

# Status and prospects of $D$ mixing and CPV at BESIII

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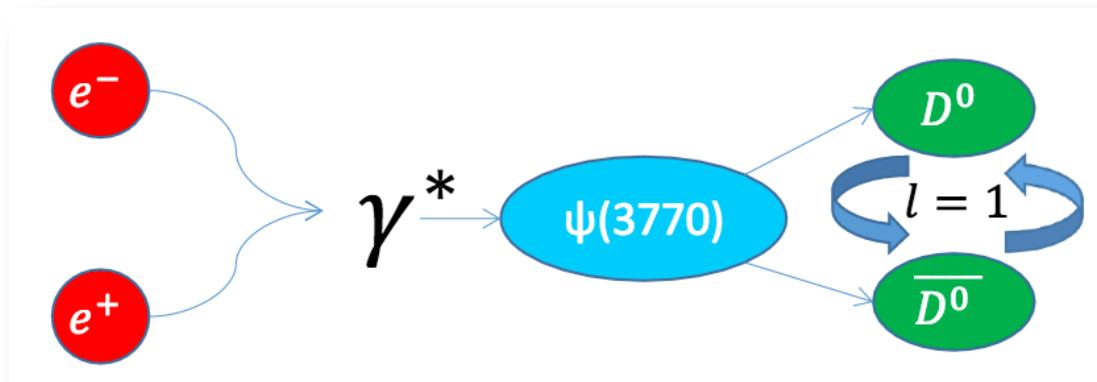
(On behalf of the BESIII collaboration)





- **Introduction and BESIII**
- **Recent results on D mixing and CPV**
- **Future plan & physics prospects**
- **Summary**

# Quantum correlated (QC) neutral $D$ state near threshold

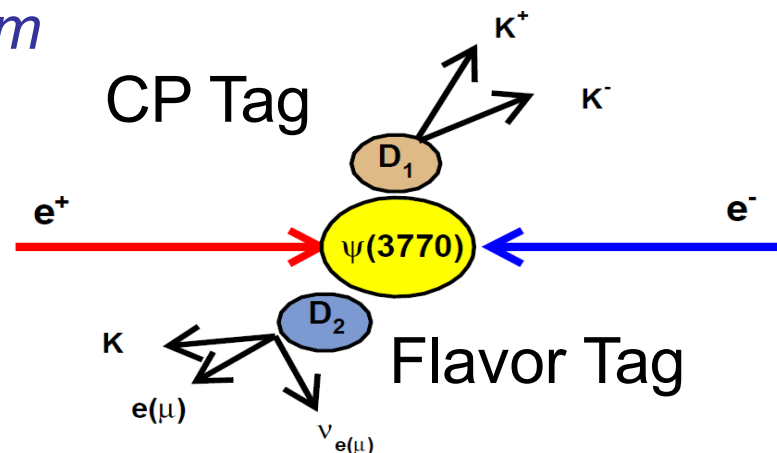


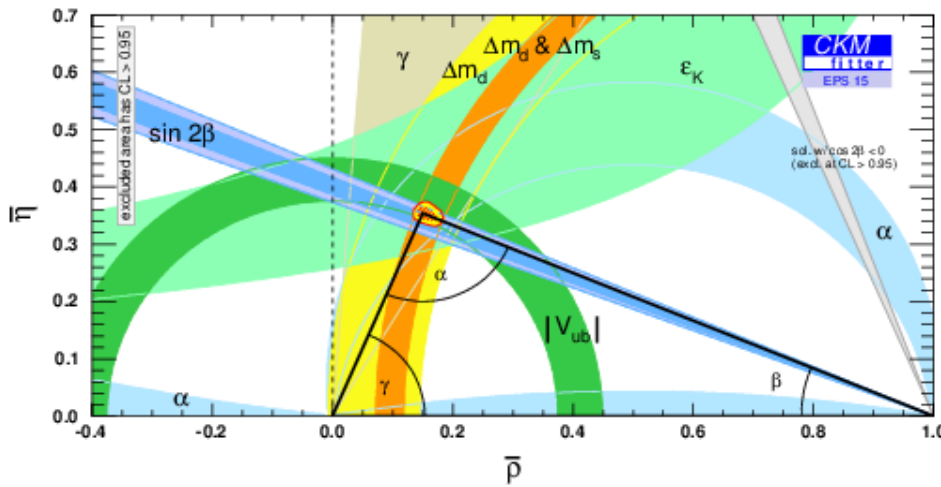
If  $D^0$  in CP eigenstate,  $\bar{D}^0$  must be in opposite CP eigenstate

Quantum Correlations (QC) and CP-tagging are unique

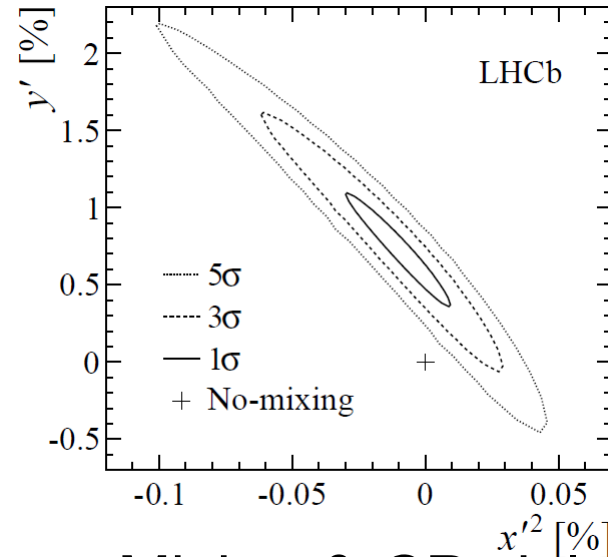
*Taking advantage the quantum coherence of  $D\bar{D}$  pairs, BESIII can study the charm physics in an unique way*

- strong phase in  $D$  decays
- $D$  mixing parameters
- direct CP violation
- ...





Precision CKM test



Charm Mixing & CP violation

- ◆ **inputs from Quantum Correlated (QC)  $\psi(3770) \rightarrow D\bar{D}$  decays**
  - ◆ (Averaged) Strong phase difference:  $\delta_D$
  - ◆ Coherent factors:  $R_D$
  - ◆ (Averaged) Strong phase in Dalitz bins:  $c_i, s_i$
- ◆  **$B$  factories, LHCb, Super  $B$  factories are the customers**

# $\delta$ and $\gamma/\phi_3$ input

- $D$  hadronic parameters for a final state

$$f: \frac{A(\bar{D}^0 \rightarrow f)}{A(D^0 \rightarrow f)} \equiv -r_D e^{-i\delta_D}$$

- Charm mixing parameters:  $\mathbf{x} = \frac{\Delta M}{\Gamma}$ ,  $\mathbf{y} = \frac{\Delta\Gamma}{2\Gamma}$ 
  - Time-dependent WS  $D^0 \rightarrow K^+ \pi^-$  rate  $\Rightarrow$   
 $\mathbf{y}' = \mathbf{y} \cos \delta_{K\pi} - \mathbf{x} \sin \delta_{K\pi}$  (LHCb)
  - $\delta_{K\pi}$ : QC measurements from Charm factory
- $\gamma/\phi_3$  measurements from  $B \rightarrow D^0 K$ 
  - $b \rightarrow u$ :  $\gamma/\phi_3 = \arg V_{ub}^*$ 
    - most sensitive method to constrain  $\gamma/\phi_3$  at present
  - GLW, ADS method
    - $r_D, \delta_D$ : QC measurements from Charm factory
  - GGSZ method
    - $c_i, s_i$ : QC measurements from Charm factory

◆ No time dependent information at Charm threshold

◆ Anti-symmetric wavefunction:

$$\Gamma^2_{ij} = |\langle i|D^0\rangle\langle j|\bar{D}^0\rangle - \langle j|D^0\rangle\langle i|\bar{D}^0\rangle|^2$$

◆ Double tag rates:

$$A_i^2 A_j^2 [1 + r_i^2 r_j^2 - 2r_i r_j \cos(\delta_i + \delta_j)]$$

◆ CP tag:  $r=1, \delta=0$  or  $\pi$ ;  $l^\pm$  tag:  $r=0$

◆ Single and Double tag rates

$$\text{◆ } z_f \equiv 2 \cos \delta_f, r_f \equiv \frac{A_{DCS}}{A_{CF}}, R_M \approx \frac{x^2 + y^2}{2}$$

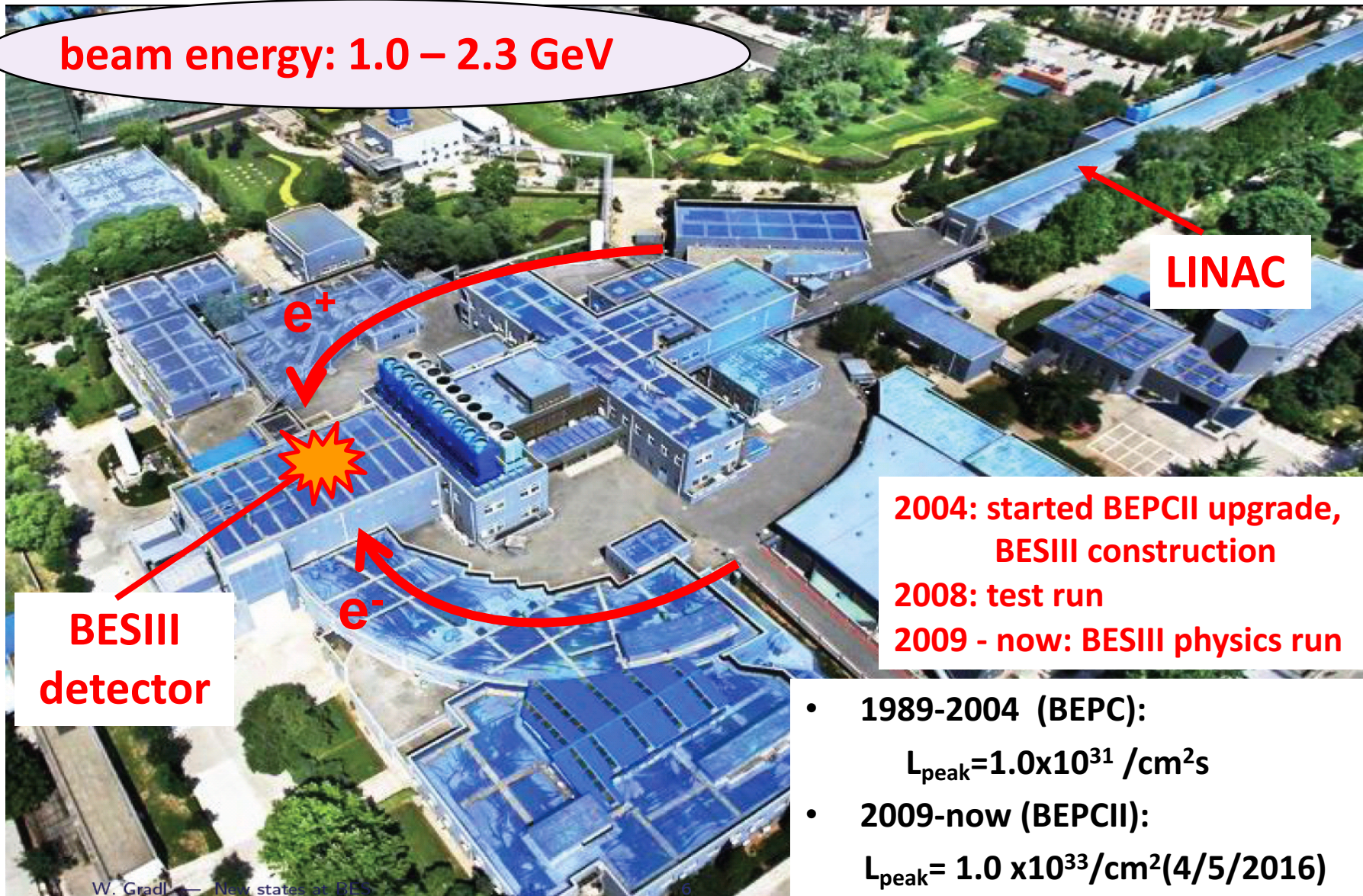
$$\begin{aligned} \psi(3770) &\rightarrow [D^0 \bar{D}^0 - \bar{D}^0 D^0] / \sqrt{2} \\ &= -[D_{CP+} D_{CP-} - D_{CP-} D_{CP+}] / \sqrt{2} \end{aligned}$$

$$D_{CP\pm} = [D^0 \pm \bar{D}^0] / \sqrt{2}$$

<i>C-odd</i>	<i>f</i>	$\bar{f}$	$l^+$	$l^-$	<i>CP+</i>	<i>CP-</i>
<i>f</i>	$R_M [1 + r_f^2 (2 - z_f^2) + r_f^4]$					
$\bar{f}$	$1 + r_f^2 (2 - z_f^2) + r_f^4$	$R_M [1 + r_f^2 (2 - z_f^2) + r_f^4]$				
$l^+$	$r_f^2$	$1$	$R_M$			
$l^-$	$1$	$r_f^2$	$1$	$R_M$		
<i>CP+</i>	$1 + r_f (r_f + z_f)$	$1 + r_f (r_f + z_f)$	$1$	$1$	$0$	
<i>CP-</i>	$1 + r_f (r_f - z_f)$	$1 + r_f (r_f - z_f)$	$1$	$1$	$4$	$0$
<i>Single Tag</i>	$1 + r_f^2 - r_f z_f (A - y)$		$1$		$2[1 \pm (A - y)]$	



beam energy: 1.0 – 2.3 GeV



LINAC

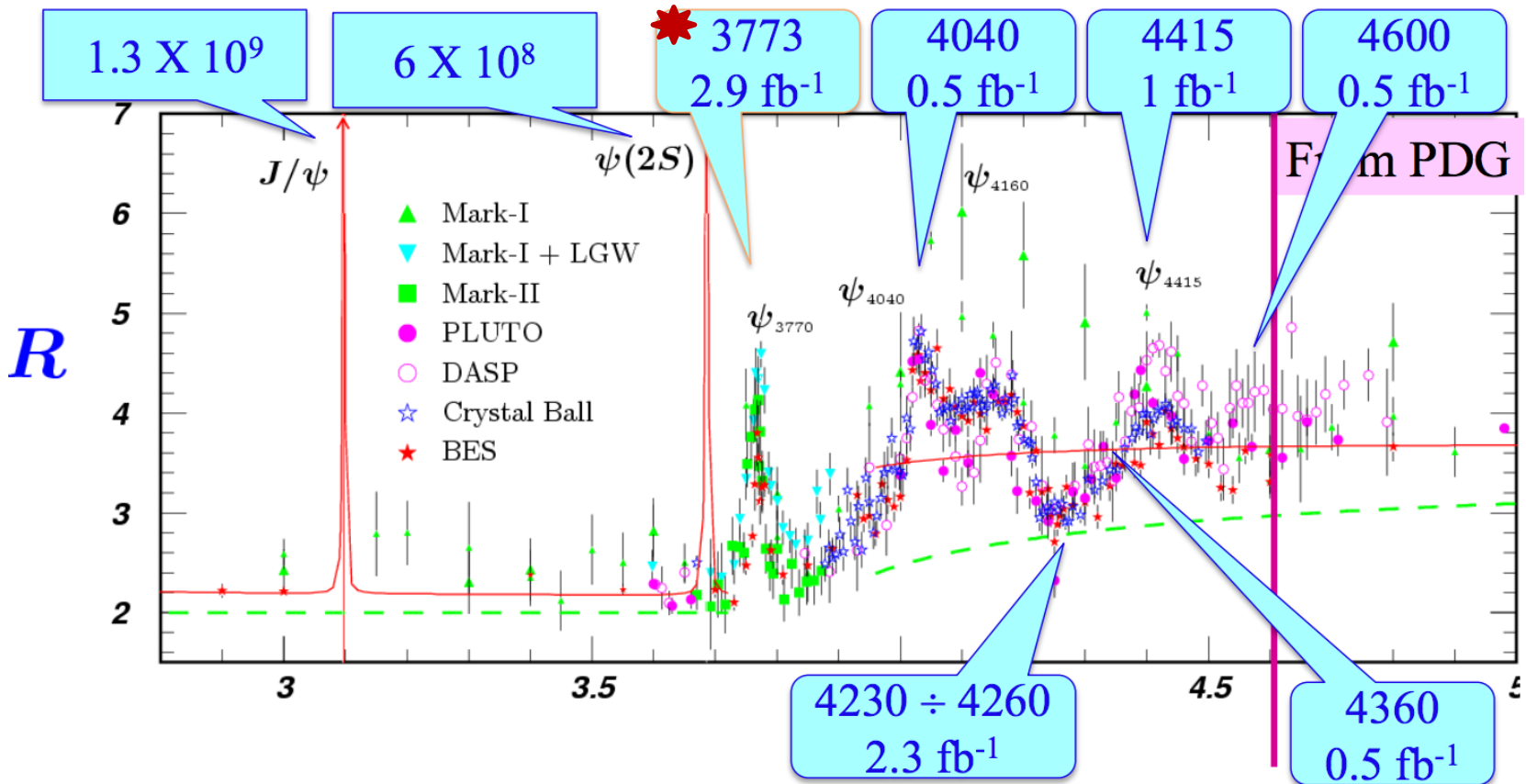
BESIII detector

2004: started BEPCII upgrade, BESIII construction  
 2008: test run  
 2009 - now: BESIII physics run

- 1989-2004 (BEPC):  
 $L_{\text{peak}} = 1.0 \times 10^{31} / \text{cm}^2 \text{s}$
- 2009-now (BEPCII):  
 $L_{\text{peak}} = 1.0 \times 10^{33} / \text{cm}^2 (4/5/2016)$

W. Gradl — New states of BES

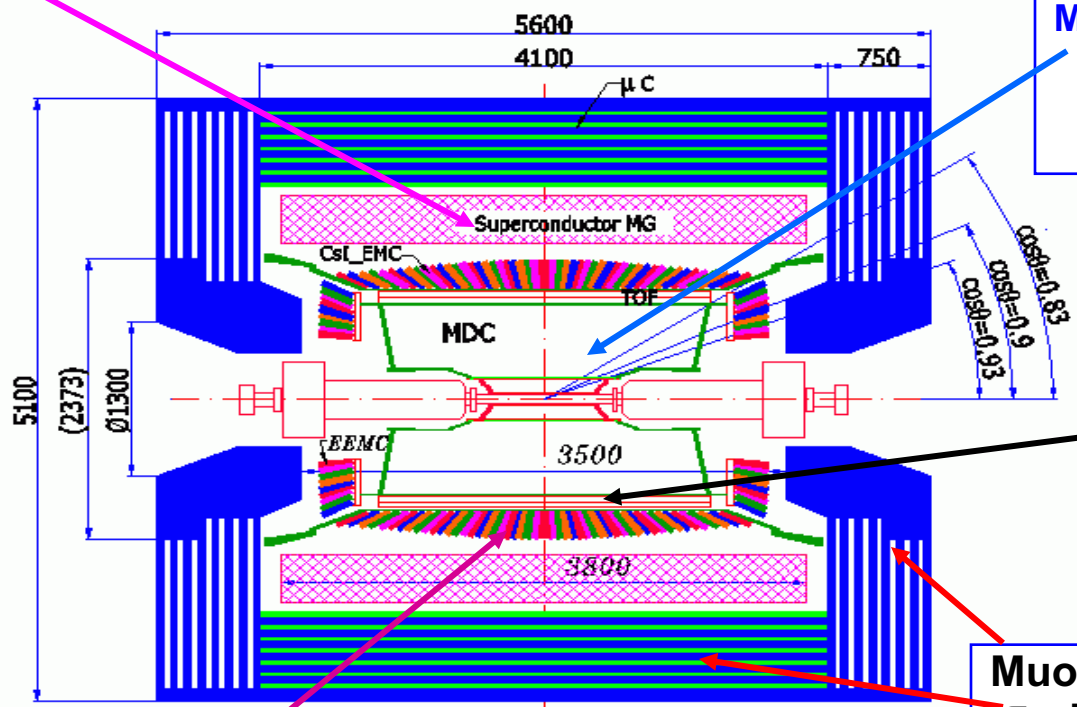
# BESIII data samples



- 4100~4400 MeV: 0.5/fb coarse scan
- 3850~4590 MeV: 0.5/fb fine scan
- In 2015, we finished energy scan at 2000~3000 MeV
- In 2016, we took 3/fb Ds data about 4180 MeV for Ds physics  
(*about 5 times of CLEO-c data*)



Magnet: 1 T Super conducting



MDC: small cell & He gas  
 $\sigma_{xy} = 130 \mu\text{m}$   
 $\delta_p/p = 0.5\% @ 1\text{GeV}$   
 $dE/dx = 6\%$

TOF:  
 $\sigma_T = 90 \text{ ps}$  Barrel  
 $110 \text{ ps}$  Endcap

Muon ID: 8~9 layer RPC  
 $\sigma_{R\phi} = 1.4 \text{ cm} \sim 1.7 \text{ cm}$

EMCAL: CsI crystal  
 $\Delta E/E = 2.5\% @ 1 \text{ GeV}$   
 $\sigma_{\phi,z} = 0.5 \sim 0.7 \text{ cm}/\sqrt{E}$

Data Acquisition:  
 Event rate = 3 kHz  
 Throughput ~ 50 MB/s

Trigger: Tracks & Showers  
 Pipelined; Latency = 6.4  $\mu\text{s}$

The new BESIII detector is hermetic for neutral and charged particle with excellent resolution, PID, and large coverage.

Political Map of the World, June 1999

## US (5)

Univ. of Hawaii  
Carnegie Mellon Univ.  
Univ. of Minnesota  
Univ. of Rochester  
Univ. of Indiana

## Mongolia (1)

Institute of Physics and Technology

## India (1)

Indian Institute of Technology

## Europe (14)

**Germany:** Univ. of Bochum,  
Univ. of Giessen, GSI

Univ. of Johannes Gutenberg

Helmholtz Ins. In Mainz, Univ. of Munster

**Russia:** JINR Dubna; BINP Novosibirsk

**Italy:** Univ. of Torino, Frascati Lab, Ferrara Univ.

**Netherland:** KVI/Univ. of Groningen

**Sweden:** Uppsala Univ.

**Turkey:** Turkey Accelerator Center

## Pakistan (2)

Univ. of Punjab  
COMSAT CIIT

## China (32)

IHEP, CCAST, UCAS, Shandong Univ.,

Univ. of Sci. and Tech. of China

Zhejiang Univ., Huangshan Coll.

Huazhong Normal Univ., Wuhan Univ.

Zhengzhou Univ., Henan Normal Univ.

Peking Univ., Tsinghua Univ.,

Zhongshan Univ., Nankai Univ., Beihang Univ.

Shanxi Univ., Sichuan Univ., Univ. of South China

Hunan Univ., Liaoning Univ., Univ. of Sci. and Tech. Liaoning

Nanjing Univ., Nanjing Normal Univ., Southeast Univ.

Guangxi Normal Univ., Guangxi Univ.

Suzhou Univ., Hangzhou Normal Univ.

Lanzhou Univ., Henan Sci. and Tech. Univ.

Jinan Univ.

## Korea (1)

Seoul Nat. Univ.

## Japan (1)

Tokyo Univ.

**~ 450 members  
from 57 institutions in 13 countries**

# Charm facilities

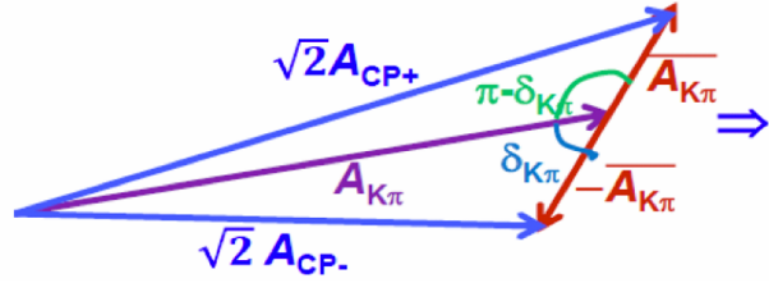
- Hadron colliders (huge cross-section, energy boost)
  - Tevetron (CDF, D0)
  - LHC (**LHCb**, CMS, ATLAS)
- $e^+e^-$  Colliders (more kinematic constrains, clean environment,  $\sim 100\%$  trigger efficiency)
  - B-factories (Belle(-II), BaBar)
  - Threshold production (**CLEOc, BESIII**)
    - **Can not compete in statistics with Hadron colliders & B-factories!!!**
    - Quantum Correlations (QC) and CP-tagging are unique
    - Only D meson pairs, no extra CM Energy for pions
    - Systematic uncertainties cancellations while applying double tag technique

# Strong Phase $\delta_{K\pi}$

2.93 fb<sup>-1</sup> @3.773GeV

Quantum correlation  $\rightarrow$  Interference  $\rightarrow$  access strong phase!

$$\langle K\pi | D_{CP\pm} \rangle = (\langle K\pi | D^0 \rangle \pm \langle K\pi | \bar{D}^0 \rangle) / \sqrt{2} \Rightarrow \sqrt{2} A_{CP\pm} = A_{K\pi} \pm \bar{A}_{K\pi}$$

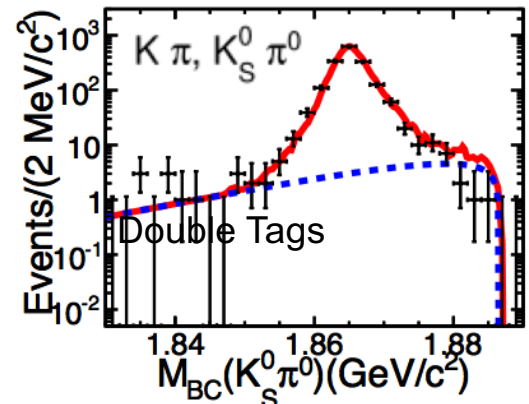
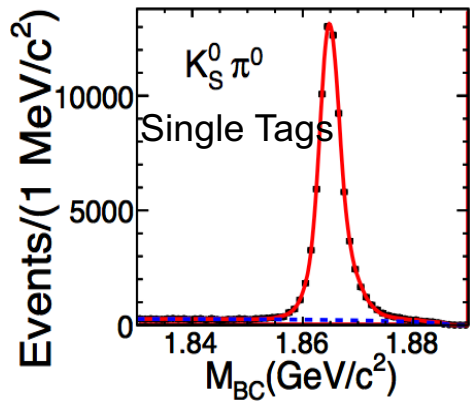


$$2r_{K\pi} \cdot \cos \delta_{K\pi} \approx A_{CP \rightarrow K\pi} \equiv \frac{|A_{CP-}|^2 - |A_{CP+}|^2}{|A_{CP-}|^2 + |A_{CP+}|^2}$$

$$= \frac{Br(D_{CP-} \rightarrow K\pi) - Br(D_{CP+} \rightarrow K\pi)}{Br(D_{CP-} \rightarrow K\pi) + Br(D_{CP+} \rightarrow K\pi)}$$

Strong phase:  $\frac{\langle K^-\pi^+ | \bar{D}^0 \rangle^{DCS}}{\langle K^-\pi^+ | D^0 \rangle^{CF}} \equiv -r_{K\pi} e^{-i\delta_{K\pi}}$

- ◆ Flavor tags:  $K^-\pi^+, K^+\pi^-$
- ◆ CP+ tags (5 modes):  $K^-K^+, \pi^+\pi^-, K_S^0\pi^0\pi^0, \pi^0\pi^0, \rho^0\pi^0$
- ◆ CP- tags (3 modes):  $K_S^0\pi^0, K_S^0\eta, K_S^0\omega$



PLB 734, 227 (2014)

## BESIII results:

$$\cos \delta_{K\pi} = 1.02 \pm 0.11 \pm 0.06 \pm 0.01$$

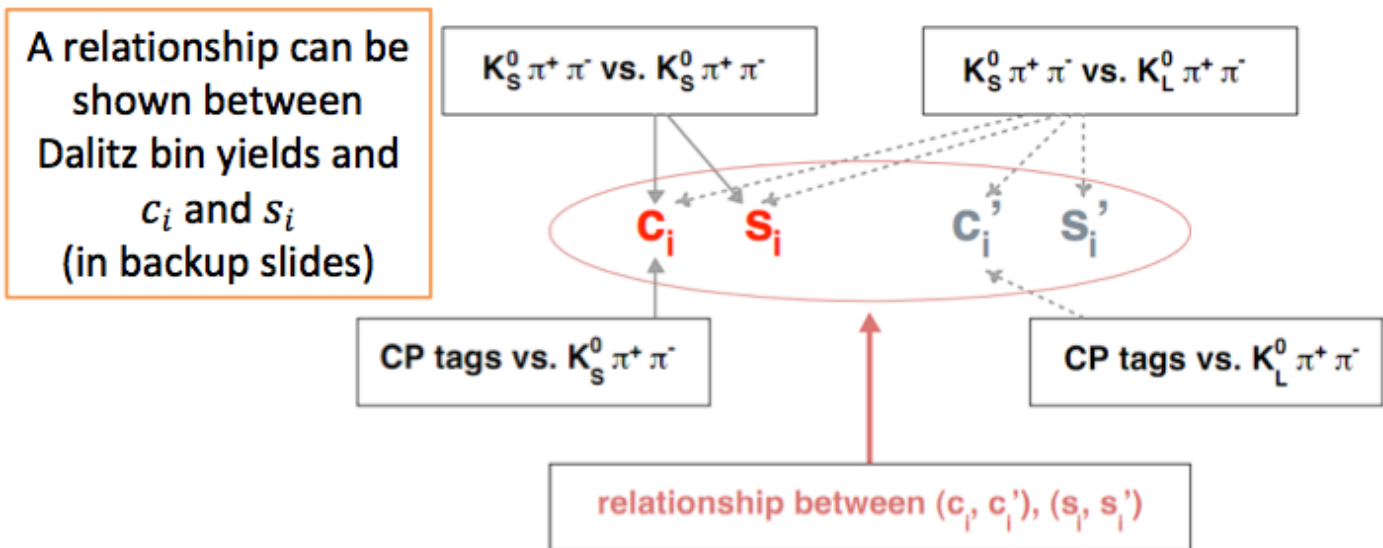
- The third error is due to the input parameters
- World best precision
- In 10 /fb BESIII data, precision of  $\cos \delta_{K\pi}$  will reach  $\sim 0.07$

GGSZ (Dalitz) method

$$N_i^\pm = h_B \left[ K_{\pm i} + r_b^2 K_{\mp i} + 2\sqrt{K_i K_{-i}} (x_\pm c_i \pm y_\pm s_i) \right]$$

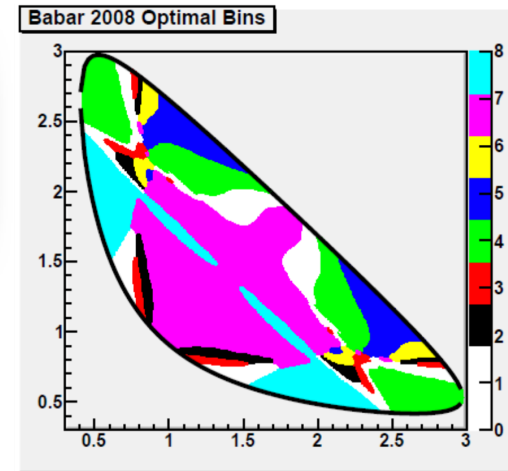
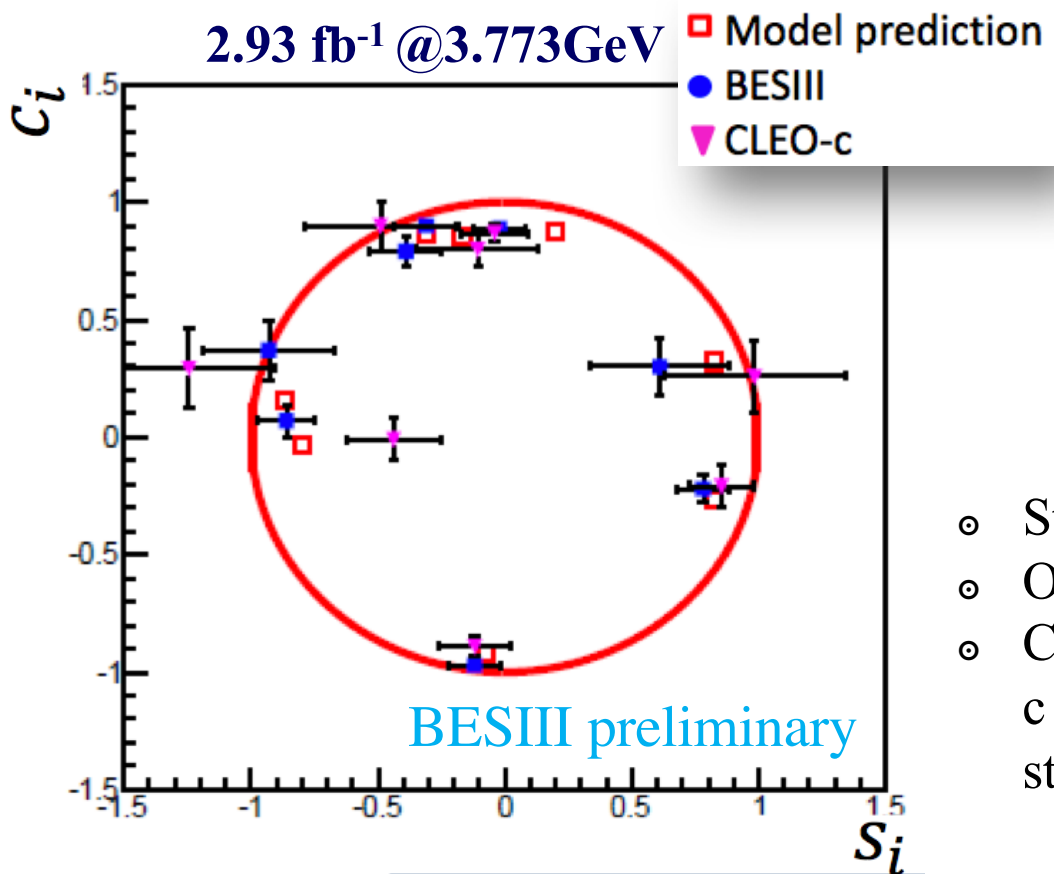
$B^\pm \rightarrow DK^\pm$  yields  
 from flav.-tagged  $D \rightarrow K_S \pi \pi$   
 extracted from fit to the  $B^\pm$  yields  
 measured by CLEO [PRD82, 112006 (2010)]

We can calculate  $c_i$  and  $s_i$  from double tags of  $D^0 \rightarrow K_S \pi^+ \pi^-$  vs  $D^0 \rightarrow (K_{S,L} \pi^+ \pi^-)$  or CP eigenstates



Only  $c_i, s_i$  from  $K_S \pi^+ \pi^-$  is used to calculate  $\gamma$ .  
 However adding in  $D^0 \rightarrow K_L \pi^+ \pi^-$  we can calculate  $c'_i, s'_i$  and use how they relate to  $c_i, s_i$  to further constrain our results in a Global fit.





- Still statistical limited.
- Only statistical errors for BESIII
- Consistent agreement with CLEO-c measurements, but superior in statistical errors

- Based on the BESIII results, we expect a reduction in the  $(c_i, s_i)$  contribution to the uncertainty in  $\gamma/\phi_3$  of  $\sim 40\%$ .
- Crucial inputs for the future analysis carried out in the LHCb and BelleII experiment.

# $y_{CP}$ measurement

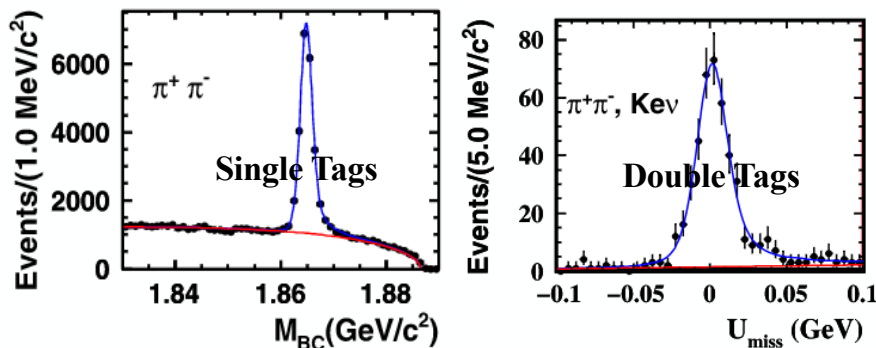
We measure the  $y_{CP}$  using CP-tagged semi-leptonic D decays, which allows to access CP asymmetry in mixing and decays.

$2.93 \text{ fb}^{-1} @ 3.773 \text{ GeV}$

$$y_{CP} \approx \frac{1}{4} \left( \frac{\Gamma_{L,CP+} + \Gamma_{CP-}}{\Gamma_{L,CP-} + \Gamma_{CP+}} - \frac{\Gamma_{L,CP-} - \Gamma_{CP+}}{\Gamma_{L,CP+} + \Gamma_{CP-}} \right)$$

## ◆ Reconstructed modes:

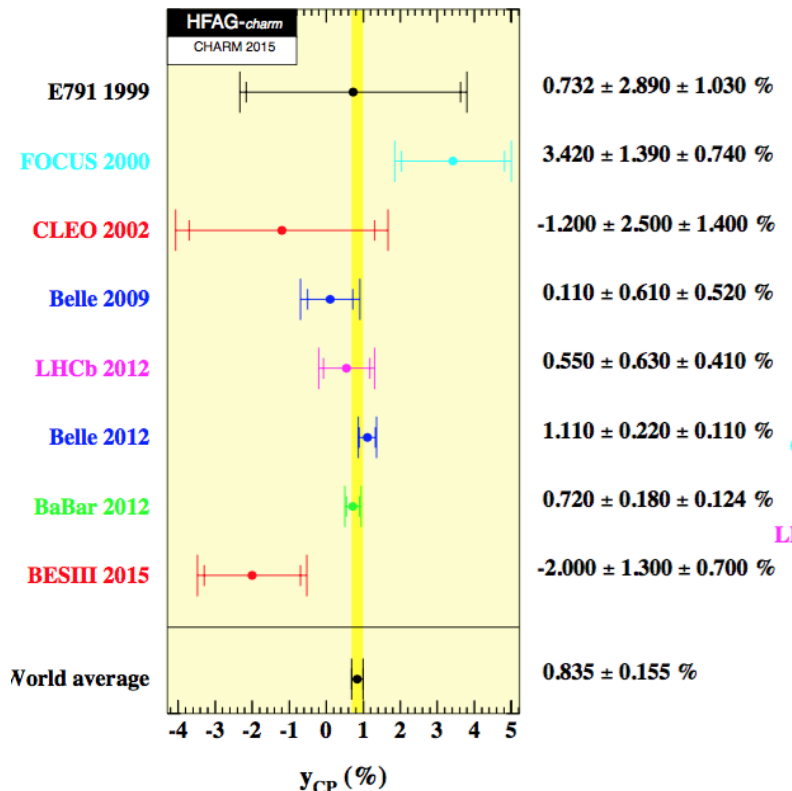
- ◆ Flavor tags:  $K e \nu_e, K \mu \nu_\mu$
- ◆ CP+ tags (3 modes):  $K^- K^+, \pi^+ \pi^-, K_S^0 \pi^0 \pi^0$ ,
- ◆ CP- tags (3 modes):  $K_S^0 \pi^0, K_S^0 \eta, K_S^0 \omega$



**PLB 744, 339 (2015)**

BESIII result:

$$y_{CP} = (-2.0 \pm 1.3 \pm 0.7)\%$$



◆ Most precise measurement with QC charm mesons

◆ In the limit of no CP violation:  $y_{CP} = y$

$$\mathcal{R}(D \rightarrow K_{S,L}^0 \pi^0(\pi^0)) = \frac{\mathcal{B}(D \rightarrow K_S^0 \pi^0(\pi^0)) - \mathcal{B}(D \rightarrow K_L^0 \pi^0(\pi^0))}{\mathcal{B}(D \rightarrow K_S^0 \pi^0(\pi^0)) + \mathcal{B}(D \rightarrow K_L^0 \pi^0(\pi^0))}$$

- interference of the CF component  $D \rightarrow K^0 \pi$ 's with the DCS  $D \rightarrow \underline{K}^0 \pi$ 's component.  $|K_L^0\rangle \approx 1/\sqrt{2} (|K^0\rangle - |\underline{K}^0\rangle)$  and  $|K_S^0\rangle \approx 1/\sqrt{2} (|K^0\rangle + |\underline{K}^0\rangle)$ . The sign of this interference of  $K^0$  with  $\underline{K}^0$  is opposite for  $K_L^0$  and  $K_S^0$ ,

- Single tag:
- ✓ CP+: KK,  $\pi\pi$ ;
  - ✓ CP-:  $K_S \pi^0$ ;
  - ✓ Cabibbo Favored (CF):  $K\pi$ ,  $K\pi\pi$ ,  $K\pi\pi^0$ ;
- Double tag:
- ✓ CP+ (KK,  $\pi\pi$ ,  $K_L \pi^0$ ,  $K_S \pi^0 \pi^0$ ) VS CF ( $K\pi$ ,  $K\pi\pi$ ,  $K\pi\pi^0$ );
  - ✓ CP- ( $K_S \pi^0$ ,  $K_L \pi^0 \pi^0$ ) VS CF ( $K\pi$ ,  $K\pi\pi$ ,  $K\pi\pi^0$ );

$$N_{ST(CF)} = (1 + r^2) \cdot 2N_{D^0 \bar{D}^0} \cdot \mathcal{B}_{CF} \cdot \epsilon_{ST(CF)}$$

$$N_{ST(CP\pm)} = 2N_{D^0 \bar{D}^0} \cdot \mathcal{B}_{CP\pm} \cdot \epsilon_{ST(CP\pm)}$$

$$N_{DT(CF,CP\pm)} = (1 + r^2 \mp 2r \cos \delta) \cdot 2N_{D^0 \bar{D}^0} \cdot \mathcal{B}_{CF} \cdot \mathcal{B}_{CP\pm} \cdot \epsilon_{DT(CF,CP\pm)},$$

$$C_f = \frac{\frac{N_{CP-,CF}/\epsilon_{CP-,CF}}{N_{CP-}/\epsilon_{CP-}} - \frac{N_{CP+,CF}/\epsilon_{CP+,CF}}{N_{CP+}/\epsilon_{CP+}}}{\frac{N_{CP-,CF}/\epsilon_{CP-,CF}}{N_{CP-}/\epsilon_{CP-}} + \frac{N_{CP+,CF}/\epsilon_{CP+,CF}}{N_{CP+}/\epsilon_{CP+}}}$$

We can have 
$$\mathcal{B}_{\text{sig}(CP\pm)} = \frac{1}{1 \mp C_f} \frac{N_{CF,CP\pm}/\epsilon}{N_{CF}}, \quad (C_f \equiv \frac{2r \cos \delta}{1 + r^2})$$

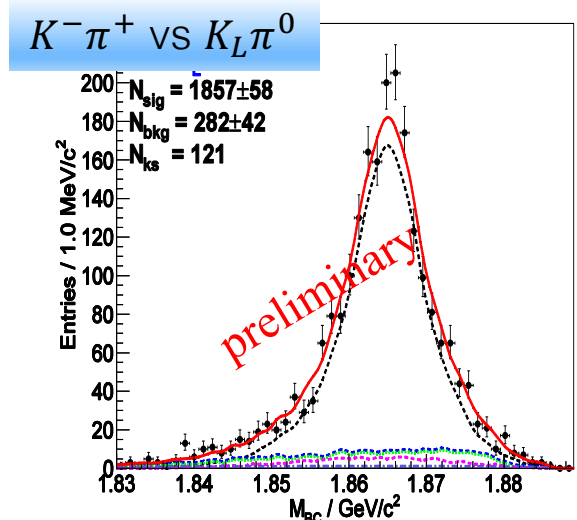
- $K_L$  interact with EMC and deposit part of energy, thus giving position information.
- After reconstructing all other particles,  $K_L$  can be inferred from its position information and the constraint  $\Delta E = 0$ .

Statistical only

$D \rightarrow K_{S,L}^0 \pi^0$			
	$Br_{K_S \pi^0}(\%)$	$Br_{K_L \pi^0}(\%)$	$R(D \rightarrow K_{S,L} \pi^0)$
$K_S$	$1.208 \pm 0.041$	$1.061 \pm 0.038$	$0.0646 \pm 0.0245$
$K 3\pi$	$1.212 \pm 0.037$	$0.985 \pm 0.036$	$0.1035 \pm 0.0237$
$K \pi \pi^0$	$1.251 \pm 0.028$	$0.953 \pm 0.029$	$0.1351 \pm 0.0186$
All	$1.230 \pm 0.020$	$0.991 \pm 0.019$	$0.1077 \pm 0.0125$

$D \rightarrow K_{S,L}^0 \pi^0 \pi^0$			
	$Br_{K_S 2\pi^0}(\%)$	$Br_{K_L 2\pi^0}(\%)$	$R(D \rightarrow K_{S,L} 2\pi^0)$
$K_S$	$1.024 \pm 0.049$	$1.299 \pm 0.080$	$-0.1183 \pm 0.0385$
$K 3\pi$	$0.887 \pm 0.043$	$1.097 \pm 0.073$	$-0.1060 \pm 0.0409$
$K \pi \pi^0$	$1.010 \pm 0.036$	$1.158 \pm 0.060$	$-0.0681 \pm 0.0313$
All	$0.975 \pm 0.024$	$1.175 \pm 0.040$	$-0.0929 \pm 0.0209$

↑ ↑  
**first measurement**



CLEO:  $R(K_{S,L} \pi^0) = (10.8 \pm 2.5 \pm 2.4)\%$

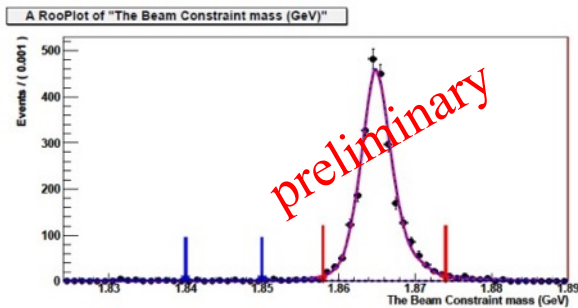
- Consistent with PDG values
- $K_{S,L} \pi^0$  agrees with U-spin symmetry
- $K_L 2\pi^0$  is the first measurement

# $K_{ev}$ vs $CP\pm (K_S^0\pi^0, K_L^0\pi^0)$ and $y_{CP}$

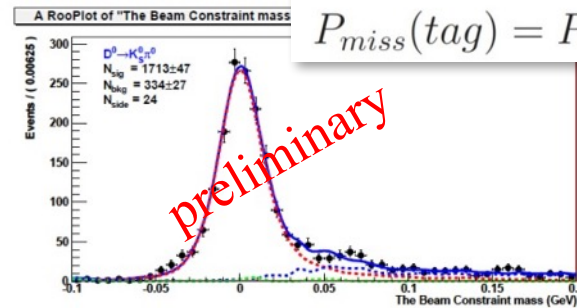
Single-tag yields can be got from  $K_S\pi^0, K_L\pi^0$  branching fraction measurement results. Double-Tag yields are from  $U_{miss}$  fit.

$$U_{miss}(tag) = E_{miss}(tag) - p_{miss}(tag)$$

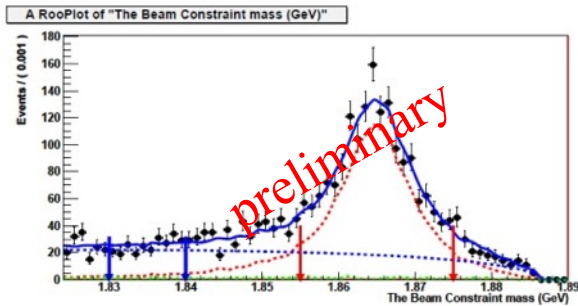
$$P_{miss}(tag) = P_{e^+e^-} - P_{signal} - P_{tagtrack}$$



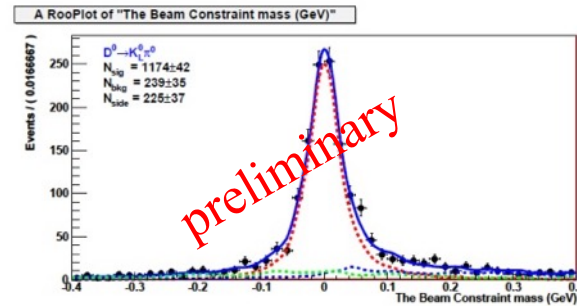
(a)  $K_S^0\pi^0$  VS  $K_{ev}$  tag-side



(b)  $K_S^0\pi^0$  VS  $K_{ev}$  signal-side



(c)  $K_L^0\pi^0$  VS  $K_{ev}$  tag-side



(d)  $K_L^0\pi^0$  VS  $K_{ev}$  signal-side

This work gives:  $y_{CP} = (0.980 \pm 2.429)\%$  (preliminary) *Statistical only*

**Consistent with the published BESIII result:**  $y_{CP} = (-2.0 \pm 1.3 \pm 0.7)\%$



**CP asymmetry:** 
$$A_{CP}(f) = \frac{\Gamma(f) - \Gamma(\bar{f})}{\Gamma(f) + \Gamma(\bar{f})}$$

★ CPV in charm:

- ❖ SM:  $\leq$  a few %
- ❖ NP:  $> \sim 1\%$

★ World precision:  $\sim 0.1\%$

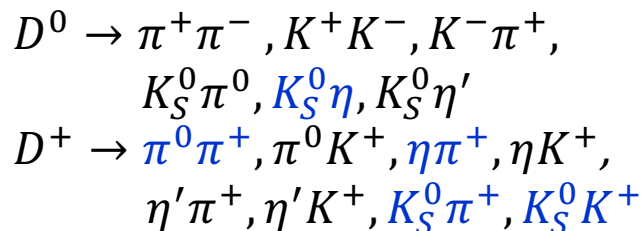
★ CLEO-c measured  $A_{cp}$  based on single tag events PRD89, 072002 (2014)

- ❖ at the order 1% for all modes
- ❖ no evidence of CPV
- ❖ systematics dominant

In future charm factory, it is important to reduce the systematic uncertainty by using a large  $D$  threshold sample

Data: 2.93 fb<sup>-1</sup> taken at 3.773 GeV;

Decays of interests:



BESIII preliminary

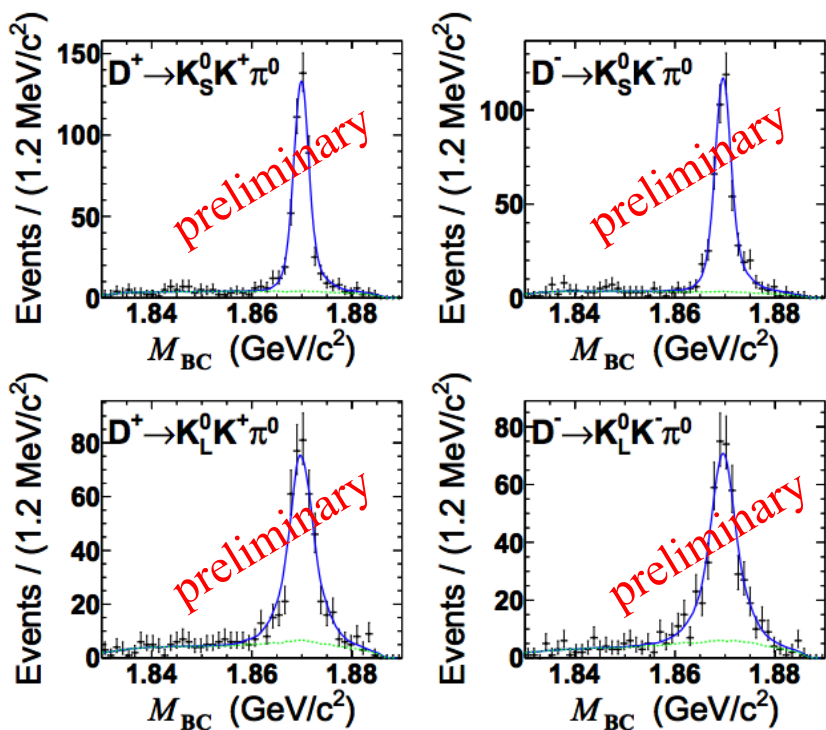
	$\mathcal{B} \pm (\text{stat}) \pm (\text{sys})$	$\mathcal{B}_{PDG}$
$\pi^+\pi^-$	$(1.505 \pm 0.018 \pm 0.031) \times 10^{-3}$	$(1.421 \pm 0.025) \times 10^{-3}$
$K^+K^-$	$(4.229 \pm 0.020 \pm 0.087) \times 10^{-3}$	$(4.01 \pm 0.07) \times 10^{-3}$
$K^-\pi^+$	$(3.896 \pm 0.006 \pm 0.073) \%$	$(3.93 \pm 0.04) \%$
$K_S^0\pi^0$	$(1.236 \pm 0.006 \pm 0.032) \%$	$(1.20 \pm 0.04) \%$
$K_S^0\eta$	$(5.149 \pm 0.068 \pm 0.134) \times 10^{-3}$	$(4.85 \pm 0.30) \times 10^{-3}$
$K_S^0\eta'$	$(9.562 \pm 0.197 \pm 0.379) \times 10^{-3}$	$(9.5 \pm 0.5) \times 10^{-3}$
$\pi^0\pi^+$	$(1.259 \pm 0.033 \pm 0.025) \times 10^{-3}$	$(1.24 \pm 0.06) \times 10^{-3}$
$\pi^0K^+$	$(2.171 \pm 0.198 \pm 0.060) \times 10^{-4}$	$(1.89 \pm 0.25) \times 10^{-4}$
$\eta\pi^+$	$(3.790 \pm 0.070 \pm 0.075) \times 10^{-3}$	$(3.66 \pm 0.22) \times 10^{-3}$
$\eta K^+$	$(1.393 \pm 0.228 \pm 0.124) \times 10^{-4}$	$(1.12 \pm 0.18) \times 10^{-4}$
$\eta'\pi^+$	$(5.122 \pm 0.140 \pm 0.210) \times 10^{-3}$	$(4.84 \pm 0.31) \times 10^{-3}$
$\eta'K^+$	$(1.377 \pm 0.428 \pm 0.202) \times 10^{-4}$	$(1.83 \pm 0.23) \times 10^{-4}$
$K_S^0\pi^+$	$(1.591 \pm 0.006 \pm 0.033) \times 10^{-2}$	$(1.53 \pm 0.06) \times 10^{-2}$
$K_S^0K^+$	$(3.183 \pm 0.028 \pm 0.065) \times 10^{-3}$	$(2.95 \pm 0.15) \times 10^{-3}$

- BESIII has good potential to explore CPV
- Many channels have best precisions

# BFs and CPV in SCS decays

$$D^+ \rightarrow K_S K^+, K_S K^+ \pi^0, K_L K^+ \text{ and } K_L K^+ \pi^0$$

- The singly Cabibbo-suppressed (SCS) decay mode  $D^+ \rightarrow K^0 K^+$  is useful for the estimation of SU(3) violating effects in the  $D$  meson system.
- Direct CP violation in SCS  $D^+$  decays could arise from the interference between tree-level and penguin decay processes.
- 6 CF ST modes v.s. DT signal modes;  $K_L$  is inferred by EMC shower and the constraint  $\Delta E = 0$
- Two dimensional fits to  $M_{BC}(\text{tag})$  versus  $M_{BC}(\text{signal})$



## BESIII preliminary

Mode	$\bar{B} (\times 10^{-3})$	$\mathcal{A}_{CP} (\%)$
$K_S^0 K^\pm$	$3.06 \pm 0.09 \pm 0.10$	$-1.5 \pm 2.8 \pm 1.6$
$K_S^0 K^\pm \pi^0$	$5.16 \pm 0.21 \pm 0.23$	$1.4 \pm 4.0 \pm 2.4$
$K_L^0 K^\pm$	$3.23 \pm 0.11 \pm 0.13$	$-3.0 \pm 3.2 \pm 1.2$
$K_L^0 K^\pm \pi^0$	$5.22 \pm 0.22 \pm 0.21$	$-0.9 \pm 4.1 \pm 1.6$

- $B(D^+ \rightarrow K_S K^+)$  agrees with the CLEO's
- BFs of  $D^+ \rightarrow K_S K^+ \pi^0, K_L K^+$  and  $K_L K^+ \pi^0$  are measured for the first time
- No evidence for CPV


- BESIII collected world's largest samples of  $J/\psi$ ,  $\psi(2S)$ ,  $\psi(3770)$ ,  $Y(4260)$ , ... from  $e^+e^-$  production.
- It will continue to run a few years.

	BESIII	Goal
$J/\psi$	$1.3 \cdot 10^9$ 21x BESII	$10 \cdot 10^9$
$\psi'$	$0.6 \cdot 10^9$ 24x CLEO-c	$3 \cdot 10^9$
➔ $\psi(3770)$	$2.93 \text{ fb}^{-1}$ 21x CLEO-c	$15 \sim 20 \text{ fb}^{-1}$
Above open charm threshold	$0.5 \text{ fb}^{-1}$ @ $\psi(4040)$ , $1.9 \text{ fb}^{-1}$ @ $\sim 4260$ , $0.5 \text{ fb}^{-1}$ @ $4360$ , $1.0 \text{ fb}^{-1}$ @ $4420$ , $0.5 \text{ fb}^{-1}$ @ $4600$ , <b>scan data @ <math>4.19 \sim 4.30 \text{ GeV}</math> in 2017.</b>	$> 15 \text{ fb}^{-1}$
R scan and tau	3.8-4.6 GeV at 105 energy points 2.0-3.1 GeV at 20 energy points	
$Y(2175)$	$100 \text{ pb}^{-1}$ (2015)	
$\psi(4170)$	$3 \text{ fb}^{-1}$ (2016)	

***Opportunities for precise determination of strong phase and D mixing***

# Prospects of charmed hadron decays

Data at 3.773, 4.18 GeV and 4.63 GeV

	Systematic	Statistical	
		$\sim 3 \text{ fb}^{-1}$	$+10 \text{ fb}^{-1}$
$\Delta f_{D^+}/f_{D^+}$	$\sim 0.9\%^{\text{BESIII}}$	2.6%	1.3%
$\Delta f_{D_{s^+}}/f_{D_{s^+}}(\mu+\tau)$	$\sim 1.4\%^{\text{CLEO-c}}$	$\sim 1.5\%$	$\sim 0.7\%$
$\Delta f_{D \rightarrow K}/f_{D \rightarrow K}$	$\sim 0.5\%^{\text{BESIII}}$	0.4%	0.2%
$\Delta f_{D \rightarrow \pi}/f_{D \rightarrow \pi}$	$\sim 0.7\%^{\text{BESIII}}$	1.3%	0.6%
$ V_{cs} ^{D_{s^+} \rightarrow l^+ \nu}(\mu+\tau)$	$\sim 1.4\%^{\text{CLEO-c}}$	$\sim 1.4\%$	$\sim 0.7\%$
$ V_{cs} ^{D^0 \rightarrow K^- e^+ \nu}$	$2.5\%^{\text{BESIII}} (2.4\%^{\text{LQCD}})$	0.4%	0.2%
$ V_{cd} ^{D^+ \rightarrow \mu^+ \nu}$	$2.1\%^{\text{BESIII}} (1.9 \rightarrow 0.5\%^{\text{LQCD}})$	2.6%	1.3%
$ V_{cd} ^{D^0 \rightarrow \pi^- e^+ \nu}$	$4.5\%^{\text{BESIII}} (4.4\%^{\text{LQCD}})$	1.3%	0.6%
$(c_i, s_j)$ in $D^0 \rightarrow K^0 \pi^+ \pi^-$	Uncertainty for $\gamma/\phi_3$	1%	0.5% 
$\Lambda_c^+ \rightarrow p K^- \pi^+$		4.8% ( $0.6 \text{ fb}^{-1} @ 4.6$ )	$\sim 2\%$ ( $3 \text{ fb}^{-1} @ 4.6 \text{ X}$ )

	Decay mode	Quantity of interest	Comments
➤	$D \rightarrow K_S^0 \pi^+ \pi^-$ <i>prel. release</i>	$c_i$ and $s_i$	Binning schemes as those used in the CLEO-c analysis. With future, very large $\psi(3770)$ data sets, it might be worthwhile to explore alternative binning.
➤	$D \rightarrow K_S^0 K^+ K^-$	$c_i$ and $s_i$	Binning schemes as those used in the CLEO-c analysis. With future, very large $\psi(3770)$ data sets, it might be worthwhile to explore alternative binning.
➤	$D \rightarrow K^\pm \pi^\mp \pi^+ \pi^-$	$R, \delta$	In bins guided by amplitude models, currently under development by LHCb.
⇨	$D \rightarrow K^+ K^- \pi^+ \pi^-$	$c_i$ and $s_i$	Binning scheme can be guided by the CLEO model [18] or potentially an improved model from LHCb in the future.
⇨	$D \rightarrow \pi^+ \pi^- \pi^+ \pi^-$	$F_+$ or $c_i$ and $s_i$	Unbinned measurement of $F_+$ . Measurements of $F_+$ in bins or $c_i$ and $s_i$ in bins could be explored.
➤	$D \rightarrow K^\pm \pi^\mp \pi^0$	$R, \delta$	Simple 2-3 bin scheme could be considered.
⇨	$D \rightarrow K_S^0 K^\pm \pi^\mp$	$R, \delta$	Simple 2 bin scheme where one bin encloses the $K^*$ resonance.
➤	$D \rightarrow \pi^+ \pi^- \pi^0$	$F_+$	No binning required as $F_+ \sim 1$ .
⇨	$D \rightarrow K_S^0 \pi^+ \pi^- \pi^0$	$F_+$ and $c_i$ and $s_i$	Unbinned measurement of $F_+$ required. Additional measurements of $F_+$ or $c_i$ and $s_i$ in bins could be explored.
➤	$D \rightarrow K^+ K^- \pi^0$	$F_+$	Unbinned measurement required. Extensions to binned measurements of either $F_+$ or $c_i$ and $s_i$ possible.
➡	$D \rightarrow K^\pm \pi^\mp$	$\delta$	Of low priority due to good precision available through charm-mixing analyses.

LHCb-PUB-2016-025

Status at BESIII

➡ published

➤ under study

⇨ in plan



# Summary

- Unique access to strong phases & ability to extract model-independent results with charm at threshold
- BESIII is successfully operating since 2008
  - Collected large data samples in the  $\tau$ -charm mass region
- BESIII will continue to run 6 – 8 years.
- BESIII team has learned and developed technology for charm mixing and CPV at threshold.
  - 2<sup>nd</sup> generation of QC analyses, while CLEO-c activity is declining.
  - more precision, new modes, new variables
  - some challenges on the systematics
- Future goals
  - >15 /fb  $\psi(3770)$  data, and roughly 50M  $D^0$ , 50M  $D^+$ , 1M  $\Lambda_c$ , 15M  $D_s$ , produced near threshold

***Many works are ongoing; Stay tuned!***

Thank you!

谢谢!

# Connections of $c_i, s_i$ and $c'_i, s'_i$

From the CP tag modes, we are able to find  $c_i$  and  $c'_i$

' indicates numbers from  $K_L \pi^+ \pi^-$  decays

$$M_i = \frac{S_{\pm}}{2S_f} (K_i \pm 2c_i \sqrt{K_i K_{\bar{i}}} + K_{\bar{i}}) \quad (CP, K_S^0 \pi^+ \pi^-)$$

$$M'_i = \frac{S_{\pm}}{2S_f} (K'_i \mp 2c'_i \sqrt{K'_i K'_{\bar{i}}} + K'_{\bar{i}}) \quad (CP, K_L^0 \pi^+ \pi^-)$$

$M_i$  yields in each bin of Dalitz plot for CP even(odd) modes.  
 $S_+(S_-)$ , number of single tags for CP even(odd) modes.  
 $K_i(K_{\bar{i}})$ , yields in each bin of Dalitz plot in flavor modes.

From the Double Dalitz modes, we are able to find  $c_i, c'_i, s_i, s'_i$

$$M_{i,j} = \frac{N_{D,\bar{D}}}{2S_f^2} (K_i K_{\bar{j}} + K_{\bar{i}} K_j - 2\sqrt{K_i K_{\bar{j}} K_{\bar{i}} K_j} (c_i c_j + s_i s_j)) \quad (K_S^0 \pi^+ \pi^-, K_S^0 \pi^+ \pi^-)$$

$$M'_{i,j} = \frac{N_{D,\bar{D}}}{2S_f} (K_i K'_j + K_{\bar{i}} K'_j + 2\sqrt{K_i K'_j K_{\bar{i}} K'_j} (c_i c'_j + s_i s'_j)) \quad (K_S^0 \pi^+ \pi^-, K_L^0 \pi^+ \pi^-)$$

$M_{i,j}$  yields in each  $i^{\text{th}}$  bin of the first Dalitz plot and the  $j^{\text{th}}$  bin for the second Dalitz plot.  
 $S_f$ , number of single tags for flavor modes.  
 $K_i(K_{\bar{i}})$ , yields in each bin of Dalitz plot in flavor modes.



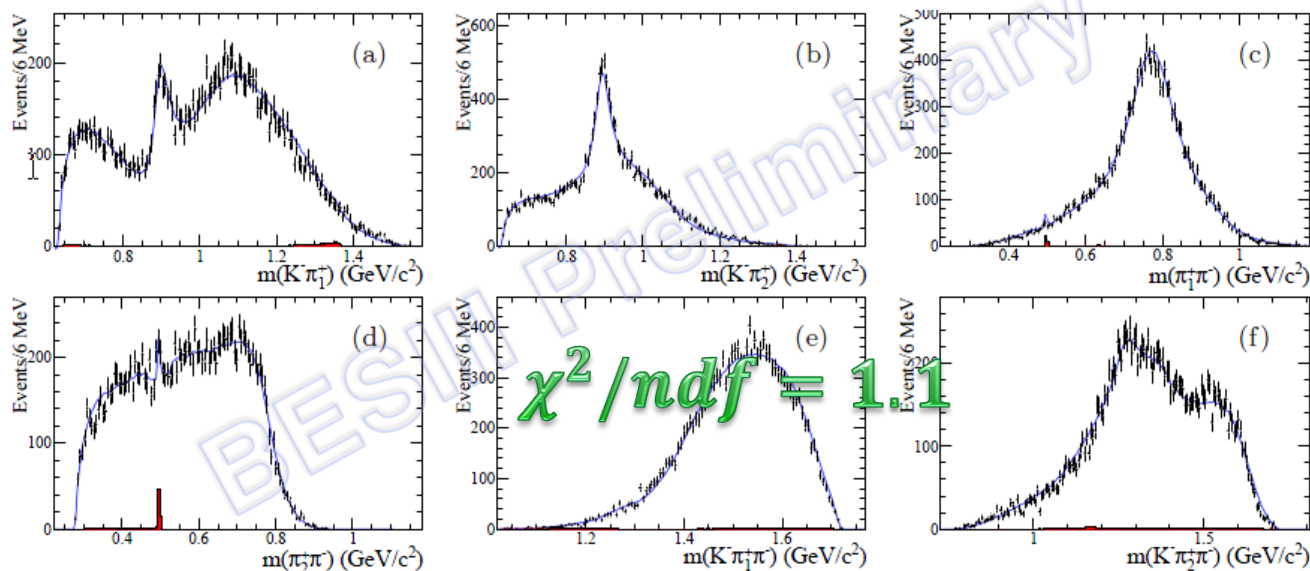
Run Period [ $E_{CM}$ ]	Collected / Projected luminosity per run	Cumulative yield factor compared to Run 1	Year attained
Run 1 [7,8 TeV]	3 fb <sup>-1</sup>	1	2012
Run 2 [13 TeV]	5 fb <sup>-1</sup>	4	2018
LHCb phase-1 upgrade [14 TeV]	50 fb <sup>-1</sup>	60	2030
LHCb phase-2 upgrade [14 TeV]	300 fb <sup>-1</sup>	~400	2035(?)

- By considering the evolution of the LHCb measurements, which may differ among modes, this strong phase uncertainty is
  - 1.7 to 2.2° at the end of Run 2
  - 1.8 to 2.5° at the end of the phase 1 upgrade
- So now compared to the total precision an  $\gamma$  from LHCb expected
  - Run I –  $\sigma(\gamma) = 7^\circ$  - limited impact of strong phase measurements
  - Run II -  $\sigma(\gamma) = 3.5^\circ$  - becomes significant
  - **Upgrade phase I  $\sigma(\gamma) \sim$  strong phase uncertainty**

# Amplitude analysis of $D^0 \rightarrow K^- \pi^+ \pi^+ \pi^-$

- ◆ This decay is one of three golden decay mode of  $D^0$
- ◆ The knowledge of intermediate process can be widely used in many measurements, such as to study **branching fraction** and **strong phase** used in CKM unitary triangle  $\gamma$  measurement
- ◆ Construct coherent sum of 23 amplitudes and fit to data (double-tag (DT) 15912 events with purity of 99.4%)

2.93 fb<sup>-1</sup> @3.773 GeV



- Improvements over the existing results!
- Strong phase extraction is under studies



With the fit fractions (FF) of every components and the branching ratio of  $D^0 \rightarrow K^- \pi^+ \pi^+ \pi^-$ , we calculate the branching ratios of the components with

$$Br(Component) = FF(Component) Br(D^0 \rightarrow K^- \pi^+ \pi^+ \pi^-).$$

The results are listed in the table below :

Component	Branching fraction (%)	PDG value (%)
$D^0 \rightarrow \bar{K}^{*0} \rho^0$	$0.99 \pm 0.04 \pm 0.04 \pm 0.03$	$1.05 \pm 0.23$
$D^0 \rightarrow K^- a_1^+(1260)(\rho^0 \pi^+)$	$4.41 \pm 0.22 \pm 0.30 \pm 6.13$	$3.6 \pm 0.6$
$D^0 \rightarrow K_1^-(1270)(\bar{K}^{*0} \pi^-) \pi^+$	$0.07 \pm 0.01 \pm 0.02 \pm 0.00$	$0.29 \pm 0.03$
$D^0 \rightarrow K_1^-(1270)(K^- \rho^0) \pi^+$	$0.27 \pm 0.02 \pm 0.02 \pm 0.01$	
$D^0 \rightarrow K^- \pi^+ \rho^0$	$0.68 \pm 0.09 \pm 0.18 \pm 0.02$	$0.51 \pm 0.23$
$D^0 \rightarrow \bar{K}^{*0} \pi^+ \pi^-$	$0.57 \pm 0.03 \pm 0.03 \pm 0.02$	$0.99 \pm 0.23$
$D^0 \rightarrow K^- \pi^+ \pi^+ \pi^-$	$1.77 \pm 0.05 \pm 0.04 \pm 0.05$	$1.88 \pm 0.26$

In the table, the first and second uncertainties of the branching ratios are statistical and systematic uncertainties from the fit fractions, the third errors is the uncertainties related to  $Br(D^0 \rightarrow K^- \pi^+ \pi^+ \pi^-)$  in PDG.