

Measurements of penguin pollution effects

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- Introduction and physics motivations
- **Controlling penguin topologies**
- Prospects & summary

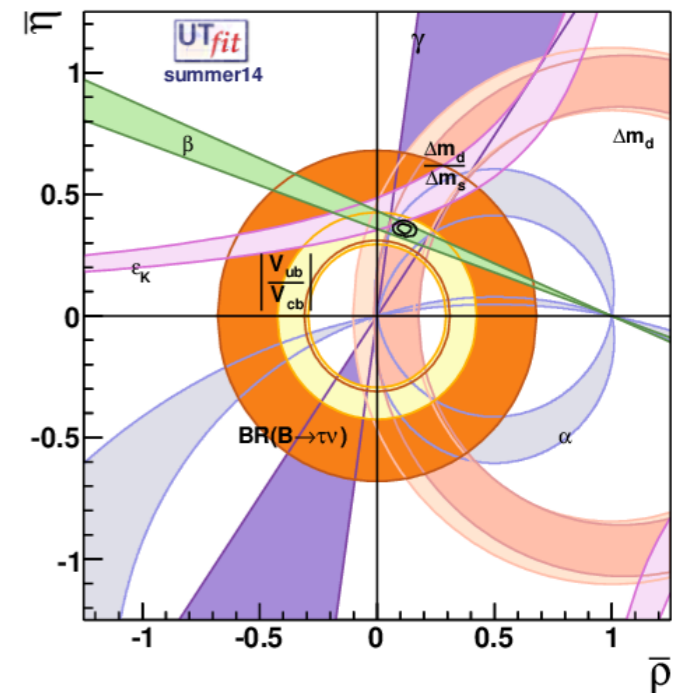
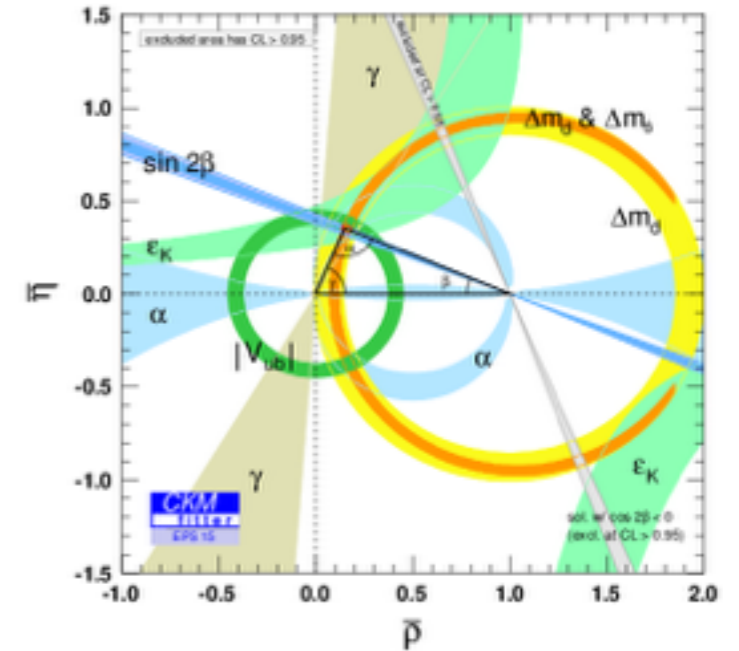




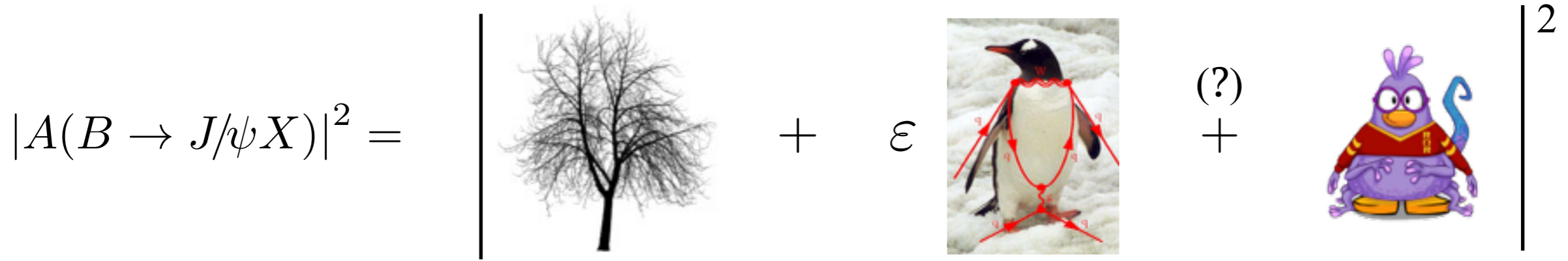
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Introduction and physics motivations

- CKM mechanism introduces *CP* violation and neutral meson mixing phenomena
 - ▶ The presence of new heavy particles exchanged in virtual loops could introduce additional phases altering the corresponding measurements
 - ▶ Constraining these phases put stringent limits on a large range of NP models
- *CP* violation is needed to explain baryon asymmetry in the Universe
 - ▶ Discovered in 1964 in the kaons, 2004 in the *B* and each time awarded with Nobel Prizes
 - ▶ Still missing 10 orders of magnitudes!
- Experimentally, *CP* violation observables accessed through ratios of measured quantities
 - ▶ Cancellation of many experimental systematics
 - ▶ Flagship measurements for LHCb and Belle II



► CPV in interference between mixing and decay:



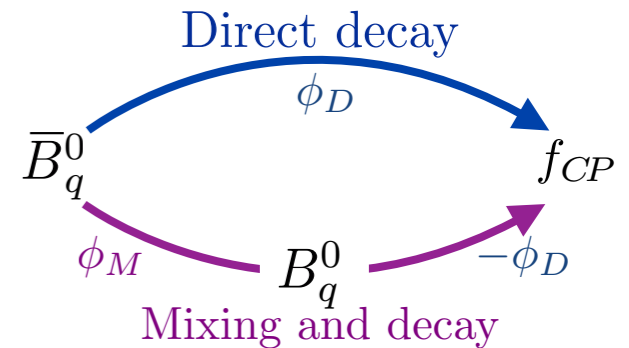
dominant SM "tree" contribution

higher order "penguin" contributions from non-perturbative hadronic effects that are difficult to calculate in QCD
 [Nierste et al. *Phys. Rev. Lett.* 115, 061802 (2015)]
 [Liu et al. *Phys. Rev. D* 89, 094010 (2014)]

NP could be comparable to penguins...

$$\begin{aligned} \phi_q^{\text{eff}} &= \phi_M - 2\phi_D \\ &= \pm 2\beta_q + \Delta\phi_q + \delta_q^{\text{NP}} \end{aligned}$$

$$\beta_q = \arg \left(-\frac{V_{tq}V_{tb}^*}{V_{cq}V_{cb}^*} \right)$$



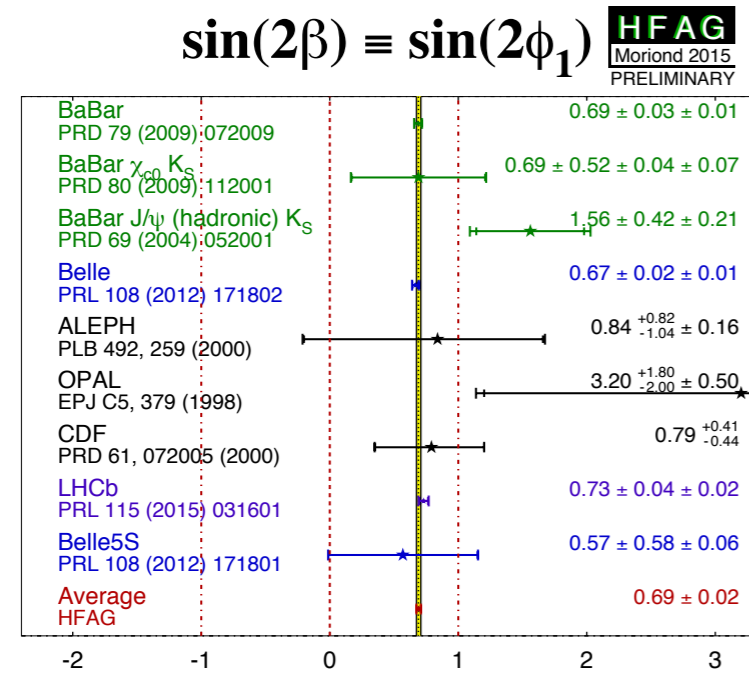
- ϕ_s and ϕ_d determination via global fit to experimental results **ignoring contributions from penguin diagrams:**

$$\begin{aligned} \phi_s^{\text{SM}} &= -2\beta_s = [-0.0376^{+0.0007}_{-0.0008}] \text{ rad} \\ \phi_d^{\text{SM}} &= +2\beta_d = [48.6 \pm 2.6]^\circ \end{aligned}$$

[CKMFitter]
[HFAG]

Very precise theoretical predictions!

$B^0 - \bar{B}^0$ mixing phase: ϕ_d

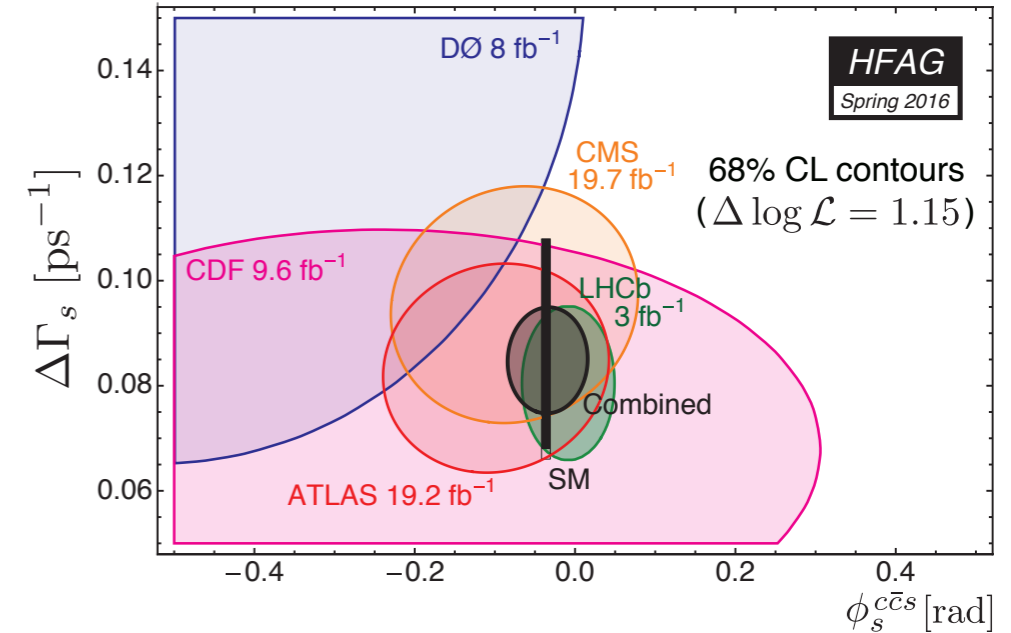


► Golden mode: $B^0 \rightarrow J/\psi K_S^0$

$$\phi_{d, J/\psi K_S^0}^{\text{eff}} = [42.2 \pm 1.5]^\circ$$

$$\phi_d^{\text{SM}} = [47.8 \pm 2.6]^\circ$$

$B_s^0 - \bar{B}_s^0$ mixing phase: ϕ_s



► Golden mode: $B_s^0 \rightarrow J/\psi \phi$

$$\phi_{s, c\bar{c}s}^{\text{eff}} = [-0.030 \pm 0.033] \text{ rad} = [-1.7 \pm 1.9]^\circ$$

$$\phi_s^{\text{SM}} = [-0.0376^{+0.0007}_{-0.0008}] \text{ rad} = [-2.16^{+0.04}_{-0.05}]^\circ$$

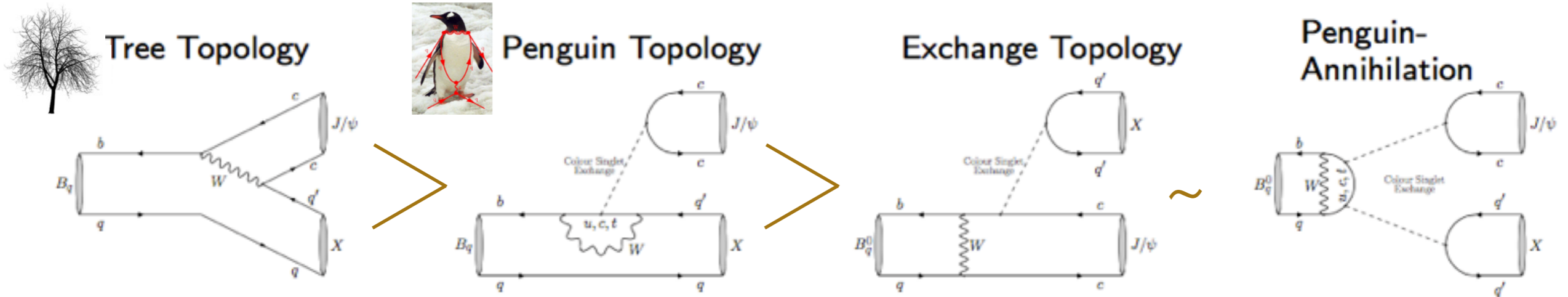
- **New physics contributions, if present, will be small!!**
- Entering a new era of precision physics: Aim to reach a precision of $O(0.5^\circ)$ at the end of LHC Run-3
- **Controlling contributions from penguin topologies becomes mandatory!**



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Controlling penguin topologies

► Decay topologies:



► Decomposition:

- $B^0 \rightarrow J/\psi K_S^0$: T + P
- $B_s^0 \rightarrow J/\psi \phi$: T + P + E + PA

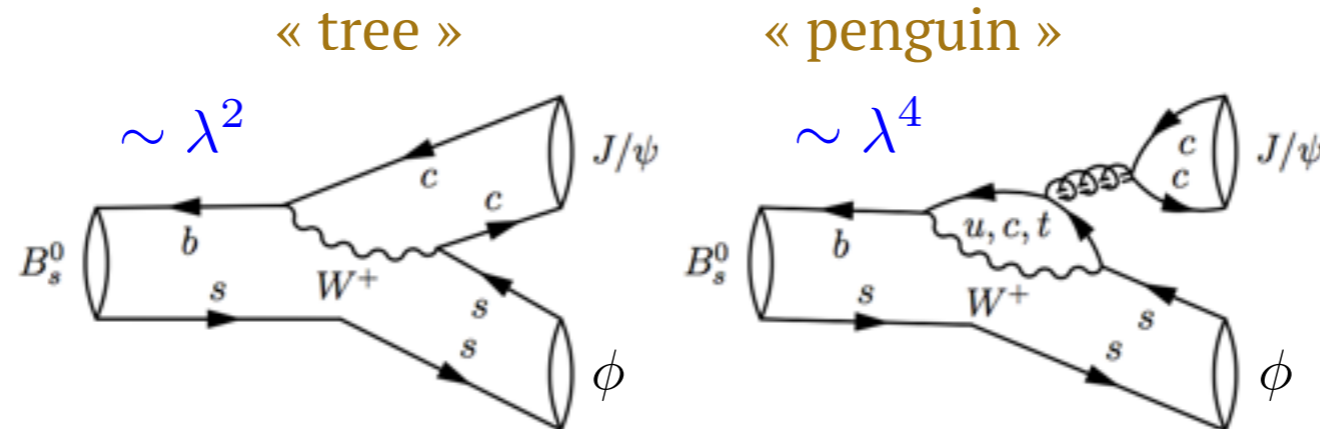
► Assumptions:

- Given the current experimental sensitivities, exchange and annihilation topologies can be ignored (control channels to cross-check this assumption : $B_s^0 \rightarrow J/\psi \pi^0$, $B_s^0 \rightarrow J/\psi \rho^0$)
- Up to now, only penguin contributions are being studied from analyses involving SU(3) counterparts where T ~ P: $B_s^0 \rightarrow J/\psi K_S^0$, $B^0 \rightarrow J/\psi \rho^0$, $B_s^0 \rightarrow J/\psi \bar{K}^{*0}$
- Ignore non-factorisable SU(3) breaking

The penguin shift $\Delta\phi_s$

► $B_s^0 \rightarrow J/\psi \phi$:

$$A(B_s^0 \rightarrow (J/\psi\phi)_i) = \left(1 - \frac{\lambda^2}{2}\right) \mathcal{A}'_i \left[1 + \epsilon a'_i e^{i\theta'_i} e^{i\gamma}\right] \quad \epsilon \equiv \lambda^2/(1 - \lambda^2) = 0.0536$$



- a'_i and θ'_i : magnitude and phase of the penguin contributions
- Amplitudes are polarisation dependent: $i \in \{0, \parallel, \perp\}$
- Penguin contributions are doubly Cabibbo-suppressed
- Will ignore Exchange and Penguin-Annihilation contributions
- Differences in hadronisation dynamics: $\Delta\phi_s$ can be polarisation dependent (but so far no indication of that in the data)

The penguin shift $\Delta\phi_s$

▶ **Partner 1: $B_s^0 \rightarrow J/\psi \bar{K}^{*0}$**

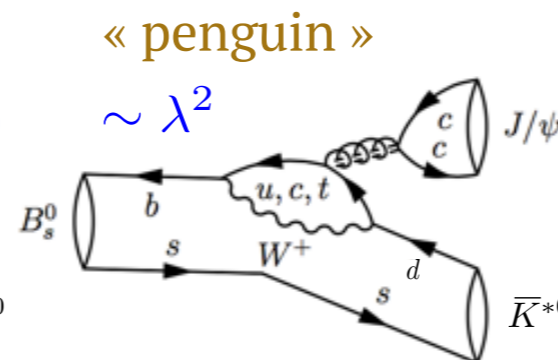
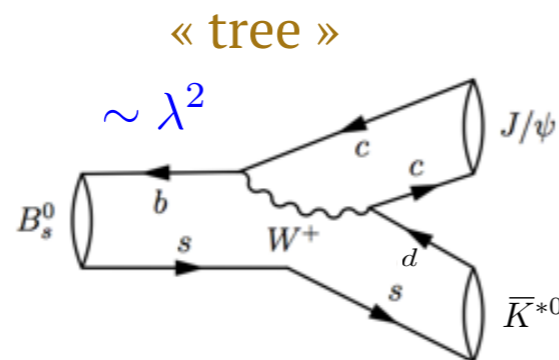
$$A(B_s^0 \rightarrow J/\psi \bar{K}^{*0}) = -\lambda \mathcal{A}_i [1 - a_i e^{i\theta_i} e^{i\gamma}]$$

- Reconstructed using flavor specific final state, only access to direct CP violation, A_{CP}^{dir}
- Need additional information from branching fractions

▶ **Partner 2: $B^0 \rightarrow J/\psi \rho^0$**

$$A(B_s^0 \rightarrow J/\psi \rho^0) = -\lambda \mathcal{A}_i [1 - \tilde{a}_i e^{i\tilde{\theta}_i} e^{i\gamma}]$$

- Access to both A_{CP}^{dir} and mixing induced CP violation A_{CP}^{mix}
- Branching fraction information is optional



(similar picture for $B^0 \rightarrow J/\psi \rho^0$)

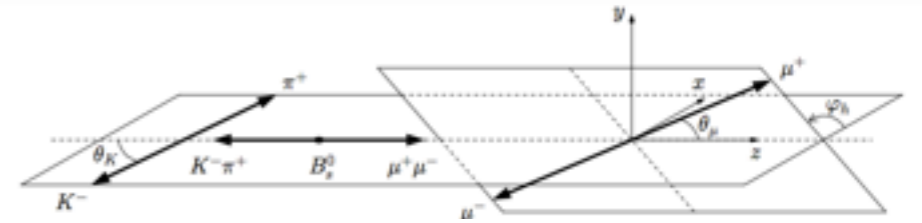
▶ **Ignoring non-factorisable SU(3) breaking:**

- There is **one universal** set of a and θ : $a_i = \tilde{a}_i = a'_i$; $\theta_i = \tilde{\theta}_i = \theta'_i$
- The penguin shift can be expressed in terms of the penguin parameters:

$$\tan(\Delta\phi_{s,i}) = \frac{2\epsilon a_i \cos \theta_i \sin \gamma + \epsilon^2 a_i^2 \sin(2\gamma)}{1 + 2\epsilon a_i \cos \theta_i \cos \gamma + \epsilon^2 a_i^2 \cos(2\gamma)}$$

► Analysis overview:

- Perform an **angular analysis** using full Run-I data sample and measure the **polarisation dependent fractions f_i** , the **direct CP asymmetries**, and the **branching fraction**



► Results:

$$\mathcal{B}(B_s^0 \rightarrow J/\psi \bar{K}^{*0}) = \left(4.13 \pm 0.16 \text{ (stat)} \pm 0.25 \text{ (syst)} \pm 0.24 \left(\frac{f_d}{f_s} \right) \right) \times 10^{-5}$$

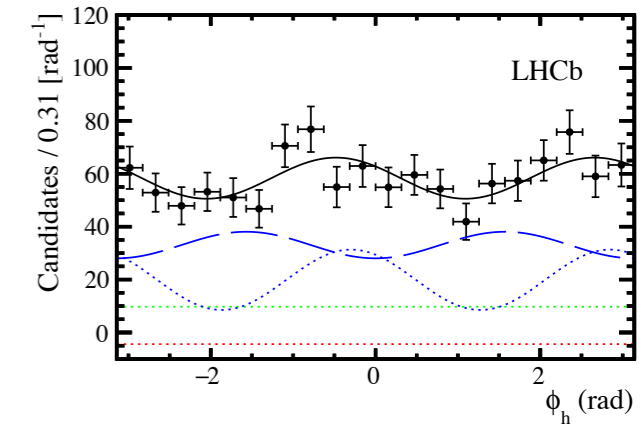
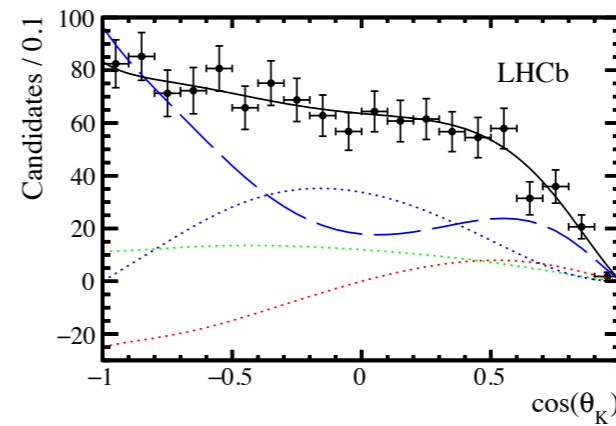
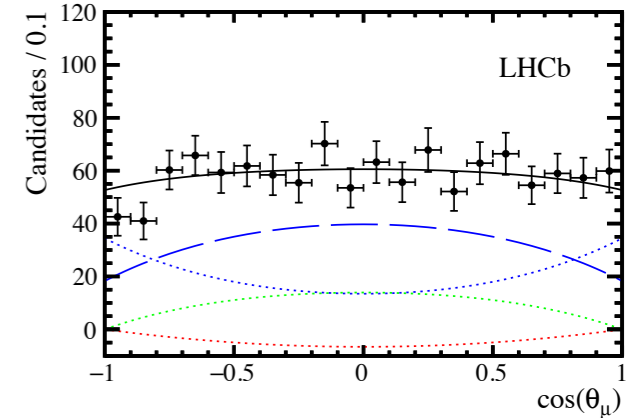
$$f_0 = 0.497 \pm 0.025 \text{ (stat)} \pm 0.025 \text{ (syst)}$$

$$f_{\parallel} = 0.179 \pm 0.027 \text{ (stat)} \pm 0.013 \text{ (syst)}$$

$$A_0^{CP}(B_s^0 \rightarrow J/\psi \bar{K}^{*0}) = -0.048 \pm 0.057 \text{ (stat)} \pm 0.020 \text{ (syst)}$$

$$A_{\parallel}^{CP}(B_s^0 \rightarrow J/\psi \bar{K}^{*0}) = 0.171 \pm 0.152 \text{ (stat)} \pm 0.028 \text{ (syst)}$$

$$A_{\perp}^{CP}(B_s^0 \rightarrow J/\psi \bar{K}^{*0}) = -0.049 \pm 0.096 \text{ (stat)} \pm 0.025 \text{ (syst)}$$



► Accessing penguin parameters:

$$A_i^{CP} = \frac{\Gamma(\bar{B}_s^0 \rightarrow J/\psi(K^+ \pi^-)_i) - \Gamma(B_s^0 \rightarrow J/\psi(K^- \pi^+)_i)}{\Gamma(\bar{B}_s^0 \rightarrow J/\psi(K^+ \pi^-)_i) + \Gamma(B_s^0 \rightarrow J/\psi(K^- \pi^+)_i)} = -\frac{2a_i \sin \theta_i \sin \gamma}{1 - 2a_i \cos \theta_i \cos \gamma + a_i^2}$$

$$H_i \propto \frac{1}{\epsilon} \left| \frac{\mathcal{A}'_i}{\mathcal{A}_i} \right|^2 \frac{\mathcal{B}(B_s^0 \rightarrow J/\psi \bar{K}^{*0}) f_i}{\mathcal{B}(B_s^0 \rightarrow J/\psi \phi) f'_i} = \frac{1 - 2a_i \cos \theta_i \cos \gamma + a_i^2}{1 + 2\epsilon a'_i \cos \theta'_i \cos \gamma + \epsilon^2 a_i'^2}$$

Ratio of hadronic amplitudes calculated using latest results from QCD LCSR [[arXiv:1503.05534](https://arxiv.org/abs/1503.05534)]

► **Analysis overview:**

- Perform a **time-dependent flavor-tagged angular analysis** using full Run-I data sample and measure the **direct and mixing-induced CP violation parameters**
- ρ^0 disentangled from the $\pi^+ \pi^-$ spectrum using technique from [Phys. Lett. B719 (2013) 383]

► **Results:** (using ρ^0 events)

$$2\beta^{\text{eff}}(B^0 \rightarrow J/\psi \rho^0) = (41.7 \pm 9.6(\text{stat})_{-6.3}^{+2.8}(\text{syst}))^\circ$$

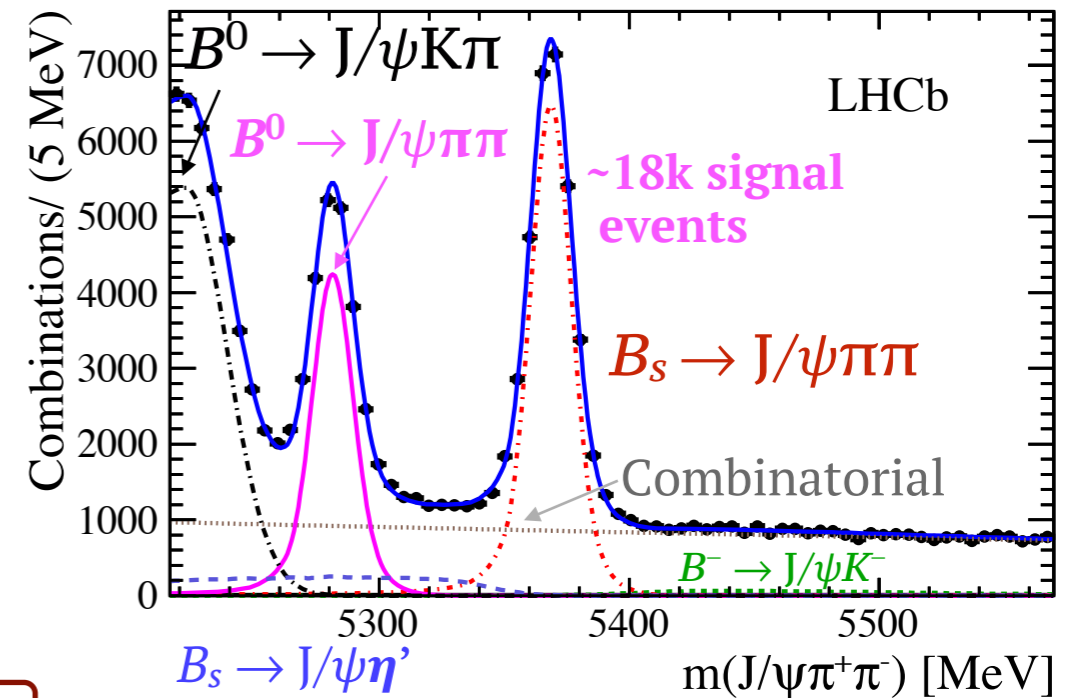
$$\alpha_{CP}(B^0 \rightarrow J/\psi \rho^0) = -(32 \pm 28(\text{stat})_{-9}^{+7}(\text{syst})) \times 10^{-3}$$

$$\alpha_{CP} = \frac{1 - |\lambda_f|}{1 + |\lambda_f|}$$

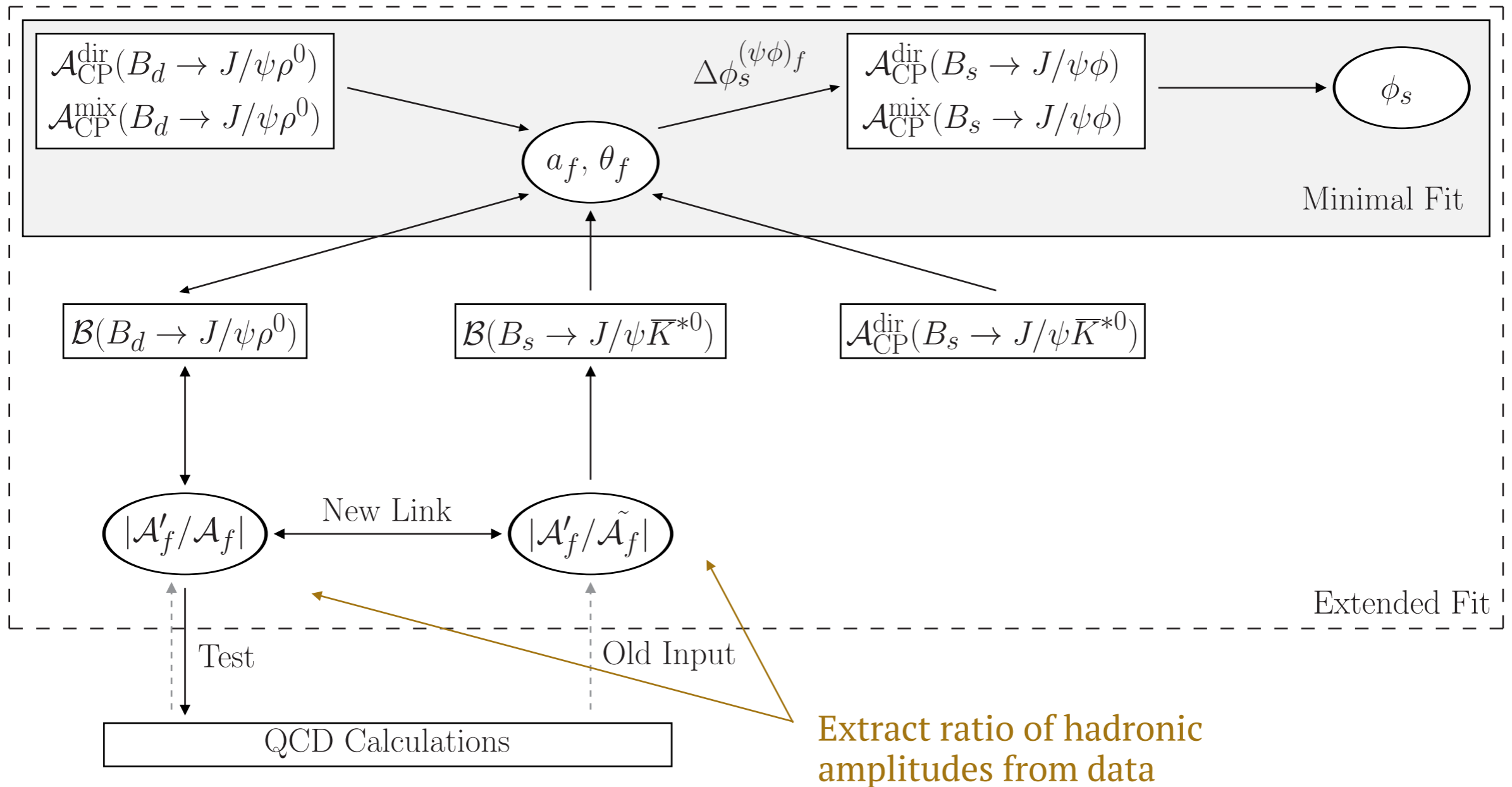
► **Accessing penguin parameters:**

$$\Delta\phi_s = -\arg\left(\frac{(\lambda'_f e^{2i\gamma} - 1) + \epsilon(\lambda'_f - 1)}{(\lambda'_f e^{2i\gamma} - 1) + \epsilon(\lambda'_f - 1)e^{2i\gamma}}\right) \quad \lambda'_f \equiv |\lambda_f|e^{-i\Delta 2\beta}$$

$$\Delta 2\beta = \left(2\beta^{J/\psi \rho} - 2\beta^{J/\psi K_S^0}\right) = (-0.9 \pm 9.7(\text{stat})_{-6.3}^{+2.8}(\text{syst}))^\circ$$

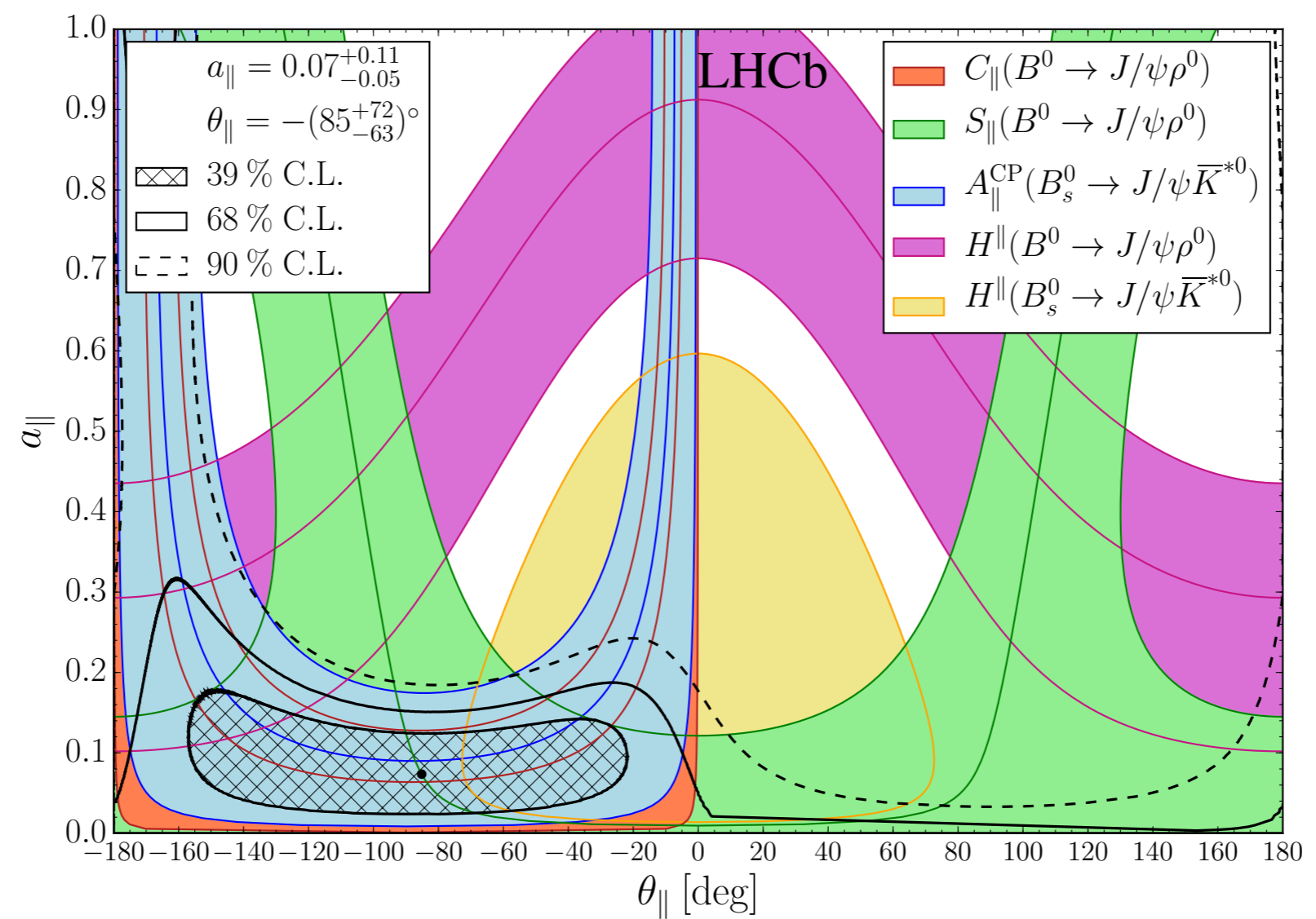


- ▶ Using the extended fit method proposed in: [JHEP 1503 (2015) 145]



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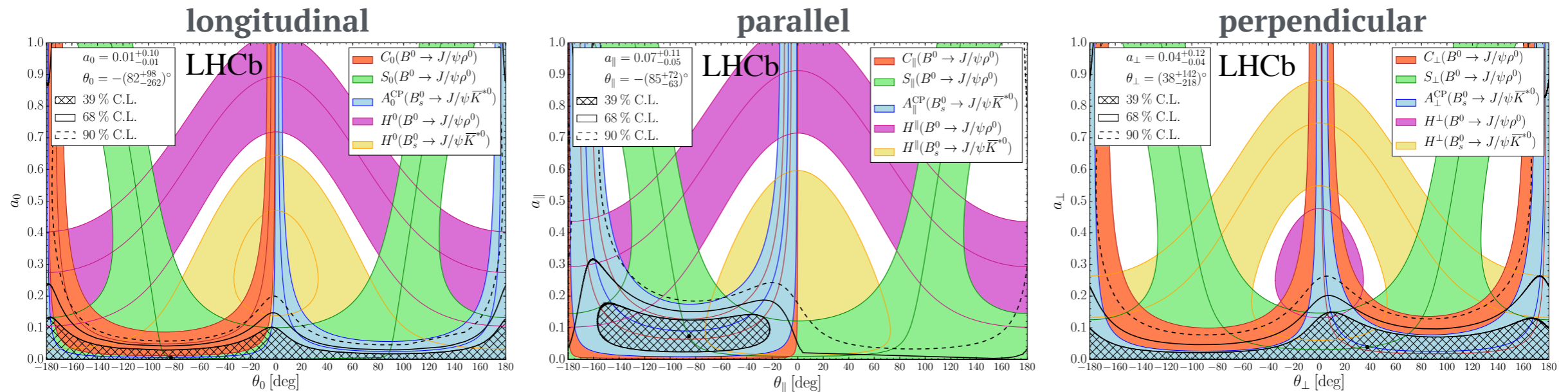
- Assuming:
$$\left| \frac{\mathcal{A}'_i(B_s^0 \rightarrow J/\psi\phi)}{\mathcal{A}_i(B_s^0 \rightarrow J/\psi\bar{K}^{*0})} \right| = \left| \frac{\mathcal{A}'_i(B_s^0 \rightarrow J/\psi\phi)}{\mathcal{A}_i(B^0 \rightarrow J/\psi\rho^0)} \right|$$



Complete set of results on following slide

► Using the extended fit method proposed in: [JHEP 1503 (2015) 145]

– Assuming:
$$\left| \frac{\mathcal{A}'_i(B_s^0 \rightarrow J/\psi\phi)}{\mathcal{A}_i(B_s^0 \rightarrow J/\psi\bar{K}^{*0})} \right| = \left| \frac{\mathcal{A}'_i(B^0 \rightarrow J/\psi\phi)}{\mathcal{A}_i(B^0 \rightarrow J/\psi\rho^0)} \right|$$



$$a_0 = 0.01_{-0.01}^{+0.10} \quad \theta_0 = - (82_{-262}^{+98})^\circ$$

$$a_{||} = 0.07_{-0.05}^{+0.11} \quad \theta_{||} = - (85_{-63}^{+71})^\circ$$

$$a_{\perp} = 0.04_{-0.04}^{+0.12} \quad \theta_{\perp} = (38_{-218}^{+142})^\circ$$

$$\Delta\phi_{s,0}^{J/\psi\phi} = 0.000_{-0.011}^{+0.009} \text{ (stat)}_{-0.009}^{+0.004} \text{ (syst)}$$

$$\Delta\phi_{s,||}^{J/\psi\phi} = 0.001_{-0.014}^{+0.010} \text{ (stat)}_{-0.008}^{+0.007} \text{ (syst)}$$

$$\Delta\phi_{s,\perp}^{J/\psi\phi} = 0.003_{-0.014}^{+0.010} \text{ (stat)}_{-0.008}^{+0.007} \text{ (syst)}$$

Penguin effects in B_s^0 mixing are under control!

► Accessing Hadronic Parameters :

- Using the branching ratio information and solutions for (a_i, θ_i) as inputs
- Get information on hadronic amplitudes as a nice byproduct of the fit:

	Fact./LCSR	LHCb Fit
$\frac{\mathcal{A}'_0(B_s \rightarrow J/\psi \phi)}{\mathcal{A}_0(B_d \rightarrow J/\psi \rho^0)}$	1.15 ± 0.15	$1.195^{+0.074}_{-0.056}$
$\frac{\mathcal{A}'_{\parallel}(B_s \rightarrow J/\psi \phi)}{\mathcal{A}_{\parallel}(B_d \rightarrow J/\psi \rho^0)}$	1.25 ± 0.15	$1.238^{+0.104}_{-0.080}$
$\frac{\mathcal{A}'_{\perp}(B_s \rightarrow J/\psi \phi)}{\mathcal{A}_{\perp}(B_d \rightarrow J/\psi \rho^0)}$	1.13 ± 0.10	$1.042^{+0.081}_{-0.063}$

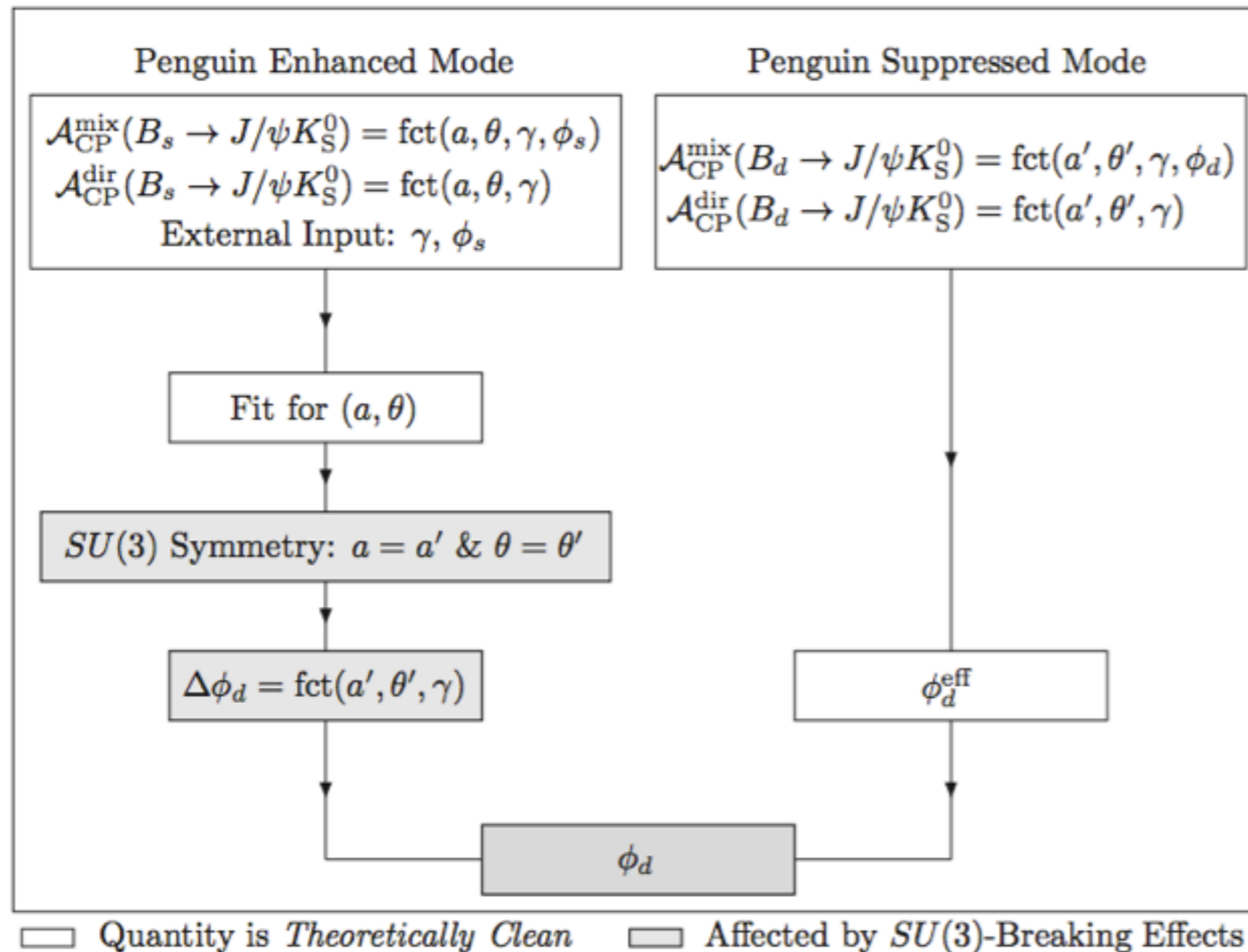
[JHEP 08 (2016) 098]

[JHEP 11 (2015) 082]

The penguin shift $\Delta\phi_d$

► Strategy:

- Similarly as for $\Delta\phi_s$, the decay mode $B_s^0 \rightarrow J/\psi K_S^0$ can be used to control $\Delta\phi_d$



► Analysis overview:

- First **flavor-tagged time-dependent** analysis of $B_s^0 \rightarrow J/\psi K_S^0$ decays

Yield	Long K_S^0	Downstream K_S^0
$B^0 \rightarrow J/\psi K_S^0$	$27\,801 \pm 168$	$51\,351 \pm 231$
$B_s^0 \rightarrow J/\psi K_S^0$	307 ± 20	601 ± 30
Combinatorial background	658 ± 37	$2\,852 \pm 74$

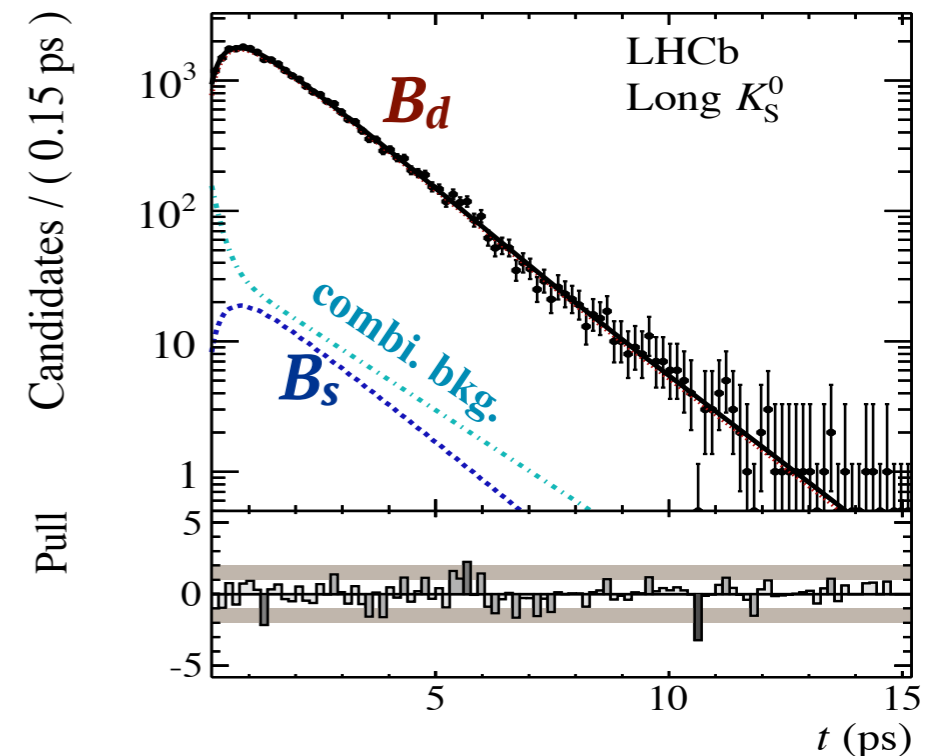
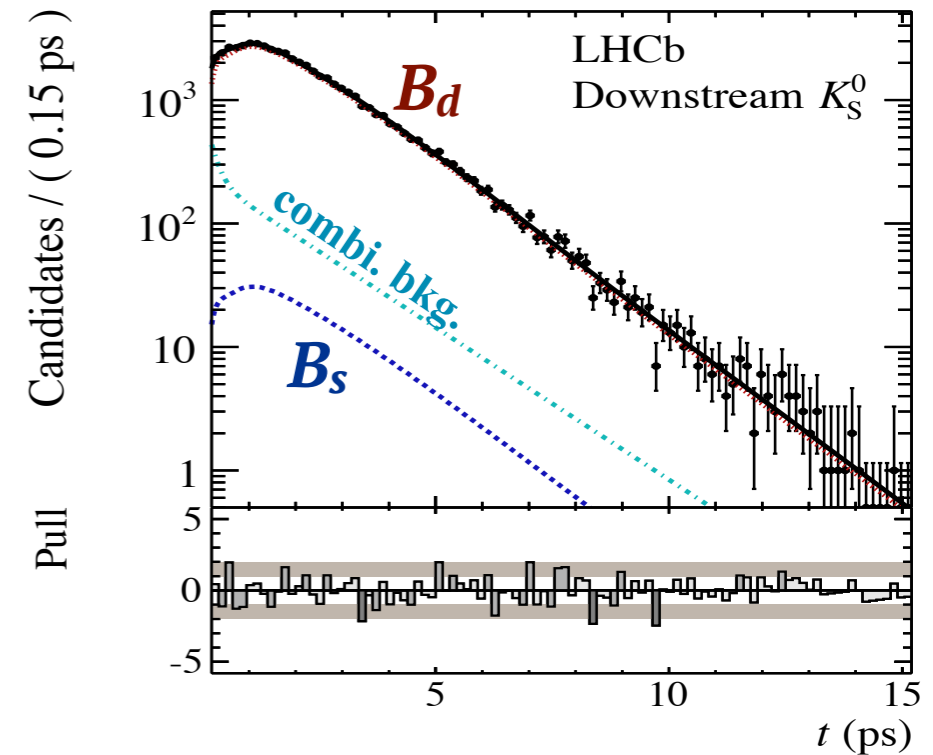
► Results:

$$\mathcal{A}_{\Delta\Gamma} (B_s^0 \rightarrow J/\psi K_S^0) = 0.49 \pm_{0.65}^{0.77} (\text{stat}) \pm 0.06 (\text{syst})$$

$$C_{\text{dir}} (B_s^0 \rightarrow J/\psi K_S^0) = -0.28 \pm 0.41 (\text{stat}) \pm 0.08 (\text{syst})$$

$$S_{\text{mix}} (B_s^0 \rightarrow J/\psi K_S^0) = -0.08 \pm 0.40 (\text{stat}) \pm 0.08 (\text{syst})$$

- Successful proof of concept waiting for more statistics
- Can be used to estimate the penguin shift $\Delta\phi_d$





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Prospects and summary

► Illustration the era of the LHCb Upgrade:

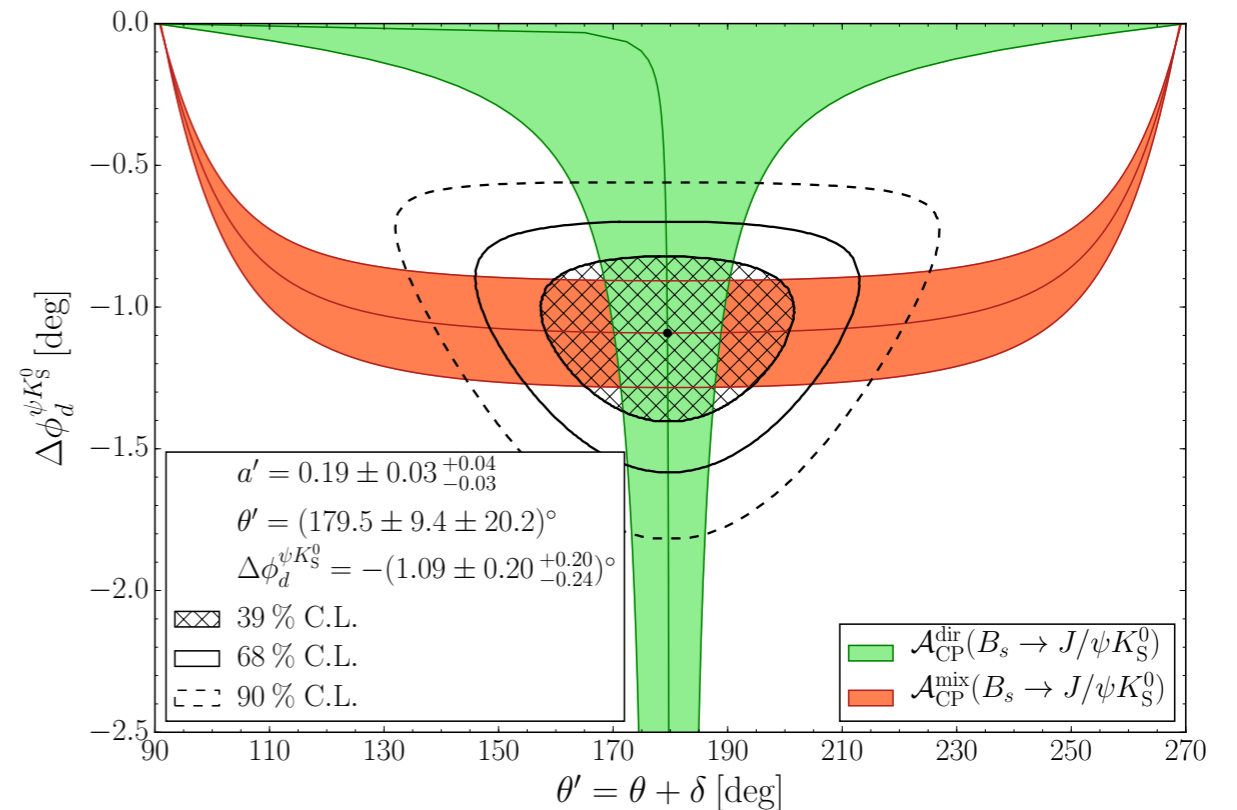
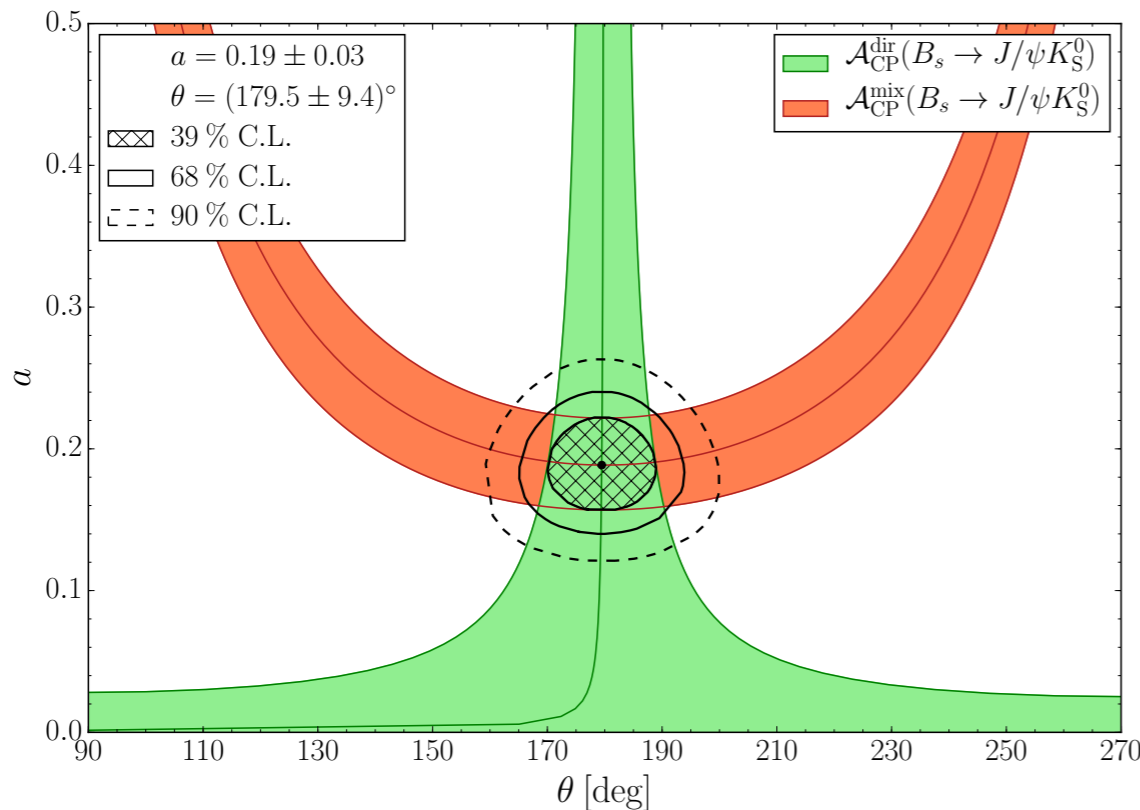
– Results from [[JHEP 1503 \(2015\) 145](#)] using the following extrapolated inputs:

$$\mathcal{A}_{CP}^{\text{dir}}(B_s \rightarrow J/\psi K_S^0) = 0.004 \pm 0.065,$$

$$\mathcal{A}_{CP}^{\text{mix}}(B_s \rightarrow J/\psi K_S^0) = -0.274 \pm 0.065,$$

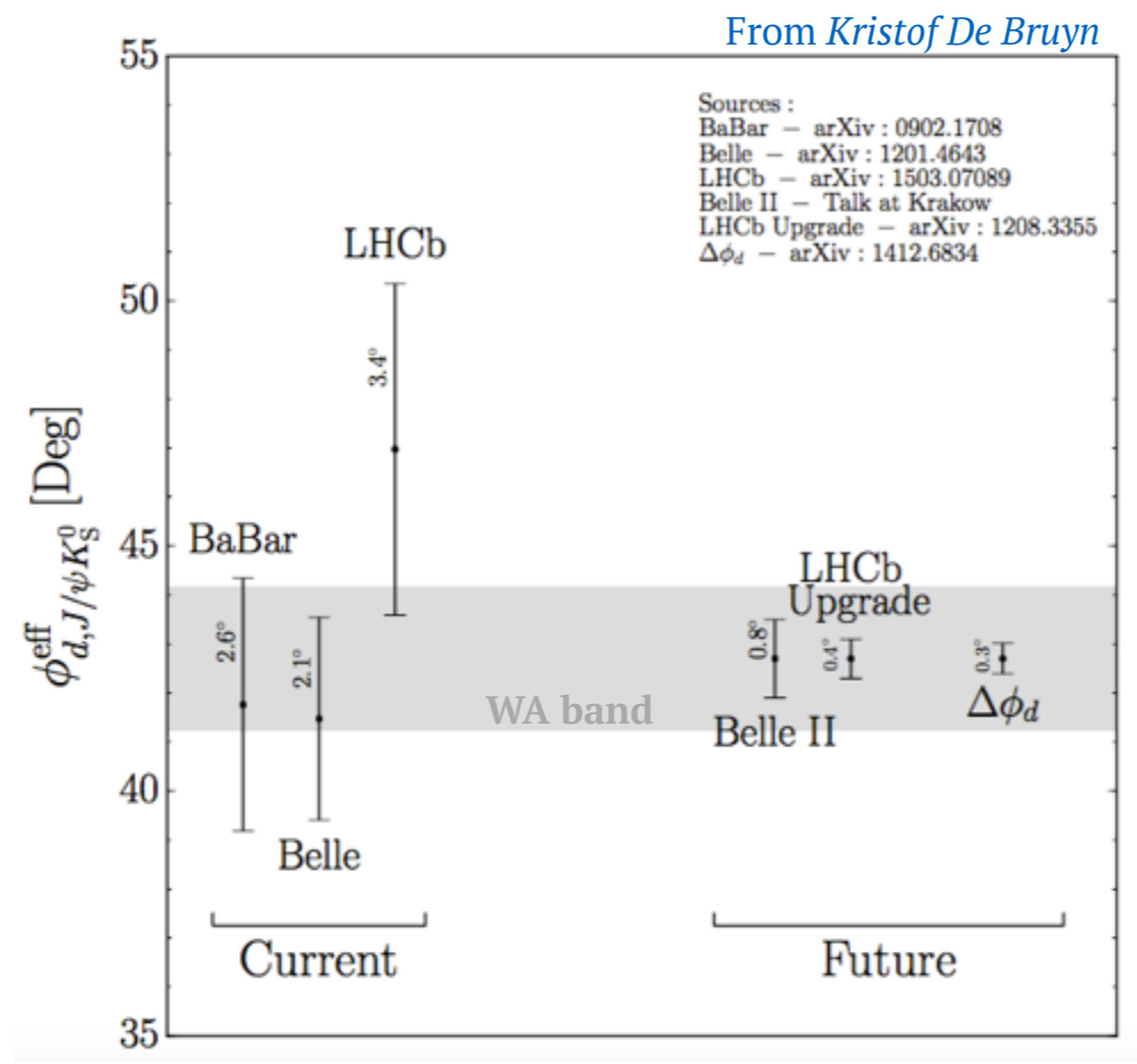
$$\gamma = (73.2 \pm 1.0)^\circ$$

$$\phi_s = -(2.1 \pm 0.5|_{\text{exp}} \pm 0.3|_{\text{theo}})^\circ$$



$$\Delta\phi_d = -(1.02 \pm 0.24(\text{stat})^{+0.17}_{-0.24}(\text{SU}(3)))^\circ$$

► Illustration the era of the LHCb Upgrade:



We will be able to control the penguin effects in B^0 mixing!

▶ Modes to be investigated in the future:

– **Control Modes for $B_s^0 \rightarrow J/\psi \phi$:**

- (1) High precision CP analysis of $B^0 \rightarrow J/\psi \rho^0$: Determination of penguin parameters
- (2) Search for $B_s^0 \rightarrow J/\psi \rho^0$ and/or $B^0 \rightarrow J/\psi \phi$: Control contribution from E + PA
- (3) High precision CP analysis of $B_s^0 \rightarrow J/\psi \bar{K}^{*0}$: Cross-check

– **Control Modes for $B^0 \rightarrow J/\psi K_S^0$:**

- (1) High precision CP analysis of $B_s^0 \rightarrow J/\psi K_S^0$: Determination of penguin parameters
- (2) High precision CP analysis of $B^0 \rightarrow J/\psi \pi^0$: Determination of penguin parameters
- (3) Search for $B_s^0 \rightarrow J/\psi \pi^0$: Control contributions from E + PA in $B^0 \rightarrow J/\psi \pi^0$

- We entered in the era of high-precision for the measurement of the $B^0 - \bar{B}^0$ and $B_s^0 - \bar{B}_s^0$ mixing phases

Observable	Mode	Run 1 (2010-12) 3 fb^{-1}	Run 2 (2015-18) 8 fb^{-1}	Upgrade 1 (2020-30) 50 fb^{-1}	Upgrade 2 (2031-34) 300 fb^{-1}	CKMFitter (2015)
$\phi_d [^\circ]$	$B^0 \rightarrow J/\psi K_S^0$	2.2	1.2	0.4	~ 0.2	~ 2.6
$\phi_s [\text{rad}]$	$B_s^0 \rightarrow J/\psi K^+ K^-$	0.049	0.025	0.008	~ 0.004	~ 0.001
	$B_s^0 \rightarrow J/\psi \pi^+ \pi^-$	0.068	0.035	0.012	~ 0.005	
	Combination	0.039	0.020	0.007	~ 0.003	

- Controlling higher order corrections to ϕ_d and ϕ_s becomes mandatory
- Demonstrated that we can control the penguin effects sufficiently well for the Upgrade Era:

$\Delta\phi_s \sim 0.001 \pm 0.020 \text{ rad} \quad \phi_{s, c\bar{c}s}^{\text{eff}} = -0.030 \pm 0.033 \text{ rad}$

- ...but more work might still be needed for a 300 fb^{-1} Upgrade



▶ ω - ϕ mixing:

▶ **Octet and Singlet Contributions:**

- ϕ being a pure $s\bar{s}$ state, hence an admixture of octet and singlet state
- In the current framework, only the octet contribution is considered:
 - ▶ Only needed for the H observable to relate the form factors of $B_s^0 \rightarrow \phi$ and $B_s^0 \rightarrow \bar{K}^{*0}$ or $B^0 \rightarrow \rho^0$ (+ E & PA contributions are ignored)

▶ **Mixing:**

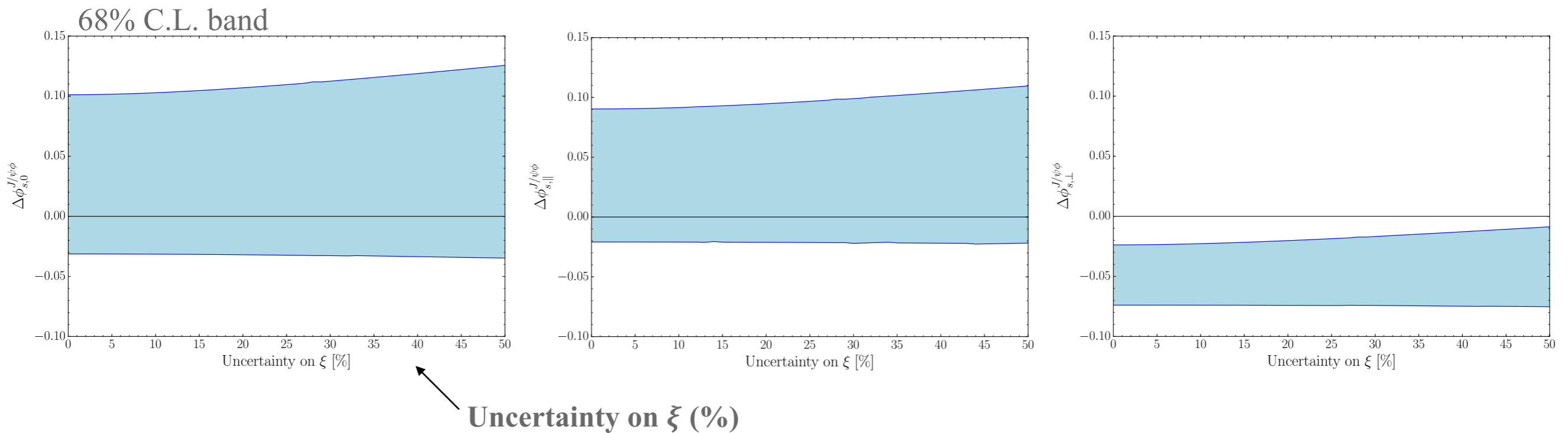
- Can mix with the orthogonal ω state: parametrised by mixing angle δ
- Relation between branching ratios: [*Phys. Lett. B666 (2008) 185*]

$$\mathcal{B}(B_s^0 \rightarrow J/\psi \omega) = \tan^2 \delta \times \mathcal{B}(B_s^0 \rightarrow J/\psi \phi)$$

- **Challenging**, but LHCb should be able to perform a measurement of this branching fraction allowing to get insight on the mixing angle δ

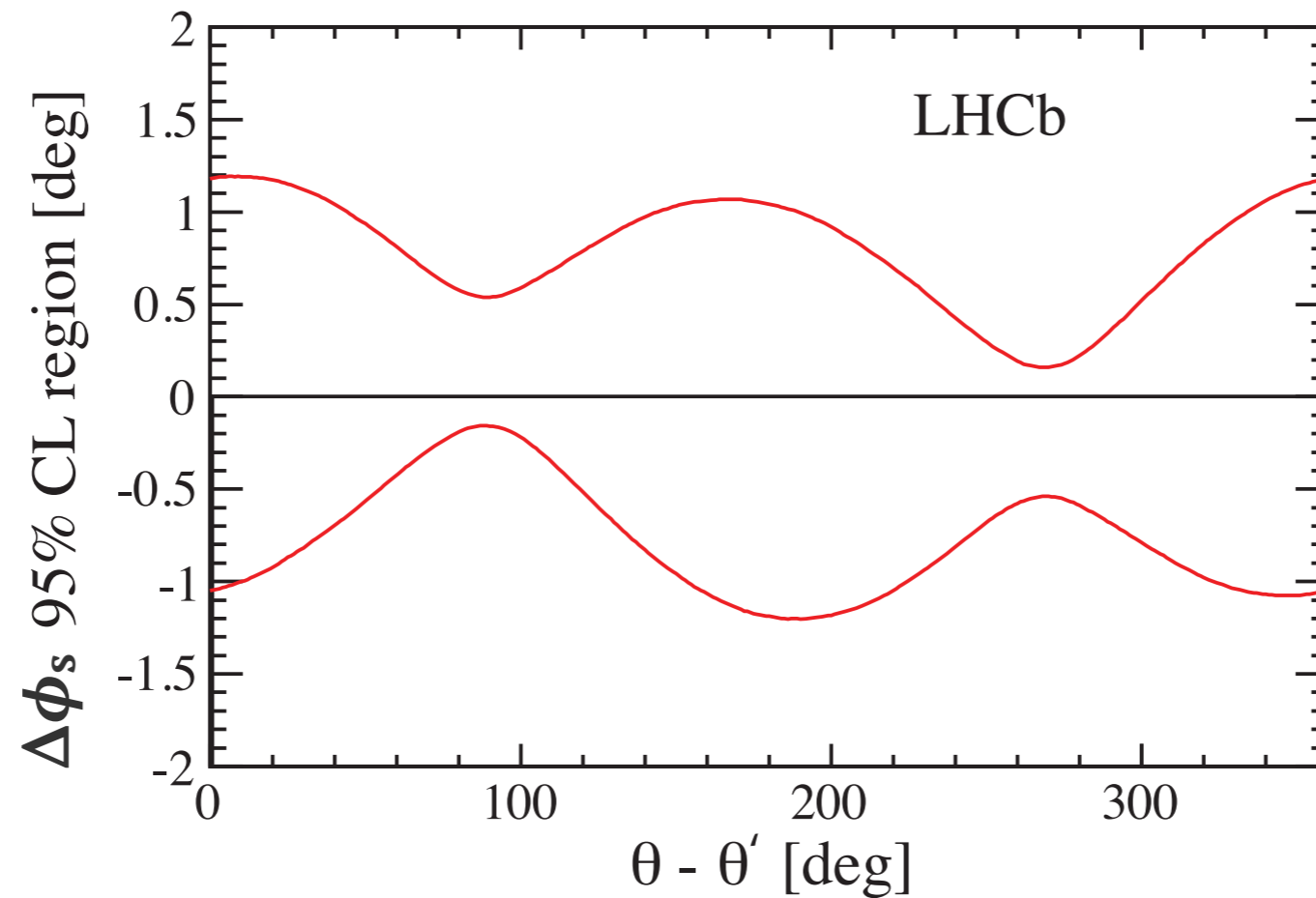
► Penguin pollution in ϕ_s with $B_s^0 \rightarrow J/\psi \bar{K}^{*0}$

Allowing for SU(3) flavor symmetry breaking: $a'_i = \xi \times a_i$, $\theta'_i = \theta_i + \delta$

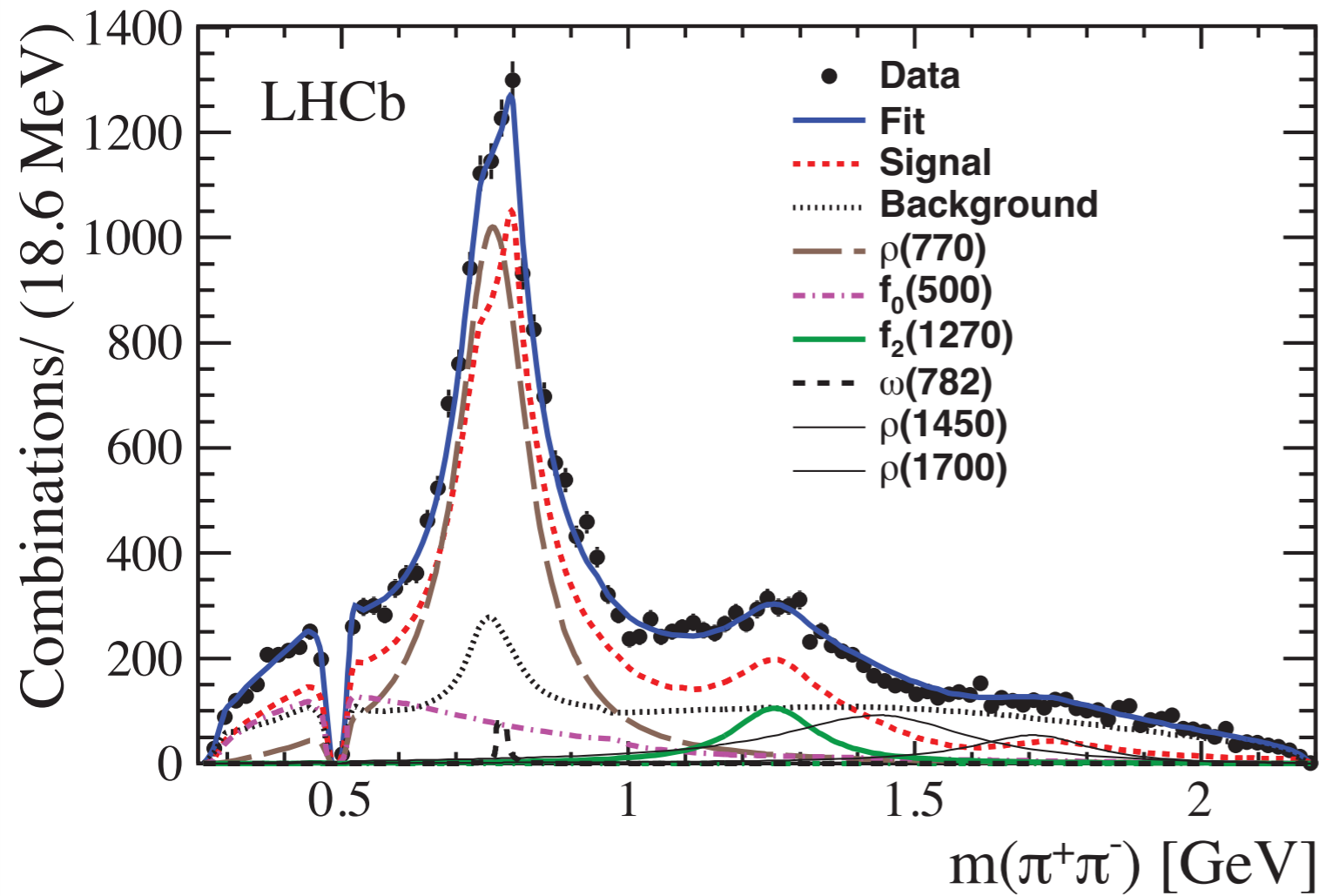


► Penguin pollution in ϕ_s with $B^0 \rightarrow J/\psi \rho^0$

Allowing for SU(3) flavor symmetry breaking:



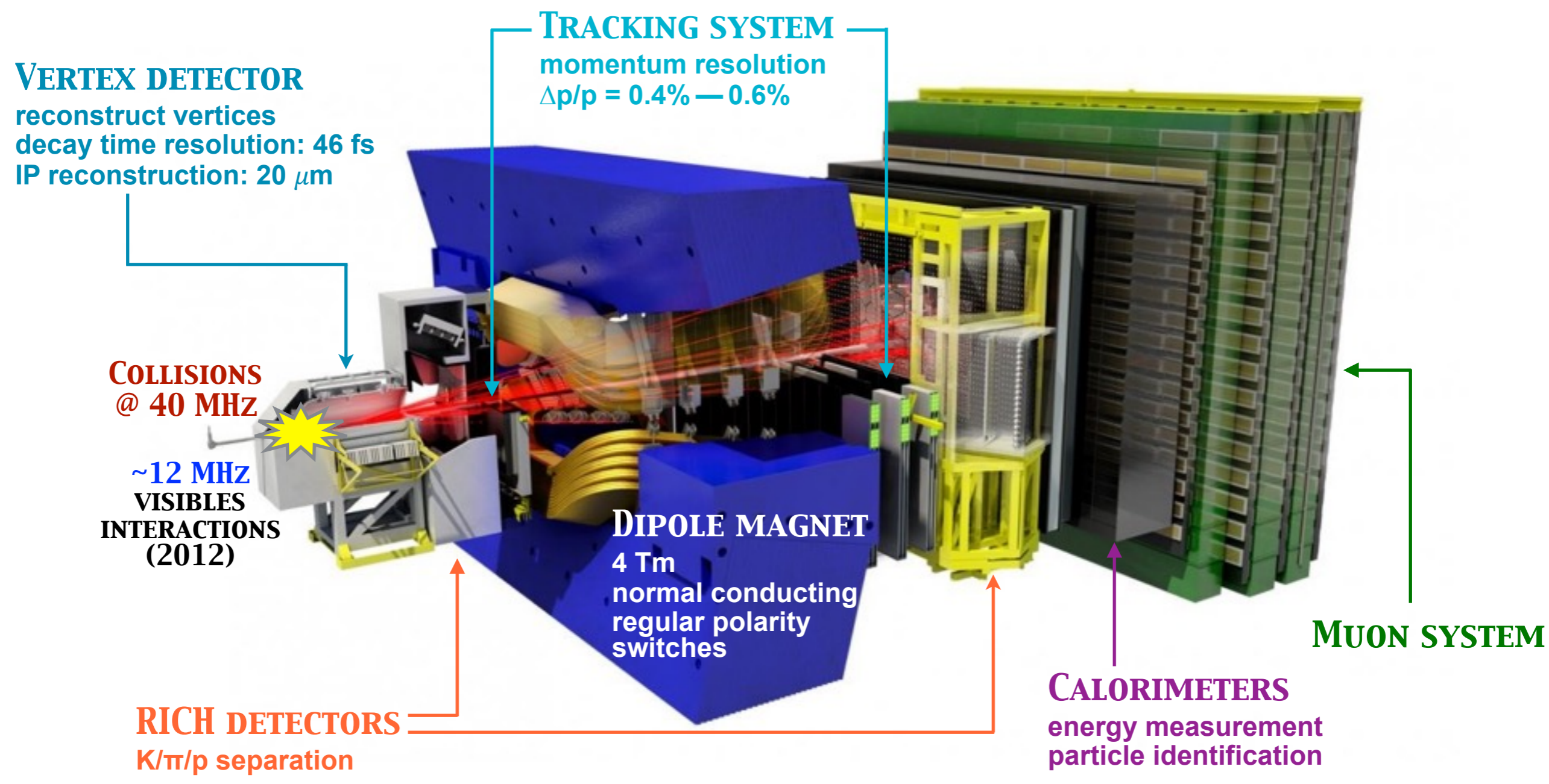
► $\pi^+ \pi^-$ invariant-mass spectrum:



Component	Fit fraction (%)
$\rho(770)$	65.6 ± 1.9
$f_0(500)$	20.1 ± 0.7
$f_2(1270)$	7.8 ± 0.6
$\omega(782)$	$0.64^{+0.19}_{-0.13}$
$\rho(1450)$	9.0 ± 1.8
$\rho(1700)$	3.1 ± 0.7

The LHCb experiment

- Forward General-Purpose Detector at the LHC
- ~30 % of heavy quark production cross-section with just 4% of solid angle



► Time-dependent CP asymmetry:

$$\mathcal{A}_{CP}(t) = \frac{\Gamma(\bar{B}_q^0(t) \rightarrow f) - \Gamma(B_q^0(t) \rightarrow f)}{\Gamma(\bar{B}_q^0(t) \rightarrow f) + \Gamma(B_q^0(t) \rightarrow f)} = \frac{\mathcal{S}_f \sin(\Delta m t) - \mathcal{C}_f \cos(\Delta m t)}{\cosh\left(\frac{\Delta\Gamma t}{2}\right) + \mathcal{A}_{\Delta\Gamma} \sinh\left(\frac{\Delta\Gamma t}{2}\right)}$$

► Mixing parameters:

$$\Delta m = m_H - m_L \quad \Delta\Gamma = \Gamma_L - \Gamma_H$$

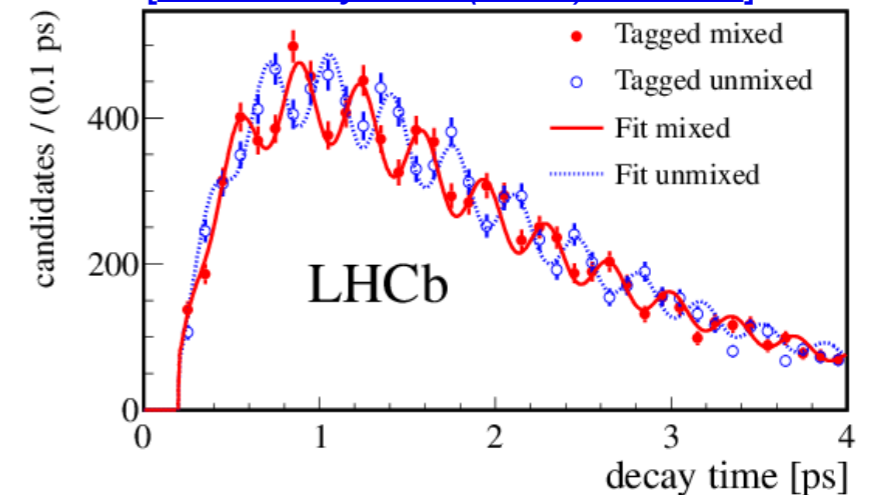
► CP observables:

$$\mathcal{S}_f = \frac{2\Im(\lambda_f)}{1 + |\lambda_f|^2}, \quad \mathcal{C}_f = \frac{1 - |\lambda_f|^2}{1 + |\lambda_f|^2}, \quad \mathcal{A}_{\Delta\Gamma} = -\frac{2\Re(\lambda_f)}{1 + |\lambda_f|^2}$$

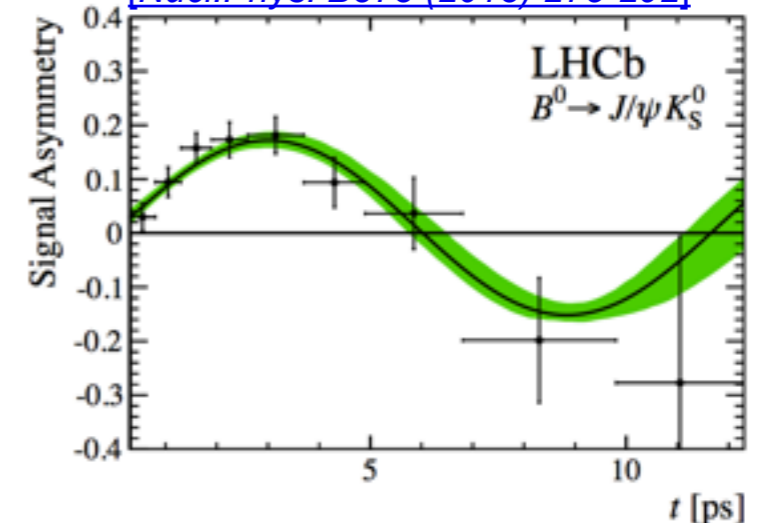
$$\lambda_f = \eta_f \frac{q}{p} \frac{A(\bar{B}_q^0(t) \rightarrow f)}{A(B_q^0(t) \rightarrow f)} = \eta_f |\lambda_f| e^{i\phi_q}$$

$$\mathcal{S}_f = -\eta_f \frac{2|\lambda_f| \sin(\phi_s)}{1 + |\lambda_f|^2}, \quad \mathcal{A}_{\Delta\Gamma} = \eta_f \frac{2|\lambda_f| \cos(\phi_s)}{1 + |\lambda_f|^2}$$

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▶ Tagging, resolution and other nuisance effects:

$$A_{\text{meas}}(t) = A_{CP}(t) \times D_{\text{res}} \times D_{\text{tag}} \pm A_{\text{det/prod}}$$

▶ **Decay time resolution (~45 fs):**

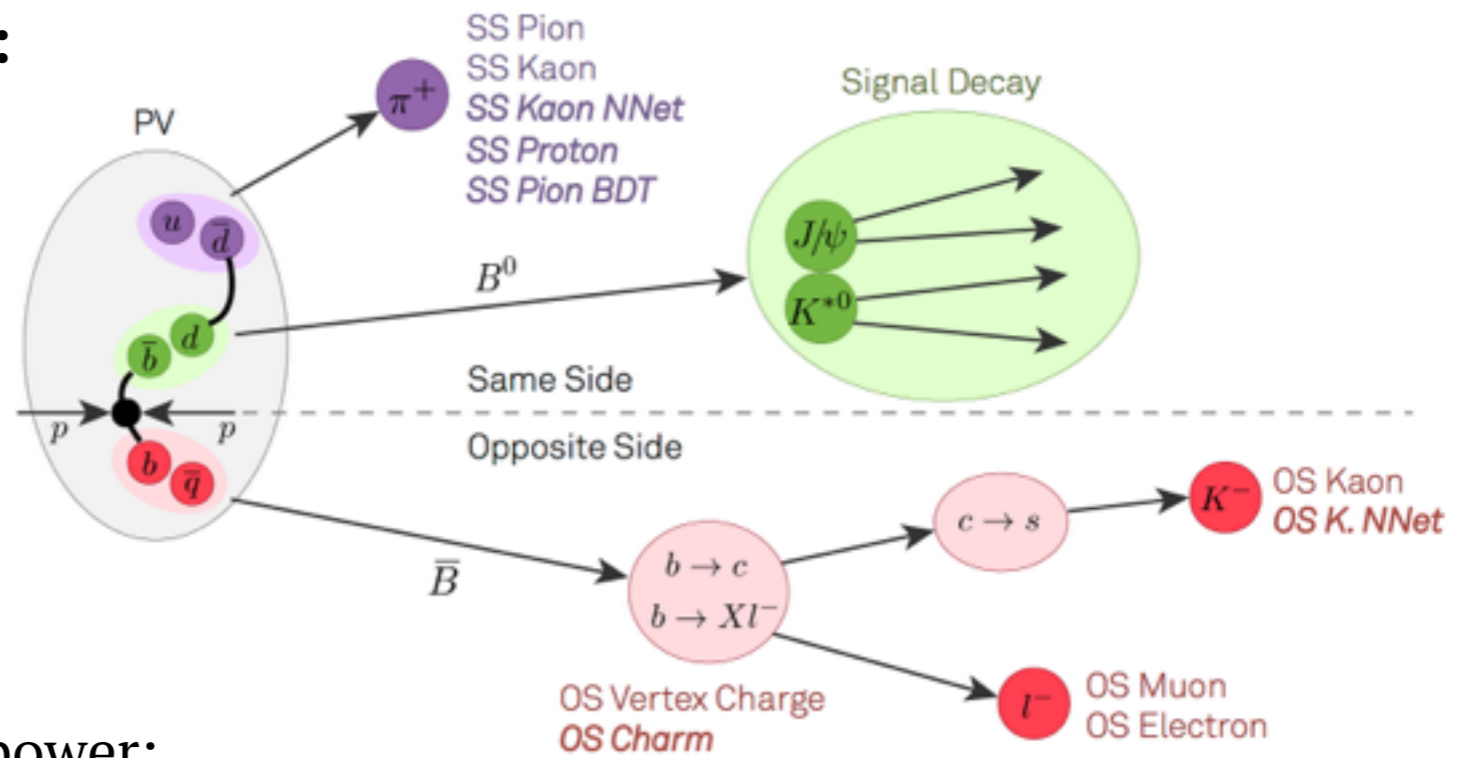
$$D_{\text{res}} = e^{-\frac{\Delta m^2 \sigma_t^2}{2}}$$

▶ **Tagging dilution:**

$$D_{\text{tag}} = (1 - 2\omega)$$

- Initial B flavor efficiency: ϵ_{tag}
- Wrong tag rate: ω
- Effective reduction in statistical power:

$$\epsilon_{\text{eff}} = \epsilon_{\text{tag}}(1 - 2\omega)^2 \sim \mathcal{O}(\%) \quad \sigma^{\text{stat}}(\phi_s) \propto \frac{1}{\sqrt{\epsilon_{\text{eff}} N}}$$



▶ Also need to account for detection/production asymmetries, acceptance effects on time and angular variables (P → VV), ...