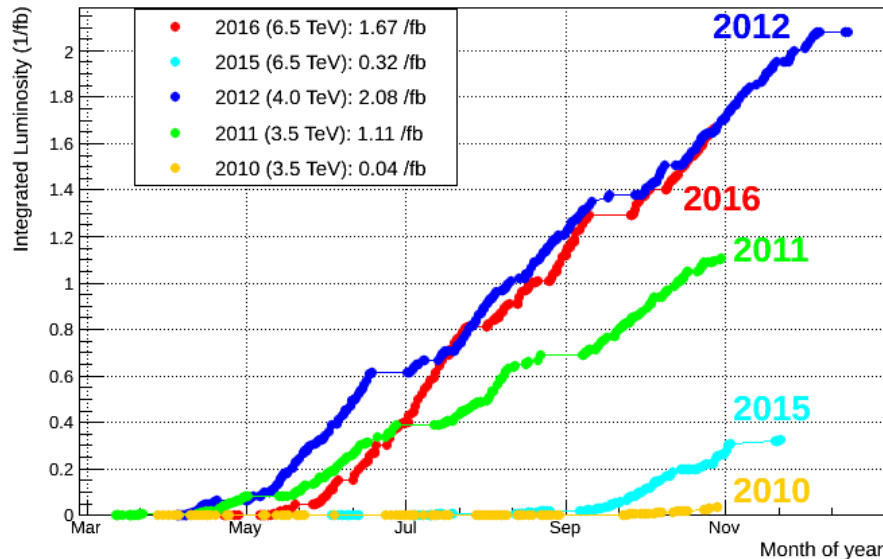
Year	Experiment	CP Asymmetry in the decay mode $D^0$ to $\pi^+\pi^-$	$[\Gamma(D^0)-\Gamma(D^0\text{bar})]/[\Gamma(D^0)+\Gamma(D^0\text{bar})]$
2014	LHCb	<a href="#">R. Aaij et al. (LHCb Collab.), JHEP 07, 041 (2014).</a>	$-0.0020 \pm 0.0019 \pm 0.0010$
2012	CDF	<a href="#">T. Aaltonen et al. (CDF Collab.), Phys. Rev. D 85, 012009 (2012).</a>	$+0.0022 \pm 0.0024 \pm 0.0011$
2008	BABAR	<a href="#">B. Aubert et al. (BABAR Collab.), Phys. Rev. Lett. 100, 061803 (2008).</a>	$-0.0024 \pm 0.0052 \pm 0.0022$
2008	BELLE	<a href="#">M. Staric et al. (BELLE Collab.), Phys. Lett.B 670, 190 (2008).</a>	$+0.0043 \pm 0.0052 \pm 0.0012$
2002	CLEO	<a href="#">S.E. Csorna et al. (CLEO Collab.), Phys. Rev. D 65, 092001 (2002).</a>	$+0.019 \pm 0.032 \pm 0.008$
2000	FOCUS	<a href="#">J.M. Link et al. (FOCUS Collab.), Phys. Lett. B 491, 232 (2000).</a>	$+0.048 \pm 0.039 \pm 0.025$
1998	E791	<a href="#">E.M. Aitala et al. (E791 Collab.), Phys. Lett. B 421, 405 (1998).</a>	$-0.049 \pm 0.078 \pm 0.030$
.	.	COMBOS average	$-0.0000 \pm 0.0015$

Year	Experiment	CP Asymmetry in the decay mode $D^0$ to $K^+K^-$	$[\Gamma(D^0)-\Gamma(D^0\text{bar})]/[\Gamma(D^0)+\Gamma(D^0\text{bar})]$
2014	LHCb	<a href="#">R. Aaij et al. (LHCb Collab.), JHEP 07, 041 (2014).</a>	$-0.0006 \pm 0.0015 \pm 0.0010$
2012	CDF	<a href="#">T. Aaltonen et al. (CDF Collab.), Phys. Rev. D 85, 012009 (2012).</a>	$-0.0024 \pm 0.0022 \pm 0.0009$
2008	BABAR	<a href="#">B. Aubert et al. (BABAR Collab.), Phys. Rev. Lett. 100, 061803 (2008).</a>	$+0.0000 \pm 0.0034 \pm 0.0013$
2008	BELLE	<a href="#">M. Staric et al. (BELLE Collab.), Phys. Lett.B 670, 190 (2008).</a>	$-0.0043 \pm 0.0030 \pm 0.0011$
2002	CLEO	<a href="#">S.E. Csorna et al. (CLEO Collab.), Phys. Rev. D 65, 092001 (2002).</a>	$+0.000 \pm 0.022 \pm 0.008$
2000	FOCUS	<a href="#">J.M. Link et al. (FOCUS Collab.), Phys. Lett. B 491, 232 (2000).</a>	$-0.001 \pm 0.022 \pm 0.015$
1998	E791	<a href="#">E.M. Aitala et al. (E791 Collab.), Phys. Lett. B 421, 405 (1998).</a>	$-0.010 \pm 0.049 \pm 0.012$
.	.	COMBOS average	$-0.0016 \pm 0.0012$

Great impact of LHCb on the world averages

# Prospects

LHCb Integrated Luminosity in pp collisions 2010-2016



Run-2: collected about  $2\text{fb}^{-1}$  @  $\sqrt{s} = 13\text{ TeV}$

Net statistics on  $D^0 \rightarrow K^-K^+$  and  $D^0 \rightarrow \pi^-\pi^+$  and control channels increased by a factor 2-3 with respect to the Run-1

$$\text{Run-1} + \text{Run-2} \rightarrow \sigma(\Delta A_{CP}) \sim 5 \times 10^{-4}$$

$\sigma(A_{CP}(KK))$  dominated by the precision on  $A_D(K\pi) \rightarrow$  expected to break the wall and go below  $10^{-3}$  with Run-1 + Run-2

New results expected for next winter conferences

# Conclusions

Currently LHCb has a leading role in the search for direct CP violation in the charm sector

Two-body charm decays are the more promising decays

Naively SM calculations foresee direct CP violation to be in the range  $10^{-3} - 10^{-4}$

With RUN-1 data, LHCb excluded large values of direct CP violation, i.e.  $> 10^{-2}$

With RUN-2 data collected so far, LHCb will reach the SM predictions, breaking the wall of  $10^{-3}$  in precision also for a single  $A_{CP}$  measurement